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2021/0184 (NLE)

Proposal for a

COUNCIL DECISION

on the accession of the European Union to the Convention on the Conservation and Management of High Seas Fisheries Resources in the North Pacific Ocean

EXPLANATORY MEMORANDUM

1. CONTEXT OF THE PROPOSAL

• Reasons for and objectives of the proposal

On 22 June 2018[1], the Council authorised the Commission to negotiate the European Union's accession to the Convention for the Conservation and Management of High Seas Fisheries Resources in the North Pacific Ocean ('the Convention').

Under Article 24, paragraph 2(a) of the Convention, the Contracting Parties may, by consensus, invite regional economic integration organisations whose fishing vessels wish to fish in the Convention's Area of Application to accede to the Convention.

The Union submitted requests to accede to the Convention in 2018, 2019, 2020 and 2021. The North Pacific Fisheries Commission (NPFC), the body established by the Convention to manage the fisheries in the area covered by the Convention, was unable to reach consensus on the Union's 2018 and 2019 requests at its fourth and fifth annual sessions in 2018 and 2019 respectively. No annual session took place in 2020 due to the COVID-19 pandemic, so the NPFC did not consider the Union's 2020 request. At its sixth annual session from 23 to 25 February 2021, the NPFC accepted the Union's request and invited, by consensus, the Union to accede to the Convention and to deposit the instruments of ratification with the Depositary, the Government of the Republic of Korea.

Through its accession to the Convention, the Union aims to satisfy the interest from the Member States and the Union vessels concerned to gain access to the fisheries resources in the Convention's Area of Application. The Union also seeks to ensure the sustainable exploitation, management and conservation of marine biological resources and the marine environment managed by the NPFC.

The current proposal is for a Council Decision to accede to the Convention after obtaining the consent of the European Parliament.

• Consistency with existing policy provisions in the policy area

Regional Fisheries Management Organisations (RFMOs) are: international organisations of countries, some of which are coastal states; regional economic integration organisations, such as the European Union; and fishing entities with fishing interests in a given area. Some RFMOs manage all the fish stocks within a specific area, while others focus on particular highly migratory species, notably tuna, throughout vast geographical areas. While some are purely advisory, most have management powers to set catch and fishing effort limits, technical measures and control obligations.

In line with the Commission Communication on 'Participation in regional fisheries organisations (RFOs)'[2], Articles 28 and 29 of Regulation (EU) No 1380/2013 on the Common Fisheries Policy[3], and the Council conclusions of 19 March 2012 regarding the Commission

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1 ST 10082 2018.

2 COM(1999) 613 final of 8.12.1999.

3 Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council decision 2004/585/EC (OJ L 354, 28.12.2013, p.22).

Communication on the 'External dimension of the Common Fisheries Policy'[4], the European Union, represented by the Commission, plays an active role in six tuna organisations and 11 non-tuna organisations.

The Joint Communication by the High Representative of the EU for Foreign Affairs and Security Policy and the European Commission on 'International ocean governance: an agenda for the future of oceans'[5] and the Council conclusions of 3 April 2017 on that Joint Communication promote measures to support and enhance the effectiveness of RFMOs and, where relevant, improve their governance. This is a central feature of the EU's action in these fora.

- **Consistency with other Union policies**

The Union's accession to the Convention is fully consistent with the Council conclusions of 23 October 2020 regarding the Commission Communication on the 'EU Biodiversity Strategy for 2030'[6].

2. LEGAL BASIS, SUBSIDIARITY AND PROPORTIONALITY

- **Legal basis**

This proposal for a Council Decision is based on the Treaty on the Functioning of the European Union, particularly Article 43(2) in conjunction with Article 218(6)(a).

- **Subsidiarity (for non-exclusive competence)**

Not applicable.

- **Proportionality**

Not applicable.

- **Choice of the instrument**

Article 218(6)(a) of the Treaty on the Functioning of the European Union requires a proposal from the Commission for the Council to adopt this Decision, with the consent of the European Parliament.

3. RESULTS OF EX-POST EVALUATIONS, STAKEHOLDER CONSULTATIONS AND IMPACT ASSESSMENTS

- **Ex-post evaluations/fitness checks of existing legislation**

Not applicable.

- **Stakeholder consultations**

The Member States and a number of individual Union fishing operators asked the Commission to start accession negotiations at the end of 2017. The relevant organisations and interest groups were consulted in early 2018 to ensure that future negotiations on the Union's possible accession to the Convention would take into account their views. They included the representatives of the Member States' administrations, the EU fisheries industry and NGOs,

[4] COM(2011) 424 final of 13.7.2011.
[5] JOIN(2016) 49 final of 10.11.2016.
[6] COM(2020) 380 final of 20.5.2020.

as well as EU citizens and consumers. During these consultations, the EU fisheries industry urged the EU institutions to secure the agreement for the EU fleet to start fishing in the Convention's Area of Application as soon as possible. In their view, the fastest way to achieve this was through a two-step approach, by which the Union would first become a cooperating non-Contracting Party (CNCP) with the express ambition of then becoming a full member of the NPFC soon afterwards. No other comments were received during the consultations.

Although the Council mandate provided for the possibility to engage in the negotiations with NPFC to enable the Union to become a CNCP. However, it was considered preferable to pursue full membership, since CNCP status would not adequately reflect the level and intensity of the Union's intended involvement in the NPFC.

Stakeholders were also closely associated with the preparation of the Union's accession requests, and also before and during the accession discussions that took place at the 2018, 2019 and 2021 annual sessions of the NPFC.

- **Collection and use of expertise**

Not applicable.

- **Impact assessment**

Not applicable.

- **Regulatory fitness and simplification**

Not applicable.

- **Fundamental rights**

Not applicable.

4. BUDGETARY IMPLICATIONS

The Union's accession to the Convention will require the payment of a yearly financial contribution to the NPFC from budget line 08.05.02 ('Compulsory contributions to RFMOs and other International Organisations'), estimated at roughly EUR 60 000 annually. The Union's contribution could increase, depending on its future fishing in the Area of Application. The exact needs will be part of the amounts established in the relevant budget lines in the annual budget procedure.

Voluntary contributions to the NPFC's budget under budget line 08.04.02 are also likely, particularly to promote scientific research. These contributions are estimated at about EUR 200 000 per year. This budget line also provides for technical assistance to support the provision of scientific advice at NPFC meetings. The cost of this is estimated at EUR 10 000 per year. The exact needs will be part of the amounts established in the relevant budget lines in the annual budget procedure.

5. OTHER ELEMENTS

- **Implementation plans and monitoring, evaluation and reporting arrangements**

Not applicable.

- **Explanatory documents (for directives)**

Not applicable.

- **Detailed explanation of the specific provisions of the proposal**

Not applicable.

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COUNCIL DECISION

on the accession of the European Union to the Convention on the Conservation and Management of High Seas Fisheries Resources in the North Pacific Ocean

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 43(2) in conjunction with Article 218(6)(a) thereof,

Having regard to the proposal from the European Commission,

Having regard to the consent of the European Parliament[7],

Whereas:

(1) On 22 June 2018[8], the Council authorised the European Commission to open negotiations with the North Pacific Fisheries Commission for the European Union to accede to the Convention for the Conservation and Management of High Seas Fisheries Resources in the North Pacific Ocean ('the Convention').

(2) The Union is competent to adopt measures for the conservation of marine biological resources under the common fisheries policy and to enter into agreements with third countries and international organisations.

(3) Pursuant to Council Decision 98/392/EC[9], the Union is a Contracting Party to the United Nations Convention on the Law of the Sea of 10 December 1982, which requires all members of the international community to cooperate in conserving and managing the biological resources of the sea.

(4) Pursuant to Council Decision 98/414/EC[10], the Union is a Contracting Party to the Agreement on the implementation of the provisions of the United Nations Convention on the Law of the Sea of 10 December 1982, relating to the conservation and management of straddling fish stocks and highly migratory fish stocks.

(5) At its sixth annual session from 23 to 25 February 2021, the North Pacific Fisheries Commission invited the European Union to accede to the Convention[11].

(6) Accession to the Convention should promote consistency in the Union's conservation approach across the world's oceans and reinforce its commitment to the long-term

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7 OJ C , , p. .

8 ST 10082 2018.

9 Council Decision 98/392/EC of 23 March 1998 concerning the conclusion by the European Community of the United Nations Convention of 10 December 1982 on the Law of the Sea and the Agreement of 28 July 1994 relating to the implementation of Part XI thereof (OJ L 179, 23.6.1998, p. 1).

10 Council Decision 98/414/EC of 8 June 1998 on the ratification by the European Community of the Agreement for the implementing of the provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the conservation and management of straddling stocks and highly migratory fish stocks (OJ L 189, 3.7.1998, p. 14).

11 Report of the 6th Meeting of the North Pacific Fisheries Commission, 23-25 February 2021 (video conference), adopted on 25 February 2021.

conservation and sustainable use of fisheries resources globally. In accordance with the Joint Communication by the High Representative of the EU for Foreign Affairs and Security Policy and the European Commission 'International ocean governance: an agenda for the future of oceans' and the Council conclusions on that joint communication, promoting measures to support and enhance the effectiveness of regional fisheries management organisations and, where relevant, improve their governance is a central feature of the Union's action in these fora[12].

(7) The European Data Protection Supervisor was consulted in accordance with Article 42(1) of Regulation (EU) 2018/1725 of the European Parliament and of the Council[13], and delivered an opinion on […].

(8) The European Union should therefore accede to the Convention,

HAS ADOPTED THIS DECISION:

Article 1

The accession of the European Union to the Convention on the Conservation and Management of High Seas Fisheries Resources in the North Pacific Ocean ('the Convention') is hereby approved on behalf of the Union.

The text of the Convention is attached to this Decision.

Article 2

The Commission shall deposit, on behalf of the Union, the instrument of accession with the Government of the Republic of Korea acting in its capacity as Depositary of the Convention, in accordance with Article 24(4) of the Convention, in order to express the consent of the Union to be bound by that Convention.

Article 3

This Decision shall enter into force on the day of its adoption.[14]

Done at Brussels,

For the Council
The President

[12] JOIN(2016) 49 final.
[13] OJ L 259, 21.11.2018, p. 39.
[14] In accordance with Regulation 2015/2264, the international agreements are only translated in Irish from 1 January 2022

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LEGISLATIVE FINANCIAL STATEMENT

1. FRAMEWORK OF THE PROPOSAL/INITIATIVE

1.1. Title of the proposal/initiative

Council Decision on the accession of the European Union to the Convention on the Conservation and Management of High Seas Fisheries Resources in the North Pacific Ocean

1.2. Policy area(s) concerned

To promote ocean governance at international level

1.3. The proposal/initiative relates to:

X **a new action**

☐ **a new action following a pilot project/preparatory action**[15]

☐ **the extension of an existing action**

☐ **a merger or redirection of one or more actions towards another/a new action**

1.4. Objective(s)

1.4.1. General objective(s)

The Commission's multiannual strategic objectives targeted by the proposal/initiative are:

1) A European Green Deal

– 2) A Stronger Europe in the World

1.4.2. Specific objective(s)

Specific objective No 1

More sustainable and competitive fisheries worldwide by 2024

Specific objective No 2

More sustainable fisheries worldwide and improved ocean governance by 2024

1.4.3. Expected result(s) and impact

Specify the effects which the proposal/initiative should have on the beneficiaries/groups targeted.

The EU Member States and economic operators should have access to the Convention Area, which is managed by the North Pacific Fisheries Commission (NPFC), the body established by the Convention for this purpose.

EU accession to the Convention will further promote the Union’s conservation approach across oceans and reinforce its commitment to the long-term conservation and sustainable use of fisheries resources globally.

1.4.4. Indicators of performance

Specify the indicators for monitoring progress and achievements.

Number of EU fishing vessels engaged in the fishery

[15] As referred to in Article 58(2)(a) or (b) of the Financial Regulation.

Number of EU catches Improved long-term sustainability of the stocks Number of NPFC meetings attended by the EU

1.5. Grounds for the proposal/initiative

1.5.1. Requirement(s) to be met in the short or long term including a detailed timeline for roll-out of the implementation of the initiative

EU operators in the Netherlands, Lithuania, Latvia, Poland and Germany are seeking to enlarge their fishing operations to the North Pacific Ocean in order to further consolidate themselves as global actors. Economies of scale will be promoted too as the EU fleet is already engaged in fishing operations further south in the neighbouring Regional Fsheries Management Organisation (RFMO), notably the South Pacific Regional Fisheries Management Organisation (SPRFMO). The participation of the EU in the work of the NPFC will also contribute to the long-term sustainability of the stocks and support scientific research relevant for the North Pacific Ocean in line with the Common Fisheries Policy's (CFP) external objectives.

1.5.2. Added value of Union involvement (it may result from different factors, e.g. coordination gains, legal certainty, greater effectiveness or complementarities). For the purposes of this point 'added value of Union involvement' is the value resulting from Union intervention which is additional to the value that would have been otherwise created by Member States alone.

Reasons for action at European level (ex-ante) The conservation of marine biological resources falls under the exclusive competence of the EU according to Article 3(1)(d) of the Treaty on the Functioning of the European Union (TFEU). Expected generated Union added value (ex-post) In line with Article 28 of Council Regulation No 1380/2013 on the Common Fisheries Policy, EU accession to the Convention will have as its main purpose to: (a) access the fisheries resources under the purview of the NPFC; (b) actively support and contribute to the development of scientific knowledge and advice; (c) improve policy coherence with the Union initiatives, with particular regard to environmental, trade and development activities and strengthen consistency of actions taken in the context of development cooperation and scientific, technical and economic cooperation; (d) contribute to sustainable fishing activities that are economically viable and promote employment within the Union; (e) ensure that Union fishing activities outside Union waters are based on the same principles and standards as those applicable under Union law in the area of the CFP, while promoting a level–playing field for Union operators vis-à-vis third-country operators; (f) promote and support, in all international spheres, action necessary to eradicate IUU-fishing;

(g) promote the establishment and the strengthening of a country-by-country compliance mechanism.

1.5.3. Lessons learned from similar experiences in the past

The Commission's actions at international and bilateral level are an important element of its priority to strengthen the role of the EU as a global actor. The EU positions in the RFMOs to which the EU is a Contracting Party are all based on the best available scientific advice, on the standards and principles of the CFP and our international approach to ocean governance. However, positions of other Contracting Parties do not always allow to gather full support. The Commission represents the EU at RFMOs meetings, hence DG MARE has taken the lead in the relevant negotiations, consistently ensuring appropriate consultations with Member States and relevant stakeholders, to promote the objectives of the CFP globally.

1.5.4. Compatibility with the Multiannual Financial Framework and possible synergies with other appropriate instruments

The proposal drives forward the global and multilateral agenda of promoting sustainable fisheries worldwide to address crucial issues such as eradication of illegal, unreported and unregulated (IUU) fishing and reduction of overcapacity.

It better integrates the fisheries, development, environment, trade and other policies to further advance the objectives of sustainable and responsible governance.

1.5.5. Assessment of the different available financing options, including scope for redeployment

The budgetary financial contributions to NPFC will come from the European Maritime Fisheries and Aquaculture Fund (EMFAF) in the case of voluntary contributions (grants) and from compulsory contributions to international bodies (both directly managed) during the 2021-2027 period.

1.6. Duration and financial impact of the proposal/initiative

☐ **limited duration**

– ☐ in effect from [DD/MM]YYYY to [DD/MM]YYYY

– ☐ Financial impact from YYYY to YYYY for commitment appropriations and from YYYY to YYYY for payment appropriations.

X **unlimited duration**

– Implementation from the date on which the Union accedes to the Convention, likely to be early 2022.

1.7. Management mode(s) planned[16]

X **Direct management** by the Commission

– X by its departments, including by its staff in the Union delegations;

– X by the executive agencies

☐ **Shared management** with the Member States

☐ **Indirect management** by entrusting budget implementation tasks to:

– ☐ third countries or the bodies they have designated;

– ☐ international organisations and their agencies (to be specified);

– ☐ the EIB and the European Investment Fund;

– ☐ bodies referred to in Articles 70 and 71 of the Financial Regulation;

– ☐ public law bodies;

– ☐ bodies governed by private law with a public service mission to the extent that they provide adequate financial guarantees;

– ☐ bodies governed by the private law of a Member State that are entrusted with the implementation of a public-private partnership and that provide adequate financial guarantees;

– ☐ persons entrusted with the implementation of specific actions in the CFSP pursuant to Title V of the TEU, and identified in the relevant basic act.

– *If more than one management mode is indicated, please provide details in the 'Comments' section.*

Comments

From 2022, financial contributions to NPFC will be managed by the European Climate, Infrastructure and Environment Executive Agency (CINEA). Any financial contributions to NPFC in 2021 will be managed by the Commission's Directorate-General for Maritime Affairs and Fisheries.

[16] Details of management modes and references to the Financial Regulation may be found on the BudgWeb site: https://myintracomm.ec.europa.eu/budgweb/EN/man/budgmanag/Pages/budgmanag.aspx

2. MANAGEMENT MEASURES

2.1. Monitoring and reporting rules

Specify frequency and conditions.

The NPFC is obliged to hold a regular meeting at least once every two years although in practice it meets every year. At each of its regular meetings, the NPFC adopts, by consensus, an annual budget for each of the next two years. In accordance with NPFC procedures, the Commission will examine, verify and comment on the draft budget submitted by the NPFC Secretariat for approval to the NPFC.

The NPFC Secretariat reports on the implementation of the NPFC budget on an annual basis.The NPFC scrutinises the execution of the budget at each of its regular meetings.

2.2. Management and control system(s)

2.2.1. Justification of the management mode(s), the funding implementation mechanism(s), the payment modalities and the control strategy proposed

The NPFC budget is divided among its members in accordance with an agreed formula. A member of the Commission that has become a member during the course of a financial year contributes to the budget an amount proportional to the number of complete months remaining in the year calculated from the day it became a member.

The yearly (compulsory) financial contributions paid by the EU to the RFMOs of which it is a member are paid from a budget line under Direct Management (08.05.02). In addition, the voluntary contributions paid by the EU to the RFMOs of which it is a member, as well as expenses for technical assistance to support the provision of scientific advice at RFMO meetings, are paid from a budget line under the Direct Management component of the EMFAF (08.04.02).

All elements of the EU contribution will be implemented under direct management. The implementation mechanisms, payment modalities and control of transactions will respect the principles and rules set out in the Financial Regulation (Regulation (EU, Euratom) 2018/1046).

2.2.2. Information concerning the risks identified and the internal control system(s) set up to mitigate them

NPFC members pay their contributions to the NPFC budget regularly and currently no members are in arrears with their contributions. Members that have not paid their contributions in full for two consecutive years are not entitled to participate in the decision-making, nor may they present objections to any decisions taken by the NPFC, until they have discharged their financial obligations.

The NPFC's financial situation is reviewed annually by its Finance and Administration Committee. There is an annual audit by external auditors of the accounts against the approved commitments and expenditure, the results of which are presented to the NPFC.

2.2.3. Estimation and justification of the cost-effectiveness of the controls (ratio of "control costs ÷ value of the related funds managed"), and assessment of the expected levels of risk of error (at payment & at closure)

The implementation of all actions with NPFC, both as regards compulsory contributions (membership fees) and grants in the form of voluntary contributions, will be delegated by the Commission to the European Climate, Infrastructure and Environment Executive Agency (CINEA). The delegation of budget implementation tasks to CINEA will result in significant gains for DG MARE in terms of process optimisation and economies of scale, while allowing the DG to concentrate on policy-related tasks.

Due to the low annual amounts and the nature of the transactions (membership fees and direct grants), a very low error rate is expected.

2.3. Measures to prevent fraud and irregularities

Specify existing or envisaged prevention and protection measures, e.g. from the Anti-Fraud Strategy.

The NPFC budget and expenditure forecast are prepared by the Secretariat on annual basis and then reviewed and endorsed by the NPFC's Finance and Administration Committee that issues a recommendation to the NPFC on their endorsement. There is an annual audit by external auditors of the accounts against the approved commitments and expediture, the results of which are presented to the NPFC.

All actions covered by this Decision and funded by the EU budget will follow the established control procedures and will be subject to the Commission's own financial audits including the IAS, and to audits by the European Court of Auditors.

3. ESTIMATED FINANCIAL IMPACT OF THE PROPOSAL/INITIATIVE

3.1. Heading(s) of the multiannual financial framework and expenditure budget line(s) affected

- Existing budget lines

In order of multiannual financial framework headings and budget lines.

Heading of multiannual financial framework	Budget line	Type of expenditure	Contribution			
	Number	Diff./Non-diff.[17]	from EFTA countries [18]	from candidate countries[19]	from third countries	within the meaning of Article 21(2)(b) of the Financial Regulation
3	08.01.03.01	Non-diff.	NO	NO	NO	NO
3	08.04.02	Diff.	NO	NO	NO	NO
3	08.05.02	Diff.	NO	NO	NO	NO
7	20.02.06.01	Non-diff.	NO	NO	NO	NO
7	20.01.02.01	Non-diff.	NO	NO	NO	NO

[17] Diff. = Differentiated appropriations / Non-diff. = Non-differentiated appropriations.

[18] EFTA: European Free Trade Association.

[19] Candidate countries and, where applicable, potential candidates from the Western Balkans.

3.2. Estimated financial impact of the proposal on appropriations

The annual expenditure will depend on the contribution the EU must make to the NPFC's budget, which is decided by the NPFC at its regular meeting on the basis of an agreed formula. The contribution is currently estimated at maximum 60 000 EUR annually but it might increase depending on the annual fishing activity of the EU fleet.

3.2.1. Summary of estimated impact on operational appropriations

– ☐ The proposal/initiative does not require the use of operational appropriations

– X The proposal/initiative requires the use of operational appropriations, as explained below:

EUR million (to three decimal places)

Heading of multiannual financial framework	Number	3 Natural Resources and Environment

DG: MARE			Year **2021**	Year **2022**	Year **2023**	Year **2024**	Year **2025**	Year **2026**	Year **2027**	**TOTAL**
• Operational appropriations										
Budget line: 08.05.02	Commitments	(1a)	0.030	0.060	0.060	0.060	0.060	0.060	0.060	**0.39**
	Payments	(2a)	0.030	0.060	0.060	0.060	0.060	0.060	0.060	**0.39**
Budget line: 08.04.02	Commitments	(1b)	0	0.200	0.200	0.200	0.200	0.200	0.200	**1.2**
	Payments	(2b)	0	0.200	0.200	0.200	0.200	0.200	0.200	**1.2**
Appropriations of an administrative nature financed from the envelope of specific programmes[20]										
Budget line: 08.01.03.01		(3)	0	0.010	0.010	0.010	0.010	0.010	0.010	**0.060**
TOTAL appropriations for DG MARE	Commitments	=1a+1b +3	0.030	0.270	0.270	0.270	0.270	0.270	0.270	**1.65**
	Payments	=2a+2b +3	0.030	0.270	0.270	0.270	0.270	0.270	0.270	**1.65**

[20] Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

• TOTAL operational appropriations	Commitments	(4)	0.030	0.260	0.260	0.260	0.260	0.260	0.260	**1.59**
	Payments	(5)	0.030	0.260	0.260	0.260	0.260	0.260	0.260	**1.59**
• TOTAL appropriations of an administrative nature financed from the envelope for specific programmes		(6)	0	0.010	0.010	0.010	0.010	0.010	0.010	**0.060**
TOTAL appropriations under HEADING 3 of the multiannual financial framework	Commitments	=4+ 6	0.030	0.270	0.270	0.270	0.270	0.270	0.270	**1.65**
	Payments	=5+ 6	0.030	0.270	0.270	0.270	0.270	0.270	0.270	**1.65**

Heading of multiannual financial framework	7	'Administrative expenditure'

This section should be filled in using the 'budget data of an administrative nature' to be firstly introduced in the Annex to the Legislative Financial Statement (Annex V to the internal rules), which is uploaded to DECIDE for interservice consultation purposes.

EUR million (to three decimal places)

		Year **2021**	Year **2022**	Year **2023**	Year **2024**	Year **2025**	Year **2026**	Year **2027**	**TOTAL**
DG: MARE									
• Human resources		0.046	0.046	0.046	0.046	0.046	0.046	0.046	**0.322**
• Other administrative expenditure		0.008	0.020	0.020	0.020	0.020	0.020	0.020	**0.128**
TOTAL DG MARE	Appropriations	0.054	0.066	0.066	0.066	0.066	0.066	0.066	**0.450**

TOTAL appropriations under HEADING 7 of the multiannual financial framework	(Total commitments = Total payments)	0.054	0.066	0.066	0.066	0.066	0.066	0.066	**0.450**

EUR million (to three decimal places)

		Year **2021**	Year **2022**	Year **2023**	Year **2024**	Year **2025**	Year **2026**	Year **2027**	**TOTAL**
TOTAL appropriations under HEADINGS 1 to 7 of the multiannual financial framework	Commitments	0.084	0.336	0.336	0.336	0.336	0.336	0.336	**2.1**
	Payments	0.084	0.336	0.336	0.336	0.336	0.336	0.336	**2.1**

3.2.2. Estimated output funded with operational appropriations

Commitment appropriations in EUR million (to three decimal places)

Indicate objectives and outputs ⇩			Year **2021**		Year **2022**		Year **2023**		Year **2024**		Year **2025**		Year **2026**		Year **2027**		**TOTAL**	
	OUTPUTS																	
	Type[21]	Average cost	No	Cost	No	Cost	No	Cost	No	Cost	No	Cost	No	Cost	No	Cost	Total No	Total cost
SPECIFIC OBJECTIVE No 1[22] More sustainable and competitive fisheries worldwide by 2024																		
- Output 1	Nr stocks fished at MSY			0.020		0.040		0.040		0.040		0.040		0.040		0.040		0.260
- Output 2	Profitability EU fleet			0.010		0.020		0.020		0.020		0.020		0.020		0.020		0.130
Subtotal for specific objective No 1				0.030		0.060		0.060		0.060		0.060		0.060		0.060		0.390
SPECIFIC OBJECTIVE No 2 More sustainable fisheries worldwide and improved ocean governance by 2024																		
- Output	CMs adopted on scientific advice					0.200		0.200		0.200		0.200		0.200		0.200		1.2
Subtotal for specific objective No 2						0.200		0.200		0.200		0.200		0.200		0.200		1.2
TOTALS				0.030		0.260		0.260		0.260		0.260		0.260		0.260		1.59

[21] Outputs are products and services to be supplied (e.g.: number of student exchanges financed, number of km of roads built, etc.).

[22] As described in point 1.4.2. 'Specific objective(s)…'

3.2.3. Summary of estimated impact on administrative appropriations

– ☐ The proposal/initiative does not require the use of appropriations of an administrative nature

– X The proposal/initiative requires the use of appropriations of an administrative nature, as explained below:

EUR million (to three decimal places)

	Year **2021**	Year **2022**	Year **2023**	Year **2024**	Year **2025**	Year **2026**	Year **2027**	**TOTAL**
HEADING 7 of the multiannual financial framework								
Human resources	0.046	0.046	0.046	0.046	0.046	0.046	0.046	**0.322**
Other administrative expenditure	0.008	0.020	0.020	0.020	0.020	0.020	0.020	**0.128**
Subtotal HEADING 7 of the multiannual financial framework	0.054	0.066	0.066	0.066	0.066	0.066	0.066	**0.450**

Outside HEADING 7[23] of the multiannual financial framework								
Human resources								
Other expenditure of an administrative nature								
Subtotal outside HEADING 7 of the multiannual financial framework								

TOTAL	**0.054**	**0.066**	**0.066**	**0.066**	**0.066**	**0.066**	**0.066**	**0.450**

The appropriations required for human resources and other expenditure of an administrative nature will be met by appropriations from the DG that are already assigned to management of the action and/or have been redeployed within the DG, together if necessary with any additional allocation which may be granted to the managing DG under the annual allocation procedure and in the light of budgetary constraints.

[23] Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

3.2.3.1. Estimated requirements of human resources

– ☐ The proposal/initiative does not require the use of human resources.

– X The proposal/initiative requires the use of human resources, as explained below:

Estimate to be expressed in full time equivalent units

		Year **2021**	Year **2022**	Year **2023**	Year **2024**	Year **2025**	Year **2026**	Year **2027**
• Establishment plan posts (officials and temporary staff)								
20 01 02 01 (Headquarters and Commission's Representation Offices)		0.3	0.3	0.3	0.3	0.3	0.3	0.3
20 01 02 03 (Delegations)								
01 01 01 01 (Indirect research)								
01 01 01 11 (Direct research)								
Other budget lines (specify)								
• External staff (in Full Time Equivalent unit: FTE)[24]								
20 02 01 (AC, END, INT from the 'global envelope')								
20 02 03 (AC, AL, END, INT and JPD in the delegations)								
XX 01 xx **yy zz** [25]	- at Headquarters							
	- in Delegations							
01 01 01 02 (AC, END, INT - Indirect research)								
01 01 01 12 (AC, END, INT - Direct research)								
Other budget lines (specify)								
TOTAL		**0.3**	**0.3**	**0.3**	**0.3**	**0.3**	**0.3**	**0.3**

XX is the policy area or budget title concerned.

The human resources required will be met by staff from the DG who are already assigned to management of the action and/or have been redeployed within the DG, together if necessary with any additional allocation which may be granted to the managing DG under the annual allocation procedure and in the light of budgetary constraints.

Description of tasks to be carried out:

Officials and temporary staff	To contribute towards the sustainable management and conservation of marine biological resources in international waters and enhanced performance of the NPFC in line with the objectives of the CFP and its external dimension and DG MARE's priorities. To promote the principles and standards of the CFP for the conservation, control and enforcement measures in the NPFC and in that context develop the negotiating strategies for achieving the EU's objectives. To carry out analysis and develop conservation and management measures on fisheries in RFMOs while ensuring policy coordination in the implementation of the CFP. To engage in a regular dialogue with stakeholders and maintain constructive relations with the other Institutions and international bodies.
External staff	

[24] AC= Contract Staff; AL = Local Staff; END= Seconded National Expert; INT = agency staff; JPD= Junior Professionals in Delegations.

[25] Sub-ceiling for external staff covered by operational appropriations (former 'BA' lines).

3.2.4. Compatibility with the current multiannual financial framework

The proposal/initiative:

– X can be fully financed through redeployment within the relevant heading of the Multiannual Financial Framework (MFF).

Explain what reprogramming is required, specifying the budget lines concerned and the corresponding amounts. Please provide an excel table in the case of major reprogramming.

– ☐ requires use of the unallocated margin under the relevant heading of the MFF and/or use of the special instruments as defined in the MFF Regulation.

Explain what is required, specifying the headings and budget lines concerned, the corresponding amounts, and the instruments proposed to be used.

– ☐ requires a revision of the MFF.

Explain what is required, specifying the headings and budget lines concerned and the corresponding amounts.

3.2.5. Third-party contributions

The proposal/initiative:

– X does not provide for co-financing by third parties

– ☐ provides for the co-financing by third parties estimated below:

Appropriations in EUR million (to three decimal places)

	Year **N**[1]	Year **N+1**	Year **N+2**	Year **N+3**	Enter as many years as necessary to show the duration of the impact (see point 1.6)			Total
Specify the co-financing body								
TOTAL appropriations co-financed								

[1] Year N is the year in which implementation of the proposal/initiative starts. Please replace "N" by the expected first year of implementation (for instance: 2021). The same for the following years.

3.3. Estimated impact on revenue

☐ The proposal/initiative has no financial impact on revenue.

☐ The proposal/initiative has the following financial impact:

☐ on own resources

☐ on other revenue

☐ please indicate, if the revenue is assigned to expenditure lines

EUR million (to three decimal places)

Budget revenue line:	Appropriations available for the current financial year	Impact of the proposal/initiative[2]						
		Year **N**	Year **N+1**	Year **N+2**	Year **N+3**	Enter as many years as necessary to show the duration of the impact (see point 1.6)		
Article …….								

For assigned revenue, specify the budget expenditure line(s) affected.

None

Other remarks (e.g. method/formula used for calculating the impact on revenue or any other information).

None

[2] As regards traditional own resources (customs duties, sugar levies), the amounts indicated must be net amounts, i.e. gross amounts after deduction of 20 % for collection costs.