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DRAFT AMENDING BUDGET No 5 TO THE GENERAL BUDGET 2021

Humanitarian support to refugees in Turkey

Having regard to:

– the Treaty on the Functioning of the European Union, and in particular Article 314 thereof, in conjunction with the Treaty establishing the European Atomic Energy Community, and in particular Article 106a thereof,

– Council Decision (EU, Euratom) 2020/2053 of 14 December 2020 on the system of own resources of the European Union[1], entered into force on 1 June 2021,

– Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union (…)[2], and in particular Article 44 thereof,

– the general budget of the European Union for the financial year 2021, as adopted on 18 December 2020[3],

– amending budget No 1/2021[4], adopted on 18 May 2021,

– draft amending budget No 1/2021[5], adopted on 22 January 2021,

– draft amending budget No 3/2021[6], adopted on 15 April 2021,

– draft amending budget No 4/2021[7], adopted on 2 July 2021,

The European Commission hereby presents to the European Parliament and to the Council Draft Amending Budget No°5/2021 to the 2021 budget.

CHANGES TO THE STATEMENT OF REVENUE BY SECTION

The changes to the general statement of revenue and in section III are available on EUR-Lex (https://eur-lex.europa.eu/budget/www/index-en.htm).

1 Council Decision (EU, Euratom) 2020/2053 of 14 December 2020 on the system of own resources of the European Union and repealing Decision 2014/335/EU, Euratom, OJ L 424, 15.12.2020.
2 OJ L 193, 30.7.2018.
3 OJ L 93, 17.3.2021.
4 OJ L XXX, XX.X.2021.
5 COM(2021) 30 final.
6 COM(2021) 270 final.
7 COM(2021) 444 final.

Table of Contents

1. INTRODUCTION 3
2. HUMANITARIAN SUPPORT TO REFUGEES IN TURKEY 3
3. FINANCING 4
4. SUMMARY TABLE BY MFF HEADING 5

EXPLANATORY MEMORANDUM

1. INTRODUCTION

The purpose of Draft Amending Budget (DAB) No 5 for the year 2021 is to provide continued support to the most vulnerable of the around 3,7 million refugees in Turkey as a consequence of the Syria crisis. This DAB represents the first component of the Commission's formal proposals for the continuation of financing for Syrian refugees and host communities in Turkey, Jordan, Lebanon and other parts of the region within the context of the EU's overall migration policy. Under the MFF heading 6 "Neighbourhood and the World", EUR 149,6 million in commitment appropriations are requested to ensure the continuation of humanitarian support to refugees in Turkey. No additional payment appropriations are requested for this purpose in 2021.

2. HUMANITARIAN SUPPORT TO REFUGEES IN TURKEY

Turkey currently hosts the largest refugee population in the world – close to 4,1 million. Some 3,7 million of them are Syrians who fled the ongoing conflict that has been ravaging their country for over 10 years. The situation might be aggravated depending on the developments in Afghanistan. The vast majority of refugees in Turkey live outside camps, with growing but still limited access to basic services. The European Union, in close cooperation with the Turkish authorities, has been assisting the most vulnerable people based on humanitarian needs.

Following the EU-Turkey Statement signed by EU Heads of State or Government and their Turkish counterpart on 18 March 2016, the Commission and Member States committed in two tranches EUR 6 billion in EU assistance to refugees in Turkey for 2016-2019, delivered through the Facility for Refugees in Turkey. The operational envelope of this funding has been committed and contracted in full. Disbursements reached EUR 4,2 billion by end of May 2021 in line with the planning.

The publication of the fifth annual report on the EU Facility for refugees in Turkey[8] gives evidence of the results achieved so far, and how vital the Facility is in supporting refugees and host communities in Turkey.

In 2020, EUR 485 million from the EU budget (from the margin under the expenditure heading of Heading 4 "Global Europe" and the Contingency Margin) was allocated in the amending budget 5/2020[9] to be able to continue the two key humanitarian flagship programmes - the Emergency Social Safety Net (ESSN) and the Conditional Cash Transfer for Education (CCTE).

The Commission will put forward proposals for the continuation of financing for Syrian refugees and host communities in Turkey, Jordan, Lebanon and other parts of the region. This DAB proposes the necessary reinforcement to put in place the humanitarian component of this package of support to refugees in Turkey.

As part of the strategy of transition from humanitarian to development assistance and the longer term transition strategy with the Turkish authorities, the Conditional Cash Transfer for Education (CCTE) will transition to development assistance at the end of the school year 2021/2022 by mid-2022. However, the transition of the Emergency Social Safety Net (ESSN) to development assistance is planned to take place in early 2023, i.e. beyond the expiry of the current agreement in March 2022. Approximately EUR 325 million in commitment

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8 COM(2021) 255 final

9 OJ L 299, 11.9.2020.

appropriations is therefore needed to extend the coverage of the ESSN support - which provides monthly cash transfers to over 1,8 million refugees - from March 2022 until the beginning of 2023.

The Commission proposes to finance the extension of this support by using the remaining Heading 6 margin in 2021, with the balance coming from the Humanitarian Aid envelope in 2021 and 2022.

Budget line	Name	Commitment appropriations	Payment appropriations
14 03 01	Humanitarian aid	149 643 796	
Total		**149 643 796**	

3. FINANCING

Apart from the use of the existing Humanitarian Aid envelope, there are currently no other redeployment possibilities under MFF Heading 6. In addition to the EUR 100,4 million to be committed under the Humanitarain Aid envelope this year, the Commission therefore proposes to mobilise the full unallocated margin under this heading of EUR 149,6 million to finance the urgent humanitarian support to refugees in Turkey. The balance required – currently estimated at EUR 75 million - will be committed from the Humanitarian Aid budget line in 2022.

No additional payment appropriations are requested under the 2021 budget. However, the payment appropriations to cover the proposed reinforcement of commitment appropriations in 2021 will be needed in 2022 and 2023. The impact on the 2022 payments will be incorporated in the the amending letter to the 2022 draft budget in October 2021.

4. SUMMARY TABLE BY MFF HEADING

In EUR

		Budget 2021 (incl. AB 1/2021, DABs 1-3-4/2021)		Draft Amending Budget 5/2021		Budget 2021 (incl. AB 1/2021, DABs 1-3-4-5/2021)	
		CA	**PA**	**CA**	**PA**	**CA**	**PA**
1.	**Single Market, Innovation and Digital**	**20 816 559 767**	**17 191 887 232**			**20 816 559 767**	**17 191 887 232**
	Ceiling	*20 919 000 000*				*20 919 000 000*	
	Margin	*102 440 233*				*102 440 233*	
2.	**Cohesion, Resilience and Values**	**53 077 938 534**	**66 361 525 904**			**53 077 938 534**	**66 361 525 904**
	Of which under Flexibility Instrument	*292 422 534*				*292 422 534*	
	Ceiling	*52 786 000 000*				*52 786 000 000*	
	Margin	*484 000*				*484 000*	
2a.	Economic, social and territorial cohesion	48 190 516 000	61 867 897 545			48 190 516 000	61 867 897 545
	Ceiling	*48 191 000 000*				*48 191 000 000*	
	Margin	*484 000*				*484 000*	
2b.	Resilience and values	4 887 422 534	4 493 628 359			4 887 422 534	4 493 628 359
	Of which under Flexibility Instrument	*292 422 534*				*292 422 534*	
	Ceiling	*4 595 000 000*				*4 595 000 000*	
	Margin						
3.	**Natural Resources and Environment**	**58 570 566 908**	**56 806 203 452**			**58 570 566 908**	**56 806 203 452**
	Ceiling	*58 624 000 000*				*58 624 000 000*	
	Margin	*53 433 092*				*53 433 092*	
	Of which: Market related expenditure and direct payments	**40 367 954 000**	**40 353 742 883**			**40 367 954 000**	**40 353 742 883**
	EAGF sub-ceiling	*40 925 000 000*				*40 925 000 000*	
	Rounding difference excluded for calculating the sub-margin						
	Net transfers between EAGF and EAFRD	*557 046 000*				*557 046 000*	
	Net balance available for EAGF expenditure (sub-ceiling corrected by transfers between EAGF and EAFRD)	*40 367 954 000*				*40 367 954 000*	
	EAGF sub-margin						
4.	**Migration and Border Management**	**2 278 829 759**	**2 686 245 978**			**2 278 829 759**	**2 686 245 978**
	Ceiling	*2 467 000 000*				*2 467 000 000*	
	Margin	*188 170 241*				*188 170 241*	
5.	**Security and Defence**	**1 709 261 441**	**670 628 243**			**1 709 261 441**	**670 628 243**
	Ceiling	*1 805 000 000*				*1 805 000 000*	
	Margin	*95 738 559*				*95 738 559*	
6.	**Neighbourhood and the World**	**16 097 356 204**	**10 811 039 356**	**149 643 796**		**16 247 000 000**	**10 811 039 356**
	Ceiling	*16 247 000 000*				*16 247 000 000*	
	Margin	*149 643 796*				*0*	
7.	**European Public Administration**	**10 442 813 002**	**10 444 088 091**			**10 442 813 002**	**10 444 088 091**
	Ceiling	*10 635 000 000*				*10 635 000 000*	
	Margin	*192 186 998*				*192 186 998*	
	of which: Administrative expenditure of the institutions	**8 030 324 720**	**8 031 599 809**			**8 030 324 720**	**8 031 599 809**
	Sub-ceiling	*8 216 000 000*				*8 216 000 000*	

	Budget 2021 (incl. AB 1/2021, DABs 1-3-4/2021)		Draft Amending Budget 5/2021		Budget 2021 (incl. AB 1/2021, DABs 1-3-4-5/2021)	
	CA	**PA**	**CA**	**PA**	**CA**	**PA**
Sub-margin	*185 675 280*				*185 675 280*	
Appropriations for headings	**162 993 325 615**	**164 971 618 256**	**149 643 796**		**163 142 969 411**	**164 971 618 256**
Ceiling	***163 483 000 000***	***166 140 000 000***			***163 483 000 000***	***166 140 000 000***
Of which under Flexibility Instrument	***292 422 534***	***836 562 086***			***292 422 534***	***836 562 086***
Margin	***782 096 919***	***2 004 943 830***			***632 453 123***	***2 004 943 830***
Thematic special instruments	**3 216 749 598**	**3 039 364 598**			**3 216 749 598**	**3 039 364 598**
Total appropriations	**166 210 075 213**	**168 010 982 854**	**149 643 796**	**0**	**166 359 719 009**	**168 010 982 854**