# DEFINITIVE ADOPTION (EU, Euratom) 2021/2221

## of Amending budget No 6 of the European Union for the financial year 2021

THE PRESIDENT OF THE EUROPEAN PARLIAMENT,

having regard to the Treaty on the Functioning of the European Union, and in particular Article 314(4)(a) and (9) thereof,

having regard to the Treaty establishing the European Atomic Energy Community, and in particular Article 106a thereof,

having regard to Council Decision (EU, Euratom) 2020/2053 of 14 December 2020 on the system of own resources of the European Union and repealing Decision 2014/335/EU, Euratom ([1]),

having regard to Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, amending Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, and Decision No 541/2014/EU, and repealing Regulation (EU, Euratom) No 966/2012 ([2]),

having regard to Council Regulation (EU, Euratom) 2020/2093 of 17 December 2020 laying down the multiannual financial framework for the years 2021 to 2027 ([3]),

having regard to the Interinstitutional Agreement of 16 December 2020 between the European Parliament, the Council of the European Union and the European Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management, as well as on new own resources, including a roadmap towards the introduction of new own resources ([4]),

having regard to the general budget of the European Union for the financial year 2021, as definitively adopted on 18 December 2020 ([5]),

having regard to Draft amending budget No 6 of the European Union for the financial year 2021, which the Commission adopted on 8 October 2021,

having regard to the position on Draft amending budget No 6/2021, which the Council adopted on 23 November 2021 and forwarded to Parliament on the same day,

having regard to Parliament's approval of 24 November 2021 of the Council position,

having regard to Rules 94 and 96 of Parliament's Rules of Procedure,

([1]) OJ L 424, 15.12.2020, p. 1.
([2]) OJ L 193, 30.7.2018, p. 1.
([3]) OJ L 433 I, 22.12.2020, p. 11.
([4]) OJ L 433 I, 22.12.2020, p. 28.
([5]) OJ L 93, 17.3.2021, p. 1.

DECLARES:

*Sole Article*

The procedure under Article 314 of the Treaty on the Functioning of the European Union is complete and Amending budget No 6 of the European Union for the financial year 2021 has been definitively adopted.

Done at Strasbourg, 24 November 2021.

*The President*
D. M. SASSOLI

## A. **FINANCING OF THE UNION'S ANNUAL BUDGET**

### Calculation of the financing of the budget

Allocation of resources of the Union in order to ensure, pursuant to Article 311 of the Treaty on the Functioning of the European Union (TFEU), the financing of the Union's annual budget

| Revenue description | Budget 2021 [1] | Budget 2020 [2] | Change (%) |
|---|---|---|---|
| Miscellaneous revenue (Titles 3 to 6) | 9 249 005 264 | 2 174 450 061 | + 325,35 |
| Surplus available from the preceding financial year (Chapter 2 0, Article 2 0 0) | 1 768 617 610 | 3 218 373 955 | –45,05 |
| Balances and Adjustments (Chapters 2 1, 2 2, 2 3 and 2 4) | p.m. | –1 116 600 000 | — |
| Total revenue for Titles 2 to 6 | 11 017 622 874 | 4 276 224 016 | + 157,65 |
| Net amount of customs duties and sugar levies (Chapters 1 1 and 1 2) | 17 348 140 020 | 18 507 300 000 | –6,26 |
| VAT-based own resource at the uniform rate (Tables 1 and 2, Chapter 1 3) | 17 940 791 850 | 17 344 303 050 | + 3,44 |
| Plastic packaging waste resource (table 3, Chapter 17) | 5 846 664 880 | — | — |
| Remainder to be financed by the additional resource (GNI-based own resource, Table 3, Chapter 1 4) | 115 857 763 230 | 123 980 214 681 | –6,55 |
| Appropriations to be covered by the own resources referred to in Article 2 of Council Decision (EU, Euratom) 2020/2053 [3], [4] | **156 993 359 980** | **159 831 817 731** | –1,78 |
| [5] Total revenue | **168 010 982 854** | **164 108 041 747** | + 2,38 |

(1) The figures in this column correspond to those in the 2021 budget (OJ L 93, 17.3.2021, p. 1) plus amending budget No 1 to No 3/2021 and draft amending budgets No 4 to No 6/2021.
(2) The figures in this column correspond to those in the 2020 budget (OJ L 57, 27.2.2020, p. 1) plus AB No 1/2020 to AB No 9/2020.
(3) The own resources for the 2021 budget re determined on the basis of the budget forecasts adopted at the 181st meeting of the Advisory Committee on Own Resources on 26 May 2021.
(4) This amount includes EUR 34 591 000 in relation to liabilities of the Union resulting from the borrowing referred to in Article 5 of Council Decision (EU, Euratom) 2020/2053.
(5) The third subparagraph of Article 310(1) of the Treaty on the Functioning of the European Union reads:'The revenue and expenditure shown in the budget shall be in balance'.

**TABLE 1**

Calculation of capping of value added tax (VAT) bases pursuant to Article 2 paragraph (1) (b) of Council Decision (EU, Euratom) 2020/2053

| Member State | 1 % of non-capped VAT base | 1 % of gross national income | Capping rate (in %) | 1 % of gross national income multiplied by capping rate | 1 % of capped VAT base [1] | Member States whose VAT base is capped |
|---|---|---|---|---|---|---|
| | (1) | (2) | (3) | (4) | (5) | (6) |
| Belgium | 1 968 028 000 | 4 809 725 000 | 50 | 2 404 862 500 | 1 968 028 000 | |
| Bulgaria | 312 604 000 | 634 551 000 | 50 | 317 275 500 | 312 604 000 | |
| Czechia | 908 761 000 | 2 156 905 000 | 50 | 1 078 452 500 | 908 761 000 | |
| Denmark | 1 245 582 000 | 3 330 002 000 | 50 | 1 665 001 000 | 1 245 582 000 | |
| Germany | 14 720 933 000 | 35 901 554 000 | 50 | 17 950 777 000 | 14 720 933 000 | |
| Estonia | 136 803 000 | 281 030 000 | 50 | 140 515 000 | 136 803 000 | |
| Ireland | 948 726 000 | 2 925 354 000 | 50 | 1 462 677 000 | 948 726 000 | |
| Greece | 783 273 000 | 1 715 687 000 | 50 | 857 843 500 | 783 273 000 | |
| Spain | 5 516 775 000 | 12 049 829 000 | 50 | 6 024 914 500 | 5 516 775 000 | |
| France | 11 331 698 000 | 24 703 961 000 | 50 | 12 351 980 500 | 11 331 698 000 | |
| Croatia | 346 562 000 | 530 313 000 | 50 | 265 156 500 | 265 156 500 | Croatia |
| Italy | 6 862 380 000 | 17 547 747 000 | 50 | 8 773 873 500 | 6 862 380 000 | |
| Cyprus | 155 171 000 | 212 181 000 | 50 | 106 090 500 | 106 090 500 | Cyprus |
| Latvia | 135 446 000 | 306 727 000 | 50 | 153 363 500 | 135 446 000 | |
| Lithuania | 204 747 000 | 493 494 000 | 50 | 246 747 000 | 204 747 000 | |
| Luxembourg | 318 107 000 | 429 977 000 | 50 | 214 988 500 | 214 988 500 | Luxembourg |
| Hungary | 568 750 000 | 1 401 802 000 | 50 | 700 901 000 | 568 750 000 | |
| Malta | 74 315 000 | 123 814 000 | 50 | 61 907 000 | 61 907 000 | Malta |
| Netherlands | 3 496 836 000 | 8 297 523 000 | 50 | 4 148 761 500 | 3 496 836 000 | |
| Austria | 1 782 862 000 | 3 905 235 000 | 50 | 1 952 617 500 | 1 782 862 000 | |
| Poland | 2 564 465 000 | 5 232 329 000 | 50 | 2 616 164 500 | 2 564 465 000 | |
| Portugal | 1 076 895 000 | 2 104 318 000 | 50 | 1 052 159 000 | 1 052 159 000 | Portugal |
| Romania | 774 900 000 | 2 305 749 000 | 50 | 1 152 874 500 | 774 900 000 | |
| Slovenia | 221 025 000 | 480 758 000 | 50 | 240 379 000 | 221 025 000 | |
| Slovakia | 367 923 000 | 944 979 000 | 50 | 472 489 500 | 367 923 000 | |
| Finland | 953 114 000 | 2 501 759 000 | 50 | 1 250 879 500 | 953 114 000 | |
| Sweden | 2 296 707 000 | 5 357 907 000 | 50 | 2 678 953 500 | 2 296 707 000 | |
| Total | 60 073 388 000 | 140 685 210 000 | | 70 342 605 000 | 59 802 639 500 | |

[1] The base to be used does not exceed 50 % of GNI.

**TABLE 2**

Breakdown of own resources accruing from VAT pursuant to Article 2 paragraph (1) (b) of Council Decision (EU, Euratom) 2020/2053 (Chapter 1 3)

| Member State | 1 % of capped VAT base | Uniform rate of VAT own resource (in %) | VAT-based own resource at uniform rate |
|---|---|---|---|
| | (1) | (2) | (3) = (1) × (2) |
| Belgium | 1 968 028 000 | 0,30 | 590 408 400 |
| Bulgaria | 312 604 000 | 0,30 | 93 781 200 |
| Czechia | 908 761 000 | 0,30 | 272 628 300 |
| Denmark | 1 245 582 000 | 0,30 | 373 674 600 |
| Germany | 14 720 933 000 | 0,30 | 4 416 279 900 |
| Estonia | 136 803 000 | 0,30 | 41 040 900 |
| Ireland | 948 726 000 | 0,30 | 284 617 800 |
| Greece | 783 273 000 | 0,30 | 234 981 900 |
| Spain | 5 516 775 000 | 0,30 | 1 655 032 500 |
| France | 11 331 698 000 | 0,30 | 3 399 509 400 |
| Croatia | 265 156 500 | 0,30 | 79 546 950 |
| Italy | 6 862 380 000 | 0,30 | 2 058 714 000 |
| Cyprus | 106 090 500 | 0,30 | 31 827 150 |
| Latvia | 135 446 000 | 0,30 | 40 633 800 |
| Lithuania | 204 747 000 | 0,30 | 61 424 100 |
| Luxembourg | 214 988 500 | 0,30 | 64 496 550 |
| Hungary | 568 750 000 | 0,30 | 170 625 000 |
| Malta | 61 907 000 | 0,30 | 18 572 100 |
| Netherlands | 3 496 836 000 | 0,30 | 1 049 050 800 |
| Austria | 1 782 862 000 | 0,30 | 534 858 600 |
| Poland | 2 564 465 000 | 0,30 | 769 339 500 |
| Portugal | 1 052 159 000 | 0,30 | 315 647 700 |
| Romania | 774 900 000 | 0,30 | 232 470 000 |
| Slovenia | 221 025 000 | 0,30 | 66 307 500 |
| Slovakia | 367 923 000 | 0,30 | 110 376 900 |
| Finland | 953 114 000 | 0,30 | 285 934 200 |
| Sweden | 2 296 707 000 | 0,30 | 689 012 100 |
| Total | 59 802 639 500 | | 17 940 791 850 |

**TABLE 3**

Breakdown of own resources accruing from plastic packaging waste pursuant to Article 2 paragraph (1) (c) of Council Decision (EU, Euratom) 2020/2053 (Chapter 1 7)

| Member State | Plastic packaging waste that is not recycled (kg) | Call rate per Kg in EUR | Gross contribution | Lump sum reduction | Net contribution |
|---|---|---|---|---|---|
| | (1) | (2) | (3) = (1) × (2) | (4) | (5) = (3) - (4) |
| Belgium | 188 765 800 | | 151 012 640 | | 151 012 640 |
| Bulgaria | 55 217 400 | | 44 173 920 | 22 000 000 | 22 173 920 |
| Czechia | 104 807 300 | | 83 845 840 | 32 187 600 | 51 658 240 |
| Denmark | 155 649 800 | | 124 519 840 | | 124 519 840 |
| Germany | 1 696 634 600 | | 1 357 307 680 | | 1 357 307 680 |
| Estonia | 33 101 300 | | 26 481 040 | 4 000 000 | 22 481 040 |
| Ireland | 182 203 700 | | 145 762 960 | | 145 762 960 |
| Greece | 101 292 800 | | 81 034 240 | 33 000 000 | 48 034 240 |
| Spain | 775 943 100 | | 620 754 480 | 142 000 000 | 478 754 480 |
| France | 1 559 059 400 | | 1 247 247 520 | | 1 247 247 520 |
| Croatia | 38 507 700 | | 30 806 160 | 13 000 000 | 17 806 160 |
| Italy | 1 160 609 100 | 0,80 | 928 487 280 | 184 048 000 | 744 439 280 |
| Cyprus | 7 972 400 | | 6 377 920 | 3 000 000 | 3 377 920 |
| Latvia | 26 008 800 | | 20 807 040 | 6 000 000 | 14 807 040 |
| Lithuania | 24 671 400 | | 19 737 120 | 9 000 000 | 10 737 120 |
| Luxembourg | 17 519 700 | | 14 015 760 | | 14 015 760 |
| Hungary | 227 926 400 | | 182 341 120 | 30 000 000 | 152 341 120 |
| Malta | 10 744 000 | | 8 595 200 | 1 415 900 | 7 179 300 |
| Netherlands | 256 365 000 | | 205 092 000 | | 205 092 000 |
| Austria | 195 097 800 | | 156 078 240 | | 156 078 240 |
| Poland | 611 296 800 | | 489 037 440 | 117 000 000 | 372 037 440 |
| Portugal | 248 276 500 | | 198 621 200 | 31 322 000 | 167 299 200 |
| Romania | 220 954 900 | | 176 763 920 | 60 000 000 | 116 763 920 |
| Slovenia | 20 773 200 | | 16 618 560 | 6 279 700 | 10 338 860 |
| Slovakia | 63 242 400 | | 50 593 920 | 17 000 000 | 33 593 920 |
| Finland | 86 758 500 | | 69 406 800 | | 69 406 800 |
| Sweden | 127 997 800 | | 102 398 240 | | 102 398 240 |
| Total | 8 197 397 600 | | 6 557 918 080 | 711 253 200 | 5 846 664 880 |

**TABLE 4**

Determination of uniform rate and breakdown of resources based on gross national income pursuant to Article 2 paragraph (1) (d) of Council Decision (EU, Euratom) 2020/2053 (Chapter 1 4)

| Member State | 1 % of gross national income | Uniform rate of 'additional base' own resource | 'Additional base' own resource at uniform rate |
|---|---|---|---|
| | (1) | (2) | (3) = (1) × (2) |
| Belgium | 4 809 725 000 | | 3 960 927 949 |
| Bulgaria | 634 551 000 | | 522 568 503 |
| Czechia | 2 156 905 000 | | 1 776 264 817 |
| Denmark | 3 330 002 000 | | 2 742 339 321 |
| Germany | 35 901 554 000 | | 29 565 821 045 |
| Estonia | 281 030 000 | | 231 435 182 |
| Ireland | 2 925 354 000 | | 2 409 101 647 |
| Greece | 1 715 687 000 | | 1 412 910 840 |
| Spain | 12 049 829 000 | | 9 923 333 343 |
| France | 24 703 961 000 | | 20 344 325 209 |
| Croatia | 530 313 000 | | 436 725 922 |
| Italy | 17 547 747 000 | | 14 451 005 313 |
| Cyprus | 212 181 000 | | 174 736 321 |
| Latvia | 306 727 000 | 0,8235248 [1] | 252 597 300 |
| Lithuania | 493 494 000 | | 406 404 561 |
| Luxembourg | 429 977 000 | | 354 096 735 |
| Hungary | 1 401 802 000 | | 1 154 418 750 |
| Malta | 123 814 000 | | 101 963 903 |
| Netherlands | 8 297 523 000 | | 6 833 216 193 |
| Austria | 3 905 235 000 | | 3 216 057 978 |
| Poland | 5 232 329 000 | | 4 308 952 835 |
| Portugal | 2 104 318 000 | | 1 732 958 117 |
| Romania | 2 305 749 000 | | 1 898 841 546 |
| Slovenia | 480 758 000 | | 395 916 149 |
| Slovakia | 944 979 000 | | 778 213 668 |
| Finland | 2 501 759 000 | | 2 060 260 648 |
| Sweden | 5 357 907 000 | | 4 412 369 435 |
| Total | 140 685 210 000 | | 115 857 763 230 |

[1] Calculation of rate: (115 857 763 230) / (140 685 210 000) = 0,823524827023395.

**TABLE 5**

Calculation of the financing of the annual GNI contribution reduction for certain Member States pursuant to Article 2 paragraph (4) of Council Decision (EU, Euratom) 2020/2053 (Chapter 1 6)

| Member State | Gross reduction | Percentage share of GNI base | Financing of the gross reduction in favour of Denmark, Germany, Netherlands, Austria and Sweden | Net financing of the reduction in favour of Denmark, Netherlands, Germany, Austria and Sweden |
|---|---|---|---|---|
| | (1) | (2) | (3) | (4) = (1) + (3) |
| Belgium | | 3,42 | 263 254 981 | 263 254 981 |
| Bulgaria | | 0,45 | 34 731 448 | 34 731 448 |
| Czechia | | 1,53 | 118 055 811 | 118 055 811 |
| Denmark | - 381 822 181 | 2,37 | 182 263 978 | - 199 558 203 |
| Germany | - 3 717 955 506 | 25,52 | 1 965 031 870 | - 1 752 923 636 |
| Estonia | | 0,20 | 15 381 866 | 15 381 866 |
| Ireland | | 2,08 | 160 116 017 | 160 116 017 |
| Greece | | 1,22 | 93 906 231 | 93 906 231 |
| Spain | | 8,57 | 659 534 069 | 659 534 069 |
| France | | 17,56 | 1 352 143 996 | 1 352 143 996 |
| Croatia | | 0,38 | 29 026 096 | 29 026 096 |
| Italy | | 12,47 | 960 456 533 | 960 456 533 |
| Cyprus | | 0,15 | 11 613 492 | 11 613 492 |
| Latvia | | 0,22 | 16 788 363 | 16 788 363 |
| Lithuania | | 0,35 | 27 010 849 | 27 010 849 |
| Luxembourg | | 0,31 | 23 534 316 | 23 534 316 |
| Hungary | | 1,00 | 76 726 083 | 76 726 083 |
| Malta | | 0,09 | 6 776 822 | 6 776 822 |
| Netherlands | - 1 945 571 377 | 5,90 | 454 155 748 | - 1 491 415 629 |
| Austria | - 572 226 876 | 2,78 | 213 748 721 | - 358 478 155 |
| Poland | | 3,72 | 286 385 744 | 286 385 744 |
| Portugal | | 1,50 | 115 177 519 | 115 177 519 |
| Romania | | 1,64 | 126 202 623 | 126 202 623 |
| Slovenia | | 0,34 | 26 313 758 | 26 313 758 |
| Slovakia | | 0,67 | 51 722 381 | 51 722 381 |
| Finland | | 1,78 | 136 931 013 | 136 931 013 |
| Sweden | - 1 082 673 505 | 3,81 | 293 259 117 | - 789 414 388 |
| Total | - 7 700 249 445 | 100,00 | 7 700 249 445 | 0 |

EU GDP price deflator, in EUR, (spring 2020 economic forecast) :
(a) 2020 EU27 = 105,9188 / (b) 2021 EU27 = 107,2736

Lump sum for Denmark in 2021 prices: 377 000 000 EUR × [ (b/a) ] = 381 822 181 EUR

Lump sum for Germany in 2021 prices: 3 671 000 000 EUR × [ (b/a) ] = 3 717 955 506 EUR

Lump sum for Netherlands in 2021 prices: 1 921 000 000 EUR × [ (b/a) ] = 1 945 571 377 EUR

Lump sum for Austria in 2021 prices: 565 000 000 EUR × [ (b/a) ] = 572 226 876 EUR

Lump sum for Sweden in 2021 prices: 1 069 000 000 EUR × [ (b/a) ] = 1 082 673 505 EUR

**TABLE 6**

Summary of financing ([1]) of the general budget by type of own resource and by Member State

| Member State | Traditional own resources (TOR) | | | | VAT, GNI and Plastics based own resources | | | | | | Total own resources ([1]) |
|---|---|---|---|---|---|---|---|---|---|---|---|
| | Net sugar sector levies | Net customs duties | Total net traditional own resources | Collection costs (p. m.) | VAT-based own resource | Plastics own resource | GNI-based own resource | Reduction in favour of certain Member States | Total'national contributions' | Share in total'na-national contribu-tions' (%) | |
| | (1) | (2) | (3) = (1) + (2) | (4) | (5) | (6) | (7) | (8) | (9) = (5) + (6) +(7) + (8) | (10) | (11) = (3) + (9) |
| Belgium | p.m. | 1 888 440 775 | 1 888 440 775 | 601 810 797 | 590 408 400 | 151 012 640 | 3 960 927 949 | 263 254 981 | 4 965 603 970 | 3,56 | 6 854 044 745 |
| Bulgaria | p.m. | 85 474 779 | 85 474 779 | 27 239 215 | 93 781 200 | 22 173 920 | 522 568 503 | 34 731 448 | 673 255 071 | 0,48 | 758 729 850 |
| Czechia | p.m. | 243 996 233 | 243 996 233 | 77 757 041 | 272 628 300 | 51 658 240 | 1 776 264 817 | 118 055 811 | 2 218 607 168 | 1,59 | 2 462 603 401 |
| Denmark | p.m. | 331 083 549 | 331 083 549 | 105 510 142 | 373 674 600 | 124 519 840 | 2 742 339 321 | - 199 558 203 | 3 040 975 558 | 2,18 | 3 372 059 107 |
| Germany | p.m. | 3 792 780 321 | 3 792 780 321 | 1 208 688 234 | 4 416 279 900 | 1 357 307 680 | 29 565 821 045 | - 1 752 923 636 | 33 586 484 989 | 24,05 | 37 379 265 310 |
| Estonia | p.m. | 32 591 652 | 32 591 652 | 10 386 351 | 41 040 900 | 22 481 040 | 231 435 182 | 15 381 866 | 310 338 988 | 0,22 | 342 930 640 |
| Ireland | p.m. | 229 920 491 | 229 920 491 | 73 271 365 | 284 617 800 | 145 762 960 | 2 409 101 647 | 160 116 017 | 2 999 598 424 | 2,15 | 3 229 518 915 |
| Greece | p.m. | 198 605 750 | 198 605 750 | 63 291 942 | 234 981 900 | 48 034 240 | 1 412 910 840 | 93 906 231 | 1 789 833 211 | 1,28 | 1 988 438 961 |
| Spain | p.m. | 1 311 244 027 | 1 311 244 027 | 417 868 976 | 1 655 032 500 | 478 754 480 | 9 923 333 343 | 659 534 069 | 12 716 654 392 | 9,11 | 14 027 898 419 |
| France | p.m. | 1 619 582 164 | 1 619 582 164 | 516 130 580 | 3 399 509 400 | 1 247 247 520 | 20 344 325 209 | 1 352 143 996 | 26 343 226 125 | 18,86 | 27 962 808 289 |
| Croatia | p.m. | 36 894 750 | 36 894 750 | 11 757 667 | 79 546 950 | 17 806 160 | 436 725 922 | 29 026 096 | 563 105 128 | 0,40 | 599 999 878 |
| Italy | p.m. | 1 551 004 874 | 1 551 004 874 | 494 276 278 | 2 058 714 000 | 744 439 280 | 14 451 005 313 | 960 456 533 | 18 214 615 126 | 13,04 | 19 765 620 000 |
| Cyprus | p.m. | 25 180 413 | 25 180 413 | 8 024 527 | 31 827 150 | 3 377 920 | 174 736 321 | 11 613 492 | 221 554 883 | 0,16 | 246 735 296 |
| Latvia | p.m. | 37 686 500 | 37 686 500 | 12 009 984 | 40 633 800 | 14 807 040 | 252 597 300 | 16 788 363 | 324 826 503 | 0,23 | 362 513 003 |
| Lithuania | p.m. | 98 240 542 | 98 240 542 | 31 307 425 | 61 424 100 | 10 737 120 | 406 404 561 | 27 010 849 | 505 576 630 | 0,36 | 603 817 172 |
| Luxembourg | p.m. | 18 897 265 | 18 897 265 | 6 022 205 | 64 496 550 | 14 015 760 | 354 096 735 | 23 534 316 | 456 143 361 | 0,33 | 475 040 626 |
| Hungary | p.m. | 173 496 399 | 173 496 399 | 55 290 061 | 170 625 000 | 152 341 120 | 1 154 418 750 | 76 726 083 | 1 554 110 953 | 1,11 | 1 727 607 352 |
| Malta | p.m. | 12 547 412 | 12 547 412 | 3 998 626 | 18 572 100 | 7 179 300 | 101 963 903 | 6 776 822 | 134 492 125 | 0,10 | 147 039 537 |

([1]) p.m. (own resources + other revenue = total revenue = total expenditure); (156 993 359 980 + 11 017 622 874 = 168 010 982 854 = 168 010 982 854).

| Member State | Traditional own resources (TOR) | | | | VAT, GNI and Plastics based own resources | | | | | | Total own resources (1) |
|---|---|---|---|---|---|---|---|---|---|---|---|
| | Net sugar sector levies | Net customs duties | Total net traditional own resources | Collection costs (p. m.) | VAT-based own resource | Plastics own resource | GNI-based own resource | Reduction in favour of certain Member States | Total'national contributions' | Share in total'na-national contribu-tions' (%) | |
| Netherlands | p.m. | 3 111 631 069 | 3 111 631 069 | 991 618 692 | 1 049 050 800 | 205 092 000 | 6 833 216 193 | - 1 491 415 629 | 6 595 943 364 | 4,72 | 9 707 574 433 |
| Austria | p.m. | 205 350 267 | 205 350 267 | 65 441 294 | 534 858 600 | 156 078 240 | 3 216 057 978 | - 358 478 155 | 3 548 516 663 | 2,54 | 3 753 866 930 |
| Poland | p.m. | 776 900 972 | 776 900 972 | 247 583 826 | 769 339 500 | 372 037 440 | 4 308 952 835 | 286 385 744 | 5 736 715 519 | 4,11 | 6 513 616 491 |
| Portugal | p.m. | 160 530 051 | 160 530 051 | 51 157 928 | 315 647 700 | 167 299 200 | 1 732 958 117 | 115 177 519 | 2 331 082 536 | 1,67 | 2 491 612 587 |
| Romania | p.m. | 180 048 775 | 180 048 775 | 57 378 181 | 232 470 000 | 116 763 920 | 1 898 841 546 | 126 202 623 | 2 374 278 089 | 1,70 | 2 554 326 864 |
| Slovenia | p.m. | 76 324 163 | 76 324 163 | 24 323 085 | 66 307 500 | 10 338 860 | 395 916 149 | 26 313 758 | 498 876 267 | 0,36 | 575 200 430 |
| Slovakia | p.m. | 78 017 738 | 78 017 738 | 24 862 796 | 110 376 900 | 33 593 920 | 778 213 668 | 51 722 381 | 973 906 869 | 0,70 | 1 051 924 607 |
| Finland | p.m. | 136 516 073 | 136 516 073 | 43 505 122 | 285 934 200 | 69 406 800 | 2 060 260 648 | 136 931 013 | 2 552 532 661 | 1,83 | 2 689 048 734 |
| Sweden | p.m. | 472 972 974 | 472 972 974 | 150 727 651 | 689 012 100 | 102 398 240 | 4 412 369 435 | - 789 414 388 | 4 414 365 387 | 3,16 | 4 887 338 361 |
| United Kingdom | p.m. | 462 180 042 | 462 180 042 | 115 545 011 | — | — | — | — | — | — | 462 180 042 |
| Total | p.m. | 17 348 140 020 | 17 348 140 020 | 5 496 785 002 | 17 940 791 850 | 5 846 664 880 | 115 857 763 230 | 0 | 139 645 219 960 | 100,00 | 156 993 359 980 |

(1) Total own resources as percentage of GNI: (156 993 359 980) / (14 068 521 000 000) = 1,12 %; own resources ceiling as percentage of GNI: 1,40 %.

## B. **GENERAL STATEMENT OF REVENUE BY BUDGET HEADING** ([1])

| Title | Heading | Budget 2021 | Amending budget No 6/2021 | New amount |
|---|---|---|---|---|
| 1 | OWN RESOURCES | 156 993 359 980 | | 156 993 359 980 |
| 2 | SURPLUSES, BALANCES AND ADJUSTMENTS | 1 768 617 610 | | 1 768 617 610 |
| 3 | ADMINISTRATIVE REVENUE | 1 725 783 332 | | 1 725 783 332 |
| 4 | FINANCIAL REVENUE, DEFAULT INTEREST AND FINES | 515 233 376 | | 515 233 376 |
| 5 | BUDGETARY GUARANTEES, BORROWING-AND-LENDING OPERATIONS | p.m. | | p.m. |
| 6 | REVENUE, CONTRIBUTIONS AND REFUNDS RELATED TO UNION POLICIES | 7 007 988 556 | | 7 007 988 556 |
| | **GRAND TOTAL** | **168 010 982 854** | | **168 010 982 854** |

([1]) The figures for Budget 2021 correspond to those in the 2021 budget (OJ L 93, 17.3.2021, p. 1) plus amending budget No 1 to No 3/2021 and draft amending budgets No 4 to No 5/2021.

## TITLE 1

## OWN RESOURCES

| Title Chapter | Heading | Budget 2021 | Amending budget No 6/2021 | New amount |
|---|---|---|---|---|
| 1 1 | LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (POINT (A) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM) | p.m. | | p.m. |
| 1 2 | CUSTOMS DUTIES AND OTHER DUTIES | 17 348 140 020 | | 17 348 140 020 |
| 1 3 | OWN RESOURCES BASED ON THE VALUE ADDED TAX | 17 940 791 850 | | 17 940 791 850 |
| 1 4 | OWN RESOURCES BASED ON GROSS NATIONAL INCOME | 115 877 408 110 | –19 644 880 | 115 857 763 230 |
| 1 5 | CORRECTION OF BUDGETARY IMBALANCES | — | | — |
| 1 6 | GROSS REDUCTION IN THE ANNUAL GNI-BASED CONTRIBUTION GRANTED TO CERTAIN MEMBER STATES | 0 | | 0 |
| 1 7 | OWN RESOURCES BASED ON PLASTIC PACKAGING WASTE NOT RECYCLED | 5 827 020 000 | 19 644 880 | 5 846 664 880 |
| | **Title 1 — Total** | **156 993 359 980** | | **156 993 359 980** |

# TITLE 1

# OWN RESOURCES

## CHAPTER 1 4 — OWN RESOURCES BASED ON GROSS NATIONAL INCOME

| Title Chapter Article Item | Heading | Budget 2021 | Amending budget No 6/2021 | New amount |
|---|---|---|---|---|
| 1 4 | OWN RESOURCES BASED ON GROSS NATIONAL INCOME | | | |
| ***1 4 0*** | ***Own resources based on gross national income*** | 115 877 408 110 | –19 644 880 | 115 857 763 230 |
| | **CHAPTER 1 4 — TOTAL** | **115 877 408 110** | **–19 644 880** | **115 857 763 230** |

### *1 4 0 Own resources based on gross national income*

| Budget 2021 | Amending budget No 6/2021 | New amount |
|---|---|---|
| 115 877 408 110 | –19 644 880 | 115 857 763 230 |

*Remarks*

The GNI-based resource is an'additional' resource, providing the revenue required to cover expenditure in excess of the amount yielded by traditional own resources, VAT-based payments, plastic based own resource and other revenue in any particular year. By implication, the GNI-based resource ensures that the budget is always balanced *ex ante*.

The GNI call rate is determined by the additional revenue needed to finance the budgeted expenditure not covered by the other resources (plastic based own resource, VAT-based resource, traditional own resources and other revenue). Thus, a call rate is applied to the GNI of each of the Member States.

The rate to be applied to the Member States' GNI for financial year 2021 is 0,8235 %.

*Legal basis*

Council Decision (EU, Euratom) 2020/2053 of 14 December 2020 on the system of own resources of the European Union and repealing Decision 2014/335/EU, Euratom (OJ L 424, 15.12.2020, p. 1), and in particular point (d) of Article 2(1) thereof.

Council Regulation (EU, Euratom) 2021/770 of 30 April 2021 on the calculation of the own resource based on plastic packaging waste that is not recycled, on the methods and procedure for making available that own resource, on the measures to meet cash requirements, and on certain aspects of the own resource based on gross national income (OJ L 165, 11.5.2021, p. 15), and in particular Article 4(1) thereof.

**CHAPTER 1 4 — OWN RESOURCES BASED ON GROSS NATIONAL INCOME** *(cont'd)*

***1 4 0*** *(cont'd)*

| Member State | Budget 2021 | Amending budget No. 6/2021 | New amount |
|---|---|---|---|
| Belgium | 3 961 599 565 | - 671 616 | 3 960 927 949 |
| Bulgaria | 522 657 109 | - 88 606 | 522 568 503 |
| Czechia | 1 776 566 001 | - 301 184 | 1 776 264 817 |
| Denmark | 2 742 804 313 | - 464 992 | 2 742 339 321 |
| Germany | 29 570 834 237 | - 5 013 192 | 29 565 821 045 |
| Estonia | 231 474 424 | - 39 242 | 231 435 182 |
| Ireland | 2 409 510 135 | - 408 488 | 2 409 101 647 |
| Greece | 1 413 150 414 | - 239 574 | 1 412 910 840 |
| Spain | 9 925 015 947 | - 1 682 604 | 9 923 333 343 |
| France | 20 347 774 800 | - 3 449 591 | 20 344 325 209 |
| Croatia | 436 799 973 | - 74 051 | 436 725 922 |
| Italy | 14 453 455 630 | - 2 450 317 | 14 451 005 313 |
| Cyprus | 174 765 950 | - 29 629 | 174 736 321 |
| Latvia | 252 640 130 | - 42 830 | 252 597 300 |
| Lithuania | 406 473 471 | - 68 910 | 406 404 561 |
| Luxembourg | 354 156 775 | - 60 040 | 354 096 735 |
| Hungary | 1 154 614 493 | - 195 743 | 1 154 418 750 |
| Malta | 101 981 192 | - 17 289 | 101 963 903 |
| Netherlands | 6 834 374 836 | - 1 158 643 | 6 833 216 193 |
| Austria | 3 216 603 294 | - 545 316 | 3 216 057 978 |
| Poland | 4 309 683 462 | - 730 627 | 4 308 952 835 |
| Portugal | 1 733 251 958 | - 293 841 | 1 732 958 117 |
| Romania | 1 899 163 515 | - 321 969 | 1 898 841 546 |
| Slovenia | 395 983 280 | - 67 131 | 395 916 149 |
| Slovakia | 778 345 622 | - 131 954 | 778 213 668 |
| Finland | 2 060 609 986 | - 349 338 | 2 060 260 648 |
| Sweden | 4 413 117 598 | - 748 163 | 4 412 369 435 |
| Article 1 4 0 — Total | 115 877 408 110 | - 19 644 880 | 115 857 763 230 |

**CHAPTER 1 7 — OWN RESOURCES BASED ON PLASTIC PACKAGING WASTE NOT RECYCLED**

| Title Chapter Article Item | Heading | Budget 2021 | Amending budget No 6/2021 | New amount |
|---|---|---|---|---|
| 1 7 | OWN RESOURCES BASED ON PLASTIC PACKAGING WASTE NOT RECYCLED | | | |
| ***1 7 0*** | ***Own resources based on plastic packaging waste not recycled*** | 5 827 020 000 | 19 644 880 | 5 846 664 880 |
| | **CHAPTER 1 7 — TOTAL** | **5 827 020 000** | **19 644 880** | **5 846 664 880** |

***1 7 0*** ***Own resources based on plastic packaging waste not recycled***

| Budget 2021 | Amending budget No 6/2021 | New amount |
|---|---|---|
| 5 827 020 000 | 19 644 880 | 5 846 664 880 |

*Remarks*

This article is intended to record the payments as a result of the application of a uniform call rate to the weight of plastic packaging waste generated in each Member State that is not recycled. The uniform call rate shall be EUR 0,80 per kilogram. Certain Member States shall be entitled to annual lump sum reductions.

*Legal basis*

Council Decision (EU, Euratom) 2020/2053 of 14 December 2020 on the system of own resources of the European Union and repealing Decision 2014/335/EU, Euratom (OJ L 424, 15.12.2020, p. 1), and in particular point (c) of Article 2(1), and Article 2(2) thereof.

| Member State | Budget 2021 | Amending budget No. 6/2021 | New amount |
|---|---|---|---|
| Belgium | 151 012 640 | — | 151 012 640 |
| Bulgaria | 22 173 920 | — | 22 173 920 |
| Czechia | 59 005 200 | - 7 346 960 | 51 658 240 |
| Denmark | 124 519 840 | — | 124 519 840 |
| Germany | 1 323 166 480 | 34 141 200 | 1 357 307 680 |
| Estonia | 22 481 040 | — | 22 481 040 |
| Ireland | 145 762 960 | — | 145 762 960 |
| Greece | 48 034 240 | — | 48 034 240 |
| Spain | 486 214 880 | - 7 460 400 | 478 754 480 |
| France | 1 247 247 520 | — | 1 247 247 520 |
| Croatia | 16 176 880 | 1 629 280 | 17 806 160 |

**CHAPTER 1 7 — OWN RESOURCES BASED ON PLASTIC PACKAGING WASTE NOT RECYCLED** *(cont'd)*

***1 7 0*** *(cont'd)*

| Member State | Budget 2021 | Amending budget No. 6/2021 | New amount |
|---|---|---|---|
| Italy | 749 133 680 | - 4 694 400 | 744 439 280 |
| Cyprus | 3 377 920 | — | 3 377 920 |
| Latvia | 14 807 040 | — | 14 807 040 |
| Lithuania | 10 737 120 | — | 10 737 120 |
| Luxembourg | 14 015 760 | — | 14 015 760 |
| Hungary | 155 190 560 | - 2 849 440 | 152 341 120 |
| Malta | 7 179 300 | — | 7 179 300 |
| Netherlands | 205 092 000 | — | 205 092 000 |
| Austria | 148 156 400 | 7 921 840 | 156 078 240 |
| Poland | 372 037 440 | — | 372 037 440 |
| Portugal | 167 299 200 | — | 167 299 200 |
| Romania | 116 763 920 | — | 116 763 920 |
| Slovenia | 10 338 860 | — | 10 338 860 |
| Slovakia | 35 290 160 | - 1 696 240 | 33 593 920 |
| Finland | 69 406 800 | — | 69 406 800 |
| Sweden | 102 398 240 | — | 102 398 240 |
| Article 1 7 0 — Total | 5 827 020 000 | 19 644 880 | 5 846 664 880 |

*SECTION III*

**COMMISSION**

COMMISSION

## EXPENDITURE

| Title | Heading | Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|---|---|
| | | Commitments | Payments | Commitments | Payments | Commitments | Payments |
| 01 | RESEARCH AND INNOVATION | 12 646 069 534 | 10 716 492 949 | | | 12 646 069 534 | 10 716 492 949 |
| 02 | EUROPEAN STRATEGIC INVESTMENTS | 5 236 934 445 | 3 954 691 493 | | | 5 236 934 445 | 3 954 691 493 |
| 03 | SINGLE MARKET | 899 252 697 | 833 005 699 | | | 899 252 697 | 833 005 699 |
| 04 | SPACE | 2 034 303 091 | 1 687 697 091 | | | 2 034 303 091 | 1 687 697 091 |
| 05 | REGIONAL DEVELOPMENT AND COHESION | 35 410 370 000 | 45 755 416 812 | | | 35 410 370 000 | 45 755 416 812 |
| 06 | RECOVERY AND RESILIENCE | 1 059 937 421 | 1 028 986 793 | 20 000 000 | | 1 079 937 421 | 1 028 986 793 |
| 07 | INVESTING IN PEOPLE, SOCIAL COHESION AND VALUES | 16 607 631 113 | 19 577 122 299 | | | 16 607 631 113 | 19 577 122 299 |
| 08 | AGRICULTURE AND MARITIME POLICY | 56 565 951 003 | 56 302 994 194 | 3 487 290 | – 450 000 000 | 56 569 438 293 | 55 852 994 194 |
| | *Reserves (30 02 02)* | *74 600 000* | *71 600 000* | | | *74 600 000* | *71 600 000* |
| 09 | ENVIRONMENT AND CLIMATE ACTION | 1 930 015 905 | 431 609 258 | | | 1 930 015 905 | 431 609 258 |
| 10 | MIGRATION | 1 011 065 714 | 1 439 158 714 | | | 1 011 065 714 | 1 439 158 714 |
| 11 | BORDER MANAGEMENT | 1 267 764 045 | 1 247 087 264 | | | 1 267 764 045 | 1 247 087 264 |
| 12 | SECURITY | 536 501 243 | 527 390 243 | | | 536 501 243 | 527 390 243 |
| 13 | DEFENCE | 1 172 760 198 | 143 238 000 | | | 1 172 760 198 | 143 238 000 |
| 14 | EXTERNAL ACTION | 14 345 561 527 | 8 928 643 283 | 450 000 000 | 450 000 000 | 14 795 561 527 | 9 378 643 283 |
| 15 | PRE-ACCESSION ASSISTANCE | 1 901 438 473 | 1 882 396 073 | | | 1 901 438 473 | 1 882 396 073 |
| 16 | EXPENDITURE OUTSIDE THE ANNUAL CEILINGS SET OUT IN THE MULTI-ANNUAL FINANCIAL FRAMEWORK | 97 981 598 | 117 981 598 | | | 97 981 598 | 117 981 598 |
| 20 | ADMINISTRATIVE EXPENDITURE OF THE EUROPEAN COMMISSION | 3 724 183 236 | 3 725 458 325 | | | 3 724 183 236 | 3 725 458 325 |
| 21 | EUROPEAN SCHOOLS AND PENSIONS | 2 411 594 399 | 2 411 594 399 | | | 2 411 594 399 | 2 411 594 399 |
| 30 | RESERVES | 3 193 368 000 | 2 992 983 000 | | | 3 193 368 000 | 2 992 983 000 |
| | **Total** | **162 052 683 642** | **163 703 947 487** | **473 487 290** | **0** | **162 526 170 932** | **163 703 947 487** |
| | ***Of which Reserves (30 02 02)*** | ***74 600 000*** | ***71 600 000*** | | | ***74 600 000*** | ***71 600 000*** |

COMMISSION

*TITLE 05*

**REGIONAL DEVELOPMENT AND COHESION**

COMMISSION
*TITLE 05 — REGIONAL DEVELOPMENT AND COHESION*

# TITLE 05

# REGIONAL DEVELOPMENT AND COHESION

## General summary of appropriations (2021 and 2020) and outturn (2019)

| Title Chapter | Heading | Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|---|---|
| | | Commitments | Payments | Commitments | Payments | Commitments | Payments |
| 05 01 | SUPPORT ADMINISTRATIVE EXPENDITURE OF THE'REGIONAL DEVELOPMENT AND COHESION' CLUSTER | 15 669 172 | 15 669 172 | | | 15 669 172 | 15 669 172 |
| 05 02 | EUROPEAN REGIONAL DEVELOPMENT FUND (ERDF) | 29 234 531 303 | 33 865 171 187 | | | 29 234 531 303 | 33 865 171 187 |
| 05 03 | COHESION FUND (CF) | 6 130 057 000 | 11 837 302 553 | | | 6 130 057 000 | 11 837 302 553 |
| 05 04 | SUPPORT TO THE TURKISH-CYPRIOT COMMUNITY | 30 112 525 | 33 000 000 | | | 30 112 525 | 33 000 000 |
| 05 20 | PILOT PROJECTS, PREPARATORY ACTIONS, PREROGATIVES AND OTHER ACTIONS | p.m. | 4 273 900 | | | p.m. | 4 273 900 |
| | **Title 05 — Total** | **35 410 370 000** | **45 755 416 812** | | | **35 410 370 000** | **45 755 416 812** |

# TITLE 05

# REGIONAL DEVELOPMENT AND COHESION

## CHAPTER 05 02 — EUROPEAN REGIONAL DEVELOPMENT FUND (ERDF)

| Title Chapter Article Item | Heading | FF | Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|---|---|---|
| | | | Commitments | Payments | Commitments | Payments | Commitments | Payments |
| 05 02 | EUROPEAN REGIONAL DEVELOPMENT FUND (ERDF) | | | | | | | |
| ***05 02 01*** | ***ERDF — Operational expenditure*** | 2.1 | 29 064 448 802 | 1 181 530 830 | | | 29 064 448 802 | 1 181 530 830 |
| ***05 02 02*** | ***ERDF — Operational technical assistance*** | 2.1 | 96 419 103 | 35 504 052 | | | 96 419 103 | 35 504 052 |
| ***05 02 03*** | ***European Urban Initiative*** | 2.1 | 73 663 398 | 59 003 869 | | | 73 663 398 | 59 003 869 |
| ***05 02 04*** | ***Just Transition Fund (JTF) — Contribution from the'European Regional Development Fund (ERDF)'*** | 2.1 | p.m. | p.m. | | | p.m. | p.m. |
| ***05 02 05*** | ***ERDF — Financing under REACT-EU*** | | | | | | | |
| 05 02 05 01 | ERDF — Operational expenditure — Financing under REACT-EU | 2.1 | p.m. | p.m. | | | p.m. | p.m. |
| 05 02 05 02 | ERDF — Operational technical assistance — Financing under REACT-EU | 2.1 | p.m. | p.m. | | | p.m. | p.m. |
| 05 02 05 03 | ETC — Operational expenditure — Financing under REACT-EU | 2.1 | p.m. | p.m. | | | p.m. | p.m. |
| | *Article 05 02 05 — Subtotal* | | p.m. | p.m. | | | p.m. | p.m. |
| ***05 02 06*** | ***InvestEU Fund — Contribution from the ERDF*** | | | | | | p.m. | p.m. |
| ***05 02 07*** | ***Border Management and Visa Instrument (BMVI) — Contribution from the ERDF*** | | | | | | p.m. | p.m. |
| ***05 02 08*** | ***EMFAF — Contribution from the ERDF*** | | | | | | p.m. | p.m. |
| ***05 02 09*** | ***Horizon Europe — Contribution from the ERDF*** | | | | | | p.m. | p.m. |
| ***05 02 10*** | ***Digital Europe — Contribution from the ERDF*** | | | | | | p.m. | p.m. |

COMMISSION
*TITLE 05 — REGIONAL DEVELOPMENT AND COHESION*

**CHAPTER 05 02 — EUROPEAN REGIONAL DEVELOPMENT FUND (ERDF)** *(cont'd)*

| Title Chapter Article Item | Heading | FF | Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|---|---|---|
| | | | Commitments | Payments | Commitments | Payments | Commitments | Payments |
| ***05 02 99*** | ***Completion of previous programmes and activities*** | | | | | | | |
| 05 02 99 01 | Completion of the European Regional Development Fund (ERDF) — Operational expenditure (prior to 2021) | 2.1 | p.m. | 32 533 153 179 | | | p.m. | 32 533 153 179 |
| 05 02 99 02 | Completion of the ERDF — Operational technical assistance (prior to 2021) | 2.1 | p.m. | 31 951 250 | | | p.m. | 31 951 250 |
| 05 02 99 03 | Completion of the ERDF — Article 25 - Article 11 (prior to 2021) | 2.1 | p.m. | 3 000 000 | | | p.m. | 3 000 000 |
| 05 02 99 04 | Completion of the ERDF — Innovative actions in the field of sustainable urban development (prior to 2021) | 2.1 | p.m. | 21 028 007 | | | p.m. | 21 028 007 |
| | *Article 05 02 99 — Subtotal* | | p.m. | 32 589 132 436 | | | p.m. | 32 589 132 436 |
| | **Chapter 05 02 — Total** | | **29 234 531 303** | **33 865 171 187** | | | **29 234 531 303** | **33 865 171 187** |

*Remarks*

ERDF support under the investment for growth and jobs goal and for the European territorial cooperation goal (Interreg) in the 2021-2027 programming period.

It will cover the following three categories of regions:

— less developed regions, with a GDP per capita less than 75 % of the average GDP of the Union,

— transition regions, with a GDP per capita between 75 % and 100 % of the average GDP of the Union,

— more developed regions, with a GDP per capita above 100 % of the average GDP of the Union.

In accordance with Articles 21, 22 and 24 of the Financial Regulation, contributions received from third countries (EFTA States pursuant to the Agreement on the European Economic Area, candidate countries and, if applicable, the Western Balkan potential candidates, or other countries) for participating in Union programmes, and any other assigned revenue, entered in the statement of revenue give rise to the provision of corresponding appropriations and to implementation under this chapter.

The related estimated amounts as well as the corresponding article or item of the statement of revenue are indicated, wherever possible, in the relevant budget lines.

**CHAPTER 05 02 — EUROPEAN REGIONAL DEVELOPMENT FUND (ERDF)** *(cont'd)*

In addition, and in accordance with Regulation (EU) 2020/2094 of 14 December 2020, external assigned revenue resulting from proceeds of the Recovery Instrument entered in the statement of revenue give rise to the provision of appropriations for programmes financed under the REACT-EU under Titles 05 and 07 for a total amount of 50 620 000 000 in commitments. The amounts indicated in the budget remarks of the relevant budget lines under this Title provide information about the expected amount of legal commitments in 2021.

*Legal basis*

Council Regulation (EU) 2020/2094 of 14 December 2020 establishing a European Union Recovery Instrument to support the recovery in the aftermath of the COVID-19 crisis (OJ L 433 I, 22.12.2020, p. 23).

Regulation (EU) 2020/2221 of the European Parliament and of the Council of 23 December 2020 amending Regulation (EU) No 1303/2013 as regards additional resources and implementing arrangements to provide assistance for fostering crisis repair in the context of the COVID-19 pandemic and its social consequences and for preparing a green, digital and resilient recovery of the economy (REACT-EU) (OJ L 437, 28.12.2020, p. 30).

Regulation (EU) 2021/1058 of the European Parliament and of the Council of 24 June 2021 on the European Regional Development Fund and on the Cohesion Fund (OJ L 231, 30.6.2021, p. 60).

Regulation (EU) 2021/1059 of the European Parliament and of the Council of 24 June 2021 on specific provisions for the European territorial cooperation goal (Interreg) supported by the European Regional Development Fund and external financing instruments (OJ L 231, 30.6.2021, p. 94).

Regulation (EU) 2021/1056 of the European Parliament and of the Council of 24 June 2021 establishing the Just Transition Fund (OJ L 231, 30.6.2021, p. 1).

Regulation (EU) 2021/1060 of the European Parliament and of the Council of 24 June 2021 laying down common provisions on the European Regional Development Fund, the European Social Fund Plus, the Cohesion Fund, the Just Transition Fund and the European Maritime, Fisheries and Aquaculture Fund and financial rules for those and for the Asylum, Migration and Integration Fund, the Internal Security Fund and the Instrument for Financial Support for Border Management and Visa Policy (OJ L 231, 30.6.2021, p. 159).

*Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 29 May 2018, on a mechanism to resolve legal and administrative obstacles in a cross-border context (COM(2018) 373).

### *05 02 06 InvestEU Fund — Contribution from the ERDF*

*Figures (Differentiated appropriations)*

| Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|
| Commitments | Payments | Commitments | Payments | Commitments | Payments |
| | | | | p.m. | p.m. |

COMMISSION
*TITLE 05 — REGIONAL DEVELOPMENT AND COHESION*

**CHAPTER 05 02 — EUROPEAN REGIONAL DEVELOPMENT FUND (ERDF)** *(cont'd)*

***05 02 06*** *(cont'd)*

*Remarks*

*New article*

This appropriation is intended to complement the Invest EU resources following Member State(s) request in the Partnership Agreement or in a request for an amendment of a programme to transfer up to a maximum of 5% of the initial national allocation of ERDF to Invest EU pursuant to Article 14 of the CPR. Transferred resources will be implemented in accordance with the rules of Invest EU and shall be used for the provisioning of the part of the EU guarantee under the Member State compartment and for the InvestEU Advisory Hub upon conclusion of the contribution agreement in accordance with Article 10(3) of the InvestEU Regulation.

***05 02 07*** ***Border Management and Visa Instrument (BMVI) — Contribution from the ERDF***

*Figures (Differentiated appropriations)*

| Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|
| Commitments | Payments | Commitments | Payments | Commitments | Payments |
| | | | | p.m. | p.m. |

*Remarks*

*New article*

This appropriation is intended to complement the Border Management and Visa Instrument (BMVI) resources following Member State(s) request in the Partnership Agreement or in a request for an amendment of a programme to transfer up to 5 % of the initial national allocation of ERDF to BMVI pursuant to Article 26 of the CPR. Transferred resources will be implemented in accordance with the rules of BMVI and for the benefit of the Member State(s) concerned.

***05 02 08*** ***EMFAF — Contribution from the ERDF***

*Figures (Differentiated appropriations)*

| Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|
| Commitments | Payments | Commitments | Payments | Commitments | Payments |
| | | | | p.m. | p.m. |

*Remarks*

*New article*

This appropriation is intended to complement the European Maritime, Fisheries and Aquaculture Fund (EMFAF) resources following Member State(s) request in the Partnership Agreement or in a request for an amendment of a programme to transfer up to 5 % of the initial national allocation of ERDF to EMFAF pursuant to Article 26 of the CPR. Transferred resources will be implemented in accordance with the rules of EMFAF and for the benefit of the Member State(s) concerned.

**CHAPTER 05 02 — EUROPEAN REGIONAL DEVELOPMENT FUND (ERDF)** *(cont'd)*

### *05 02 09 Horizon Europe — Contribution from the ERDF*

*Figures (Differentiated appropriations)*

| Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|
| Commitments | Payments | Commitments | Payments | Commitments | Payments |
| | | | | p.m. | p.m. |

*Remarks*

*New article*

This appropriation is intended to complement the Horizon Europe resources following Member State(s) request in the Partnership Agreement or in a request for an amendment of a programme to transfer up to 5 % of the initial national allocation of ERDF to Horizon Europe pursuant to Article 26 of the CPR. Transferred resources will be implemented in accordance with the rules of Horizon Europe and for the benefit of the Member State(s) concerned.

### *05 02 10 Digital Europe — Contribution from the ERDF*

*Figures (Differentiated appropriations)*

| Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|
| Commitments | Payments | Commitments | Payments | Commitments | Payments |
| | | | | p.m. | p.m. |

*Remarks*

*New article*

This appropriation is intended to complement the Digital Europe resources following Member State(s) request in the Partnership Agreement or in a request for an amendment of a programme to transfer up to 5 % of the initial national allocation of ERDF to Digital Europe pursuant to Article 26 of the CPR. Transferred resources will be implemented in accordance with the rules of Digital Europe and for the benefit of the Member State(s) concerned.

COMMISSION
*TITLE 05 — REGIONAL DEVELOPMENT AND COHESION*

**CHAPTER 05 03 — COHESION FUND (CF)**

| Title Chapter Article Item | Heading | FF | Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|---|---|---|
| | | | Commitments | Payments | Commitments | Payments | Commitments | Payments |
| 05 03 | COHESION FUND (CF) | | | | | | | |
| ***05 03 01*** | ***Cohesion Fund (CF) — Operational expenditure*** | 2.1 | 4 679 268 040 | 187 475 564 | | | 4 679 268 040 | 187 475 564 |
| ***05 03 02*** | ***Cohesion Fund (CF) — Operational technical assistance*** | 2.1 | 14 896 960 | 6 594 137 | | | 14 896 960 | 6 594 137 |
| ***05 03 03*** | ***Connecting Europe Facility (CEF) — Transport — Cohesion Fund (CF) allocation*** | 2.1 | 1 435 892 000 | 40 000 000 | | | 1 435 892 000 | 40 000 000 |
| ***05 03 04*** | ***InvestEU Fund — Contribution from the CF*** | | | | | | p.m. | p.m. |
| ***05 03 05*** | ***Border Management and Visa Instrument (BMVI) — Contribution from the CF*** | | | | | | p.m. | p.m. |
| ***05 03 06*** | ***EMFAF — Contribution from the CF*** | | | | | | p.m. | p.m. |
| ***05 03 07*** | ***Horizon Europe — Contribution from the CF*** | | | | | | p.m. | p.m. |
| ***05 03 08*** | ***Digital Europe — Contribution from the CF*** | | | | | | p.m. | p.m. |
| ***05 03 99*** | ***Completion of previous programmes and activities*** | | | | | | | |
| 05 03 99 01 | Completion of the Cohesion Fund (CF) — Operational expenditure (prior to 2021) | 2.1 | p.m. | 10 390 032 852 | | | p.m. | 10 390 032 852 |
| 05 03 99 02 | Completion of the Cohesion Fund (CF) — Operational technical assistance (prior to 2021) | 2.1 | p.m. | 9 300 000 | | | p.m. | 9 300 000 |
| 05 03 99 03 | Completion of the Connecting Europe Facility (CEF) — Cohesion Fund (CF) allocation (2014-2020) | 2.1 | — | 1 203 600 000 | | | — | 1 203 600 000 |
| 05 03 99 04 | Completion of the Cohesion Fund (CF) — Article 25 – Article 11 (prior to 2021) | 2.1 | p.m. | 300 000 | | | p.m. | 300 000 |
| | *Article 05 03 99 — Subtotal* | | p.m. | 11 603 232 852 | | | p.m. | 11 603 232 852 |
| | **Chapter 05 03 — Total** | | **6 130 057 000** | **11 837 302 553** | | | **6 130 057 000** | **11 837 302 553** |

**CHAPTER 05 03 — COHESION FUND (CF)** *(cont'd)*

*Remarks*

Cohesion Fund (CF) support under the Investment for growth and jobs goal in the 2021-2027 programming period. The CF will support Member States whose GNI per capita, measured in Purchasing Power Standards (PPS) and calculated on the basis of Union figures for the period 2014-2016, is less than 90 % of the average GNI per capita of the EU-27 for the same reference period. The appropriation, while ensuring an appropriate balance and according to the investment and infrastructure needs specific to each Member State, is intended to support:

— investments in the environment, including areas related to sustainable development and energy which present environmental benefits,

— the Connecting Europe Facility (CEF)

In accordance with Articles 21, 22 and 24 of the Financial Regulation, contributions received from third countries (EFTA States pursuant to the Agreement on the European Economic Area, candidate countries and, if applicable, the Western Balkan potential candidates, or other countries) for participating in Union programmes, and any other assigned revenue, entered in the statement of revenue give rise to the provision of corresponding appropriations and to implementation under this Chapter.

The related estimated amounts as well as the corresponding article or item of the statement of revenue are indicated, wherever possible, in the relevant budget lines.

*Legal basis*

Regulation (EU) No 1315/2013 of the European Parliament and of the Council of 11 December 2013 on Union guidelines for the development of the trans-European transport network and repealing Decision No 661/2010/EU (OJ L 348, 20.12.2013, p.1).

Regulation (EU) 2021/1058 of the European Parliament and of the Council of 24 June 2021 on the European Regional Development Fund and on the Cohesion Fund (OJ L 231, 30.6.2021, p. 60).

Regulation (EU) 2021/1059 of the European Parliament and of the Council of 24 June 2021 on specific provisions for the European territorial cooperation goal (Interreg) supported by the European Regional Development Fund and external financing instruments (OJ L 231, 30.6.2021, p. 94).

Regulation (EU) 2021/1060 of the European Parliament and of the Council of 24 June 2021 laying down common provisions on the European Regional Development Fund, the European Social Fund Plus, the Cohesion Fund, the Just Transition Fund and the European Maritime, Fisheries and Aquaculture Fund and financial rules for those and for the Asylum, Migration and Integration Fund, the Internal Security Fund and the Instrument for Financial Support for Border Management and Visa Policy (OJ L 231, 30.6.2021, p. 159).

Regulation (EU) 2021/1153 of the European Parliament and of the Council of 7 July 2021 establishing the Connecting Europe Facility and repealing Regulations (EU) No 1316/2013 and (EU) No 283/2014 (OJ L 249, 14.7.2021, p. 38).

*Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 29 May 2018, on a mechanism to resolve legal and administrative obstacles in a cross-border context (COM(2018) 373).

COMMISSION
*TITLE 05 — REGIONAL DEVELOPMENT AND COHESION*

**CHAPTER 05 03 — COHESION FUND (CF)** *(cont'd)*

### *05 03 04 InvestEU Fund — Contribution from the CF*

*Figures (Differentiated appropriations)*

| Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|
| Commitments | Payments | Commitments | Payments | Commitments | Payments |
| | | | | p.m. | p.m. |

*Remarks*

*New article*

This appropriation is intended to complement the Invest EU resources following Member State(s) request in the Partnership Agreement or in a request for an amendment of a programme to transfer up to a maximum of 5% of the initial national allocation of the Cohesion Fund to Invest EU pursuant to Article 14 of the CPR. Transferred resources will be implemented in accordance with the rules of Invest EU and shall be used for the provisioning of the part of the EU guarantee under the Member State compartment and for the InvestEU Advisory Hub upon conclusion of the contribution agreement in accordance with Article 10(3) of the InvestEU Regulation.

### *05 03 05 Border Management and Visa Instrument (BMVI) — Contribution from the CF*

*Figures (Differentiated appropriations)*

| Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|
| Commitments | Payments | Commitments | Payments | Commitments | Payments |
| | | | | p.m. | p.m. |

*Remarks*

*New article*

This appropriation is intended to complement the Border Management and Visa Instrument (BMVI) resources following Member State(s) request in the Partnership Agreement or in a request for an amendment of a programme to transfer up to 5 % of the initial national allocation of the Cohesion Fund to BMVI pursuant to Article 26 of the CPR. Transferred resources will be implemented in accordance with the rules of BMVI and for the benefit of the Member State(s) concerned.

### *05 03 06 EMFAF — Contribution from the CF*

*Figures (Differentiated appropriations)*

| Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|
| Commitments | Payments | Commitments | Payments | Commitments | Payments |
| | | | | p.m. | p.m. |

**CHAPTER 05 03 — COHESION FUND (CF)** *(cont'd)*

***05 03 06*** *(cont'd)*

*Remarks*

*New article*

This appropriation is intended to complement the European Maritime, Fisheries and Aquaculture Fund (EMFAF) resources following Member State(s) request in the Partnership Agreement or in a request for an amendment of a programme to transfer up to 5 % of the initial national allocation of the Cohesion Fund to EMFAF pursuant to Article 26 of the CPR. Transferred resources will be implemented in accordance with the rules of EMFAF and for the benefit of the Member State(s) concerned.

***05 03 07*** ***Horizon Europe — Contribution from the CF***

*Figures (Differentiated appropriations)*

| Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|
| Commitments | Payments | Commitments | Payments | Commitments | Payments |
| | | | | p.m. | p.m. |

*Remarks*

*New article*

This appropriation is intended to complement Horizon Europe resources following Member State(s) request in the Partnership Agreement or in a request for an amendment of a programme to transfer up to 5 % of the initial national allocation of the Cohesion Fund to Horizon Europe pursuant to Article 26 of the CPR. Transferred resources will be implemented in accordance with the rules of Horizon Europe and for the benefit of the Member State(s) concerned.

***05 03 08*** ***Digital Europe — Contribution from the CF***

*Figures (Differentiated appropriations)*

| Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|
| Commitments | Payments | Commitments | Payments | Commitments | Payments |
| | | | | p.m. | p.m. |

*Remarks*

*New article*

This appropriation is intended to complement Digital Europe resources following Member State(s) request in the Partnership Agreement or in a request for an amendment of a programme to transfer up to 5 % of the initial national allocation of the Cohesion Fund to Digital Europe pursuant to Article 26 of the CPR. Transferred resources will be implemented in accordance with the rules of Digital Europe and for the benefit of the Member State(s) concerned.

COMMISSION

*TITLE 06*

**RECOVERY AND RESILIENCE**

# TITLE 06

# RECOVERY AND RESILIENCE

## General summary of appropriations (2021 and 2020) and outturn (2019)

| Title Chapter | Heading | Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|---|---|
| | | Commitments | Payments | Commitments | Payments | Commitments | Payments |
| 06 01 | SUPPORT ADMINISTRATIVE EXPENDITURE OF THE'RECOVERY AND RESILIENCE' CLUSTER | 22 774 102 | 22 774 102 | | | 22 774 102 | 22 774 102 |
| 06 02 | RECOVERY AND RESILIENCE FACILITY (INCLUDING TECHNICAL SUPPORT INSTRUMENT) | 114 364 000 | 107 182 000 | | | 114 364 000 | 107 182 000 |
| 06 03 | PROTECTION OF THE EURO AGAINST COUNTERFEITING | 834 082 | 782 583 | | | 834 082 | 782 583 |
| 06 04 | EUROPEAN UNION RECOVERY INSTRUMENT (EURI) | 34 591 000 | 34 591 000 | | | 34 591 000 | 34 591 000 |
| 06 05 | UNION CIVIL PROTECTION MECHANISM (RESCEU) | 90 203 000 | 193 531 962 | 57 811 000 | | 148 014 000 | 193 531 962 |
| 06 06 | EU4HEALTH PROGRAMME | 311 684 898 | 112 100 098 | | | 311 684 898 | 112 100 098 |
| 06 07 | EMERGENCY SUPPORT WITHIN THE UNION | 156 200 000 | 238 100 000 | | | 156 200 000 | 238 100 000 |
| 06 10 | DECENTRALISED AGENCIES | 317 886 339 | 307 644 524 | –37 811 000 | | 280 075 339 | 307 644 524 |
| 06 20 | PILOT PROJECTS, PREPARATORY ACTIONS, PREROGATIVES AND OTHER ACTIONS | 11 400 000 | 12 280 524 | | | 11 400 000 | 12 280 524 |
| | **Title 06 — Total** | **1 059 937 421** | **1 028 986 793** | **20 000 000** | | **1 079 937 421** | **1 028 986 793** |

COMMISSION
*TITLE 06 — RECOVERY AND RESILIENCE*

# TITLE 06

# RECOVERY AND RESILIENCE

## CHAPTER 06 05 — UNION CIVIL PROTECTION MECHANISM (RESCEU)

| Title Chapter Article Item | Heading | FF | Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|---|---|---|
| | | | Commitments | Payments | Commitments | Payments | Commitments | Payments |
| 06 05 | UNION CIVIL PROTECTION MECHANISM (RESCEU) | | | | | | | |
| ***06 05 01*** | ***Union Civil Protection Mechanism (rescEU)*** | 2.2 | 90 203 000 | 25 613 000 | 57 811 000 | | 148 014 000 | 25 613 000 |
| ***06 05 99*** | ***Completion of previous programmes and activities*** | | | | | | | |
| 06 05 99 01 | Completion of previous programmes and actions in the field of civil protection within the Union (prior to 2021) | 2.2 | p.m. | 145 550 088 | | | p.m. | 145 550 088 |
| 06 05 99 02 | Completion of previous programmes and actions in the field of civil protection in third countries (prior to 2021) | 2.2 | p.m. | 22 368 874 | | | p.m. | 22 368 874 |
| | *Article 06 05 99 — Subtotal* | | p.m. | 167 918 962 | | | p.m. | 167 918 962 |
| | **Chapter 06 05 — Total** | | **90 203 000** | **193 531 962** | **57 811 000** | | **148 014 000** | **193 531 962** |

*Remarks*

Appropriations under this chapter are intended to cover expenditure to support the actions under the Union Civil Protection Mechanism (rescEU).

In accordance with Articles 21, 22 and 24 of the Financial Regulation, contributions received from third countries (EFTA States pursuant to the Agreement on the European Economic Area, candidate countries and, if applicable, the Western Balkan potential candidates, or other countries) for participating in Union programmes, and any other assigned revenue, entered in the statement of revenue give rise to the provision of corresponding appropriations and to implementation under this chapter.

The related estimated amounts as well as the corresponding article or item of the statement of revenue are indicated, wherever possible, in the relevant budget lines.

In addition, and in accordance with Regulation (EU) 2020/2094, external assigned revenue resulting from proceeds of the Next Generation EU/European Union Recovery Instrument entered in the statement of revenue give rise to the provision of appropriations for this programme under this title for a total amount of EUR 2 056 000 000 in commitments The amounts indicated in the budget remarks of the relevant budget lines under this title provide information about the expected amount of legal commitments in 2021.

**CHAPTER 06 05 — UNION CIVIL PROTECTION MECHANISM (RESCEU)** *(cont'd)*

*Legal basis*

Decision No 1313/2013/EU of the European Parliament and of the Council of 17 December 2013 on a Union Civil Protection Mechanism (OJ L 347, 20.12.2013, p. 924).

Council Regulation (EU) 2020/2094 of 14 December 2020 establishing a European Union Recovery Instrument to support the recovery in the aftermath of the COVID-19 crisis (OJ L 433I, 22.12.2020, p. 23).

Regulation (EU) 2021/836 of the European Parliament and of the Council of 20 May 2021 amending Decision No 1313/2013/EU on a Union Civil Protection Mechanism (OJ L 185, 26.5.2021, p. 1).

***06 05 01 Union Civil Protection Mechanism (rescEU)***

*Figures (Differentiated appropriations)*

| Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|
| Commitments | Payments | Commitments | Payments | Commitments | Payments |
| 90 203 000 | 25 613 000 | 57 811 000 | | 148 014 000 | 25 613 000 |

*Remarks*

The Union Civil Protection Mechanism (rescEU) intervenes in all phases of the disaster management cycle: prevention, preparedness and response, and its geographical scope is both within and outside the Union.

As regards prevention, the mechanism aims in particular at fostering a shared culture of prevention with activities supporting and promoting Member States' risk assessment and risk reduction efforts, such as the sharing of good practice, the compilation and dissemination of information from Member States on risk management activities including through cross-border projects, peer reviews, and advisory missions. The mechanism also provides funding to scale-up Member States' disaster risk management strategies and to support the development of projects leveraging disaster risk management investments.

Preparedness efforts are supported, in particular, through the pooling of civil protection capacities in the form of the European Civil Protection Pool (ECPP), as well as the development of additional capacities at Union level to complement national efforts (the rescEU reserve and the rescEU transition phase). Preparedness is also improved through training, exercises, the exchange of best practices and experts, all under the umbrella of the Union Civil Protection Knowledge Network. The mechanism also supports the study and development of disaster detection and early warning systems, and promotes scientific analysis and expert support.

Concerning the international dimension, the mechanism facilitates cooperation with the enlargement countries and countries under the European Neighbourhood Policy in the area of disaster management, through the funding of projects, training and policy dialogues.

COMMISSION
*TITLE 06 — RECOVERY AND RESILIENCE*

**CHAPTER 06 05 — UNION CIVIL PROTECTION MECHANISM (RESCEU)** *(cont'd)*

***06 05 01*** *(cont'd)*

In relation to response, the mechanism contributes through the Emergency Response Coordination Centre (ERCC) to the rapid and efficient deployment of national capacities, ECPP modules and/or rescEU capacities, as well as of trained experts and EUCP teams for operations in Member States or participating states, and in any third country. The mechanism support is financial, operational and facilitates coordination.

This article also covers a wide array of horizontal activities supporting the proper functioning of the mechanism. These include, among others, communication activities, project and IT support to operations, and other activities supporting policy development, such as workshops, seminars, projects, studies, surveys, modelling, scenario-building and contingency planning, as well as audits and evaluations.

Assigned revenue (origin, estimated amounts and corresponding article or item of the statement of revenue).

| | |
|---|---|
| Proceeds from EURI | 678 618 678 5 0 4 0 |
| EFTA-EEA | 2 399 400 6 6 0 0 |
| Candidate countries and Western Balkan potential candidates | 1 020 000 6 4 2 0 |

**CHAPTER 06 10 — DECENTRALISED AGENCIES**

| Title Chapter Article Item | Heading | FF | Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|---|---|---|
| | | | Commitments | Payments | Commitments | Payments | Commitments | Payments |
| 06 10 | DECENTRALISED AGENCIES | | | | | | | |
| ***06 10 01*** | ***European Centre for Disease Prevention and Control*** | 2.2 | 138 525 714 | 138 525 714 | –20 000 000 | | 118 525 714 | 138 525 714 |
| ***06 10 02*** | ***European Food Safety Authority*** | 2.2 | 125 370 625 | 115 128 810 | | | 125 370 625 | 115 128 810 |
| ***06 10 03*** | ***European Medicines Agency*** | | | | | | | |
| 06 10 03 01 | Union contribution to the European Medicines Agency | 2.2 | 39 990 000 | 39 990 000 | –17 811 000 | | 22 179 000 | 39 990 000 |
| 06 10 03 02 | Special contribution for orphan medicinal products | 2.2 | 14 000 000 | 14 000 000 | | | 14 000 000 | 14 000 000 |
| | *Article 06 10 03 — Subtotal* | | 53 990 000 | 53 990 000 | –17 811 000 | | 36 179 000 | 53 990 000 |
| | **Chapter 06 10 — Total** | | **317 886 339** | **307 644 524** | **–37 811 000** | | **280 075 339** | **307 644 524** |

*Remarks*

Appropriations under this chapter are intended to cover the decentralised agencies' staff and administrative expenditure (Titles 1 and 2) and, where applicable, operational expenditure relating to the work programme (Title 3).

The establishment plans of the agencies are set out in Annex'Staff' to this section.

The agencies must inform the European Parliament and the Council about transfers of appropriations between operational and administrative expenditure.

In accordance with Articles 21, 22 and 24 of the Financial Regulation, contributions received from third countries (EFTA States pursuant to the Agreement on the European Economic Area, candidate countries and, if applicable, the Western Balkan potential candidates, or other countries) for participating in Union programmes, amounts repaid in accordance with Article 17 of Commission Delegated Regulation (EU) 2019/715 of 18 December 2018 on the framework financial regulation for the bodies set up under the TFEU and Euratom Treaty and referred to in Article 70 of Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council (OJ L 122, 10.5.2019, p. 1) and any other assigned revenue, entered in the statement of revenue give rise to the provision of corresponding appropriations and to implementation under this chapter.

The related estimated amounts as well as the corresponding article or item of the statement of revenue are indicated, wherever possible, in the relevant budget lines.

COMMISSION
*TITLE 06 — RECOVERY AND RESILIENCE*

**CHAPTER 06 10 — DECENTRALISED AGENCIES** *(cont'd)*

### *06 10 01 European Centre for Disease Prevention and Control*

*Figures (Differentiated appropriations)*

| Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|
| Commitments | Payments | Commitments | Payments | Commitments | Payments |
| 138 525 714 | 138 525 714 | −20 000 000 | | 118 525 714 | 138 525 714 |

*Remarks*

According to Article 3 of Regulation (EC) No 851/2004 which defines the mission and tasks of the European Centre for Disease Prevention and Control (ECDC):

the current mission of ECDC should concentrate on communicable diseases (and outbreaks of unknown origin),

the ECDC should be a proactive centre of excellence as regards information and scientific knowledge on all aspects of communicable diseases that relate to their detection, prevention and control,

the ECDC should be an agent of change by actively supporting the whole system of the Union and the Member States in their efforts to strengthen their capacity to improve the prevention and control of communicable diseases.

Within the field of its mission, the ECDC shall:

— search for, collect, collate, evaluate and disseminate relevant scientific and technical data,

— provide scientific opinions and scientific and technical assistance including training,

— provide timely information to the Commission, the Member States, Union agencies and international organisations active within the field of public health,

— coordinate the European networking of bodies operating in the fields within the ECDC's mission, including networks arising from public health activities supported by the Commission and operating the dedicated surveillance networks,

— exchange information, expertise and best practices, and facilitate the development and implementation of joint actions.

This appropriation is also intended to cover operational expenditure relating to the following target areas:

— improving surveillance of communicable diseases in the Member States,

— strengthening the scientific support provided by the Member States and the Commission,

**CHAPTER 06 10 — DECENTRALISED AGENCIES** *(cont'd)*

***06 10 01*** *(cont'd)*

— enhancing the preparedness of the Union against emerging threats from communicable diseases, especially hepatitis B, including threats related to intentional release of biological agents, and diseases of unknown origin, and coordinating the response,

— strengthening the relevant capacity in the Member States through training,

— communicating information and building partnerships.

This appropriation is also intended to cover the maintenance of the emergency facility (Emergency Operations Centre) linking the ECDC online with national communicable disease centres and reference laboratories in Member States in the event of major outbreaks of communicable diseases or other illnesses of unknown origin.

| | |
|---|---|
| Total Union contribution | 119 995 020 |
| *of which amount coming from the recovery of surplus (revenue Article 6 6 2)* | 1 469 306 |
| Amount entered in the budget | 118 525 714 |

Assigned revenue (origin, estimated amounts and corresponding article or item of the statement of revenue):

| | |
|---|---|
| EFTA-EEA | 2 120 194 6 6 0 0 |

*Legal basis*

Regulation (EC) No 851/2004 of the European Parliament and of the Council of 21 April 2004 establishing a European Centre for disease prevention and control (OJ L 142, 30.4.2004, p. 1).

*Reference acts*

Commission staff working document - Accompanying document to the Communication from the Commission to the European Parliament and the Council - The European Centre for Disease Prevention and Control activities on Communicable diseases: the positive outcomes since the Centre's establishment and the planned activities and resource needs (COM(2008)0741/SEC(2008) 2792).

***06 10 03*** ***European Medicines Agency***

06 10 03 01 Union contribution to the European Medicines Agency

*Figures (Differentiated appropriations)*

| Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|
| Commitments | Payments | Commitments | Payments | Commitments | Payments |
| 39 990 000 | 39 990 000 | –17 811 000 | | 22 179 000 | 39 990 000 |

COMMISSION
*TITLE 06 — RECOVERY AND RESILIENCE*

**CHAPTER 06 10 — DECENTRALISED AGENCIES** *(cont'd)*

***06 10 03*** *(cont'd)*

06 10 03 01 *(cont'd)*

*Remarks*

In order to promote the protection of human and animal health and of consumers of medicinal products throughout the Union, and in order to promote the completion of the internal market through the adoption of uniform regulatory decisions based on scientific criteria concerning the placing on the market and the use of medicinal products, the objectives of the European Medicines Agency shall be to provide the Member States and the institutions of the Union with the best possible scientific advice on any question relating to the evaluation of the quality, the safety, and the efficacy of medicinal products for human and veterinary use, in accordance with the provisions of the Union legislation relating to medicinal products.

| | |
|---|---|
| Total Union contribution | 39 990 000 |
| *of which amount coming from the recovery of surplus (assigned revenue 6 6 2)* | |
| Amount entered in the budget | 39 990 000 |

Assigned revenue (origin, estimated amounts and corresponding article or item of the statement of revenue):

| | |
|---|---|
| EFTA-EEA | 1 079 730 6 6 0 0 |

*Legal basis*

Council Regulation (EC) No 297/95 of 10 February 1995 on fees payable to the European Agency for the Evaluation of Medicinal Products (OJ L 35, 15.2.1995, p. 1).

Regulation (EC) No 141/2000 of the European Parliament and of the Council of 16 December 1999 on orphan medicinal products (OJ L 18, 22.1.2000, p. 1).

Regulation (EC) No 726/2004 of the European Parliament and of the Council of 31 March 2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use and establishing a European Medicines Agency (OJ L 136, 30.4.2004, p. 1) (replacing Council Regulation (EEC) No 2309/93).

Directive 2001/83/EC of the European Parliament and of the Council of 6 November 2001 on the Community code relating to medicinal products for human use (OJ L 311, 28.11.2001, p. 67).

Commission Regulation (EC) No 2049/2005 of 15 December 2005 laying down, pursuant to Regulation (EC) No 726/2004 of the European Parliament and of the Council, rules regarding the payment of fees to, and the receipt of administrative assistance from, the European Medicines Agency by micro, small and medium-sized enterprises (OJ L 329, 16.12.2005, p. 4).

Regulation (EC) No 1901/2006 of the European Parliament and of the Council of 12 December 2006 on medicinal products for paediatric use and amending Regulation (EEC) No 1768/92, Directive 2001/20/EC, Directive 2001/83/EC and Regulation (EC) No 726/2004 (OJ L 378, 27.12.2006, p. 1).

Regulation (EC) No 1394/2007 of the European Parliament and of the Council of 13 November 2007 on advanced therapy medicinal products and amending Directive 2001/83/EC and Regulation (EC) No 726/2004 (OJ L 324, 10.12.2007, p. 121).

**CHAPTER 06 10 — DECENTRALISED AGENCIES** *(cont'd)*

***06 10 03*** *(cont'd)*

06 10 03 01 *(cont'd)*

Commission Regulation (EC) No 1234/2008 of 24 November 2008 concerning the examination of variations to the terms of marketing authorisations for medicinal products for human use and veterinary medicinal products (OJ L 334, 12.12.2008, p. 7).

Regulation (EC) No 470/2009 of the European Parliament and of the Council of 6 May 2009 laying down Community procedures for the establishment of residue limits of pharmacologically active substances in foodstuffs of animal origin, repealing Council Regulation (EEC) No 2377/90 and amending Directive 2001/82/EC of the European Parliament and of the Council and Regulation (EC) No 726/2004 of the European Parliament and of the Council (OJ L 152, 16.6.2009, p. 11).

Commission Regulation (EC) No 668/2009 of 24 July 2009 implementing Regulation (EC) No 1394/2007 of the European Parliament and of the Council with regard to the evaluation and certification of quality and non-clinical data relating to advanced therapy medicinal products developed by micro, small and medium-sized enterprises (OJ L 194, 25.7.2009, p. 7).

Regulation (EU) No 1235/2010 of the European Parliament and of the Council of 15 December 2010 amending, as regards pharmacovigilance of medicinal products for human use, Regulation (EC) No 726/2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use and establishing a European Medicines Agency, and Regulation (EC) No 1394/2007 on advanced therapy medicinal products (OJ L 348, 31.12.2010, p. 1).

Regulation (EU) No 536/2014 of the European Parliament and the Council of 16 April 2014 on clinical trials on medicinal products for human use, and repealing Directive 2001/20/EC (OJ L 158, 27.5.2014, p. 1).

Regulation (EU) No 658/2014 of the European Parliament and of the Council of 15 May 2014 on fees payable to the European Medicines Agency for the conduct of pharmacovigilance activities in respect of medicinal products for human use (OJ L 189, 27.6.2014, p. 112).

Regulation (EU) 2017/745 of the European Parliament and of the Council of 5 April 2017 on medical devices, amending Directive 2001/83/EC, Regulation (EC) No 178/2002 and Regulation (EC) No 1223/2009 and repealing Council Directives 90/385/EEC and 93/42/EEC (OJ L 117, 5.5.2017, p. 1).

Regulation (EU) 2017/746 of the European Parliament and of the Council of 5 April 2017 on in vitro diagnostic medical devices and repealing Directive 98/79/EC and Commission Decision 2010/227/EU (OJ L 117, 5.5.2017, p. 176).

Regulation (EU) 2019/6 of the European Parliament and of the Council of 11 December 2018 on veterinary medicinal products and repealing Directive 2001/82/EC (OJ L 4, 7.1.2019, p. 43).

COMMISSION

*TITLE 07*

# INVESTING IN PEOPLE, SOCIAL COHESION AND VALUES

# TITLE 07

# INVESTING IN PEOPLE, SOCIAL COHESION AND VALUES

## General summary of appropriations (2021 and 2020) and outturn (2019)

| Title Chapter | Heading | Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|---|---|
| | | Commitments | Payments | Commitments | Payments | Commitments | Payments |
| 07 01 | SUPPORT ADMINISTRATIVE EXPENDITURE OF THE "INVESTING IN PEOPLE, SOCIAL COHESION AND VALUES" CLUSTER | 85 474 925 | 85 474 925 | | | 85 474 925 | 85 474 925 |
| 07 02 | EUROPEAN SOCIAL FUND PLUS (ESF+) | 12 904 114 373 | 16 222 158 764 | | | 12 904 114 373 | 16 222 158 764 |
| 07 03 | ERASMUS | 2 619 737 627 | 2 364 683 558 | | | 2 619 737 627 | 2 364 683 558 |
| 07 04 | EUROPEAN SOLIDARITY CORPS | 129 127 673 | 120 027 104 | | | 129 127 673 | 120 027 104 |
| 07 05 | CREATIVE EUROPE | 289 140 695 | 219 300 751 | | | 289 140 695 | 219 300 751 |
| 07 06 | RIGHTS AND VALUES | 90 009 287 | 80 518 914 | | | 90 009 287 | 80 518 914 |
| 07 07 | JUSTICE | 45 292 538 | 44 117 015 | | | 45 292 538 | 44 117 015 |
| 07 10 | DECENTRALISED AGENCIES AND EUROPEAN PUBLIC PROSECUTOR'S OFFICE (EPPO) | 220 498 295 | 220 498 295 | | | 220 498 295 | 220 498 295 |
| 07 20 | PILOT PROJECTS, PREPARATORY ACTIONS, PREROGATIVES AND OTHER ACTIONS | 224 235 700 | 220 342 973 | | | 224 235 700 | 220 342 973 |
| | **Title 07 — Total** | **16 607 631 113** | **19 577 122 299** | | | **16 607 631 113** | **19 577 122 299** |

COMMISSION
*TITLE 07 — INVESTING IN PEOPLE, SOCIAL COHESION AND VALUES*

# TITLE 07

# INVESTING IN PEOPLE, SOCIAL COHESION AND VALUES

## CHAPTER 07 02 — EUROPEAN SOCIAL FUND PLUS (ESF+)

| Title Chapter Article Item | Heading | FF | Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|---|---|---|
| | | | Commitments | Payments | Commitments | Payments | Commitments | Payments |
| 07 02 | EUROPEAN SOCIAL FUND PLUS (ESF+) | | | | | | | |
| ***07 02 01*** | ***ESF+ shared management strand — Operational expenditure*** | 2.1 | 12 767 289 538 | 510 157 974 | | | 12 767 289 538 | 510 157 974 |
| ***07 02 02*** | ***ESF+ shared management strand — Operational technical assistance*** | 2.1 | 36 842 462 | 4 082 693 | | | 36 842 462 | 4 082 693 |
| ***07 02 03*** | ***Just Transition Fund (JTF) — Contribution from the ESF+*** | 2.1 | p.m. | p.m. | | | p.m. | p.m. |
| ***07 02 04*** | ***ESF+ — Employment and Social Innovation strand*** | 2.2 | 99 982 373 | 28 104 556 | | | 99 982 373 | 28 104 556 |
| ***07 02 05*** | ***European Social Fund (ESF) — Financing under REACT-EU*** | | | | | | | |
| 07 02 05 01 | ESF — Operational expenditure — Financing under REACT-EU | 2.1 | p.m. | p.m. | | | p.m. | p.m. |
| 07 02 05 02 | ESF — Operational technical assistance — Financing under REACT-EU | 2.1 | p.m. | p.m. | | | p.m. | p.m. |
| | *Article 07 02 05 — Subtotal* | | p.m. | p.m. | | | p.m. | p.m. |
| ***07 02 06*** | ***Fund for European Aid to the Most Deprived (FEAD) — Financing under REACT-EU*** | | | | | | | |
| 07 02 06 01 | FEAD — Operational expenditure — Financing under REACT-EU | 2.1 | p.m. | p.m. | | | p.m. | p.m. |
| 07 02 06 02 | FEAD — Operational technical assistance — Financing under REACT-EU | 2.1 | p.m. | p.m. | | | p.m. | p.m. |
| | *Article 07 02 06 — Subtotal* | | p.m. | p.m. | | | p.m. | p.m. |

**CHAPTER 07 02 — EUROPEAN SOCIAL FUND PLUS (ESF+)** *(cont'd)*

| Title Chapter Article Item | Heading | FF | Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|---|---|---|
| | | | Commitments | Payments | Commitments | Payments | Commitments | Payments |
| ***07 02 07*** | ***Youth Employment Initiative (YEI) - Financing under REACT-EU*** | | | | | | | |
| 07 02 07 01 | YEI — Operational expenditure — Financing under REACT-EU | 2 | p.m. | p.m. | | | p.m. | p.m. |
| | *Article 07 02 07 — Subtotal* | | p.m. | p.m. | | | p.m. | p.m. |
| ***07 02 08*** | ***InvestEU Fund — Contribution from the ESF+*** | | | | | | p.m. | p.m. |
| ***07 02 09*** | ***Border Management and Visa Instrument (BMVI) — Contribution from the ESF+*** | | | | | | p.m. | p.m. |
| ***07 02 10*** | ***EMFAF — Contribution from the ESF+*** | | | | | | p.m. | p.m. |
| ***07 02 11*** | ***Horizon Europe — Contribution from the ESF+*** | | | | | | p.m. | p.m. |
| ***07 02 12*** | ***Digital Europe — Contribution from the ESF+*** | | | | | | p.m. | p.m. |
| ***07 02 13*** | ***Erasmus+ — Contribution from the ESF+*** | | | | | | p.m. | p.m. |
| ***07 02 99*** | ***Completion of previous programmes and activities*** | | | | | | | |
| 07 02 99 01 | Completion of the European Social Fund (ESF) — Operational expenditure (prior to 2021) | 2.1 | p.m. | 14 367 235 590 | | | p.m. | 14 367 235 590 |
| 07 02 99 02 | Completion of the European Social Fund (ESF) — Operational technical assistance (prior to 2021) | 2.1 | p.m. | 10 000 000 | | | p.m. | 10 000 000 |
| 07 02 99 03 | Completion of the Youth Employment Initiative (2014-2020) | 2.1 | p.m. | 699 877 951 | | | p.m. | 699 877 951 |
| 07 02 99 04 | Completion of the Fund for European Aid to the Most Deprived (FEAD) (2014-2020) | 2.1 | p.m. | 545 000 000 | | | p.m. | 545 000 000 |

COMMISSION
*TITLE 07 — INVESTING IN PEOPLE, SOCIAL COHESION AND VALUES*

**CHAPTER 07 02 — EUROPEAN SOCIAL FUND PLUS (ESF+)** *(cont'd)*

| Title Chapter Article Item | Heading | FF | Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|---|---|---|
| | | | Commitments | Payments | Commitments | Payments | Commitments | Payments |
| **07 02 99** | *(cont'd)* | | | | | | | |
| 07 02 99 05 | Completion of the European Union Programme for Employment and Social Innovation (EaSI) and other related previous activities (prior to 2021) | 2.2 | p.m. | 54 700 000 | | | p.m. | 54 700 000 |
| 07 02 99 06 | Completion of the European Social Fund (ESF) — Article 25 (prior to 2021) | 2.1 | p.m. | 3 000 000 | | | p.m. | 3 000 000 |
| | *Article 07 02 99 — Subtotal* | | p.m. | 15 679 813 541 | | | p.m. | 15 679 813 541 |
| | **Chapter 07 02 — Total** | | **12 904 114 373** | **16 222 158 764** | | | **12 904 114 373** | **16 222 158 764** |

*Remarks*

Appropriations under this chapter are intended to cover expenditure to support Member States to achieve high employment levels, fair social protection and a skilled and resilient workforce ready for the future world of work, as well as expenditure to support, complement and add value to the policies of the Member States to ensure equal opportunities, access to the labour market, fair working conditions, social protection and inclusion.

In accordance with Articles 21, 22 and 24 of the Financial Regulation, contributions received from third countries (EFTA States pursuant to the Agreement on the European Economic Area, candidate countries and, if applicable, the Western Balkan potential candidates, or other countries) for participating in Union programmes, and any other assigned revenue, entered in the statement of revenue give rise to the provision of corresponding appropriations and to implementation under this chapter.

The related estimated amounts as well as the corresponding article or item of the statement of revenue are indicated, wherever possible, in the relevant budget lines.

In addition, and in accordance with Regulation 2020/2094 of 14 December 2020, external assigned revenue resulting from proceeds of the European Union Recovery Instrument entered in the statement of revenue give rise to the provision of appropriations for programmes financed under the REACT-EU under Titles 05 and 07 for a total amount of EUR 50 620 000 000 in commitments The amounts indicated in the budget remarks of the relevant budget lines under this title provide information about the expected amount of legal commitments in 2021.

*Legal basis*

Council Regulation (EU) 2020/2094 of 14 December 2020 establishing a European Union Recovery Instrument to support the recovery in the aftermath of the COVID-19 crisis (OJ L 433 I, 22.12.2020, p. 23).

Regulation (EU) 2020/2221 of the European Parliament and of the Council of 23 December 2020 amending Regulation (EU) No 1303/2013 as regards additional resources and implementing arrangements to provide assistance for fostering crisis repair in the context of the COVID-19 pandemic and its social consequences and for preparing a green, digital and resilient recovery of the economy (REACT-EU) (OJ L 437, 28.12.2020, p. 30).

**CHAPTER 07 02 — EUROPEAN SOCIAL FUND PLUS (ESF+)** *(cont'd)*

Regulation (EU) 2021/177 of the European Parliament and of the Council of 10 February 2021 amending Regulation (EU) No 223/2014 as regards the introduction of specific measures for addressing the crisis associated with the outbreak of COVID-19 (OJ L 53, 16.2.2021, p. 1).

Regulation (EU) 2021/1056 of the European Parliament and of the Council of 24 June 2021 establishing the Just Transition Fund (OJ L 231, 30.6.2021, p. 1).

Regulation (EU) 2021/1057 of the European Parliament and of the Council of 24 June 2021 establishing the European Social Fund Plus (ESF+) and repealing Regulation (EU) No 1296/2013 (OJ L 231, 30.6.2021, p. 21).

Regulation (EU) 2021/1060 of the European Parliament and of the Council of 24 June 2021 laying down common provisions on the European Regional Development Fund, the European Social Fund Plus, the Cohesion Fund, the Just Transition Fund and the European Maritime, Fisheries and Aquaculture Fund and financial rules for those and for the Asylum, Migration and Integration Fund, the Internal Security Fund and the Instrument for Financial Support for Border Management and Visa Policy (OJ L 231, 30.6.2021, p. 159).

### *07 02 08 InvestEU Fund — Contribution from the ESF+*

*Figures (Differentiated appropriations)*

| Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|
| Commitments | Payments | Commitments | Payments | Commitments | Payments |
| | | | | p.m. | p.m. |

*Remarks*

*New article*

This appropriation is intended to complement the Invest EU resources following Member State(s) request in the Partnership Agreement or in a request for an amendment of a programme to transfer up to a maximum of 5% of the initial national allocation of ESF+ to Invest EU pursuant to Article 14 of the CPR. Transferred resources will be implemented in accordance with the rules of Invest EU and shall be used for the provisioning of the part of the EU guarantee under the Member State compartment and for the InvestEU Advisory Hub upon conclusion of the contribution agreement in accordance with Article 10(3) of the InvestEU Regulation.

### *07 02 09 Border Management and Visa Instrument (BMVI) — Contribution from the ESF+*

*Figures (Differentiated appropriations)*

| Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|
| Commitments | Payments | Commitments | Payments | Commitments | Payments |
| | | | | p.m. | p.m. |

COMMISSION
*TITLE 07 — INVESTING IN PEOPLE, SOCIAL COHESION AND VALUES*

**CHAPTER 07 02 — EUROPEAN SOCIAL FUND PLUS (ESF+)** *(cont'd)*

***07 02 09*** *(cont'd)*

*Remarks*

*New article*

This appropriation is intended to complement the Border Management and Visa Instrument (BMVI) resources following Member State(s) request in the Partnership Agreement or in a request for an amendment of a programme to transfer up to 5 % of the initial national allocation of ESF+ to BMVI pursuant to Article 26 of the CPR. Transferred resources will be implemented in accordance with the rules of BMVI and for the benefit of the Member State(s) concerned.

***07 02 10*** ***EMFAF — Contribution from the ESF+***

*Figures (Differentiated appropriations)*

| Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|
| Commitments | Payments | Commitments | Payments | Commitments | Payments |
| | | | | p.m. | p.m. |

*Remarks*

*New article*

This appropriation is intended to complement the European Maritime, Fisheries and Aquaculture Fund (EMFAF) resources following Member State(s) request in the Partnership Agreement or in a request for an amendment of a programme to transfer up to 5 % of the initial national allocation of ESF+ to EMFAF pursuant to Article 26 of the CPR. Transferred resources will be implemented in accordance with the rules of EMFAF and for the benefit of the Member State(s) concerned.

***07 02 11*** ***Horizon Europe — Contribution from the ESF+***

*Figures (Differentiated appropriations)*

| Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|
| Commitments | Payments | Commitments | Payments | Commitments | Payments |
| | | | | p.m. | p.m. |

*Remarks*

*New article*

This appropriation is intended to complement the Horizon Europe resources following Member State(s) request in the Partnership Agreement or in a request for an amendment of a programme to transfer up to 5 % of the initial national allocation of ESF+ to Horizon Europe pursuant to Article 26 of the CPR. Transferred resources will be implemented in accordance with the rules of Horizon Europe and for the benefit of the Member State(s) concerned.

**CHAPTER 07 02 — EUROPEAN SOCIAL FUND PLUS (ESF+)** *(cont'd)*

### *07 02 12 Digital Europe — Contribution from the ESF+*

*Figures (Differentiated appropriations)*

| Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|
| Commitments | Payments | Commitments | Payments | Commitments | Payments |
| | | | | p.m. | p.m. |

*Remarks*

*New article*

This appropriation is intended to complement the Digital Europe resources following Member State(s) request in the Partnership Agreement or in a request for an amendment of a programme to transfer up to 5 % of the initial national allocation of ESF+ to Digital Europe pursuant to Article 26 of the CPR. Transferred resources will be implemented in accordance with the rules of Digital Europe and for the benefit of the Member State(s) concerned.

### *07 02 13 Erasmus+ — Contribution from the ESF+*

*Figures (Differentiated appropriations)*

| Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|
| Commitments | Payments | Commitments | Payments | Commitments | Payments |
| | | | | p.m. | p.m. |

*Remarks*

*New article*

This appropriation is intended to complement Erasmus+ resources following Member State(s) request in the Partnership Agreement or in a request for an amendment of a programme to transfer up to 5 % of the initial national allocation of ESF+ to Erasmus+ pursuant to Article 26 of the CPR. Transferred resources will be implemented in accordance with the rules of Erasmus+ and for the benefit of the Member State(s) concerned.

COMMISSION

*TITLE 08*

**AGRICULTURE AND MARITIME POLICY**

# TITLE 08

# AGRICULTURE AND MARITIME POLICY

## General summary of appropriations (2021 and 2020) and outturn (2019)

| Title Chapter | Heading | Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|---|---|
| | | Commitments | Payments | Commitments | Payments | Commitments | Payments |
| 08 01 | SUPPORT ADMINISTRATIVE EXPENDITURE OF THE "AGRICULTURE AND MARITIME POLICY" CLUSTER | 13 568 854 | 13 568 854 | | | 13 568 854 | 13 568 854 |
| 08 02 | EUROPEAN AGRICULTURAL GUARANTEE FUND (EAGF) | 40 363 635 574 | 40 349 424 457 | | | 40 363 635 574 | 40 349 424 457 |
| 08 03 | EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT (EAFRD) | 15 343 139 960 | 15 020 350 000 | | – 450 000 000 | 15 343 139 960 | 14 570 350 000 |
| 08 04 | EUROPEAN MARITIME AND FISHERIES FUND (EMFF) | 753 343 572 | 821 978 340 | | | 753 343 572 | 821 978 340 |
| 08 05 | SUSTAINABLE FISHERIES PARTNERSHIP AGREEMENTS (SFPA) AND REGIONAL FISHERIES MANAGEMENT ORGANISATIONS (RFMO) | 73 522 000 | 72 884 500 | 3 487 290 | | 77 009 290 | 72 884 500 |
| | *Reserves (30 02 02)* | *74 600 000* | *71 600 000* | | | *74 600 000* | *71 600 000* |
| | | 148 122 000 | 144 484 500 | 3 487 290 | | 151 609 290 | 144 484 500 |
| 08 10 | DECENTRALISED AGENCIES | 18 741 043 | 18 741 043 | | | 18 741 043 | 18 741 043 |
| 08 20 | PILOT PROJECTS, PREPARATORY ACTIONS, PREROGATIVES AND OTHER ACTIONS | p.m. | 6 047 000 | | | p.m. | 6 047 000 |
| | **Title 08 — Total** | **56 565 951 003** | **56 302 994 194** | **3 487 290** | **– 450 000 000** | **56 569 438 293** | **55 852 994 194** |
| | ***Reserves (30 02 02)*** | ***74 600 000*** | ***71 600 000*** | | | ***74 600 000*** | ***71 600 000*** |
| | | **56 640 551 003** | **56 374 594 194** | **3 487 290** | **– 450 000 000** | **56 644 038 293** | **55 924 594 194** |

COMMISSION
*TITLE 08 — AGRICULTURE AND MARITIME POLICY*

# TITLE 08

# AGRICULTURE AND MARITIME POLICY

## CHAPTER 08 03 — EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT (EAFRD)

| Title Chapter Article Item | Heading | FF | Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|---|---|---|
| | | | Commitments | Payments | Commitments | Payments | Commitments | Payments |
| 08 03 | EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT (EAFRD) | | | | | | | |
| ***08 03 01*** | ***Rural development types of interventions*** | | | | | | | |
| 08 03 01 01 | Rural development types of interventions under the CAP Strategic Plans | 3.2 | p.m. | p.m. | | | p.m. | p.m. |
| 08 03 01 02 | Rural development types of interventions — 2014-2022 programmes | 3.2 | 15 308 020 100 | 14 996 000 000 | | – 450 000 000 | 15 308 020 100 | 14 546 000 000 |
| 08 03 01 03 | Rural development types of interventions financed from the European Union Recovery Instrument (EURI) | 3.2 | p.m. | p.m. | | | p.m. | p.m. |
| | *Article 08 03 01 — Subtotal* | | 15 308 020 100 | 14 996 000 000 | | – 450 000 000 | 15 308 020 100 | 14 546 000 000 |
| ***08 03 02*** | ***EAFRD — Operational technical assistance*** | 3.2 | 35 119 860 | 17 153 750 | | | 35 119 860 | 17 153 750 |
| ***08 03 03*** | ***EAFRD — Operational technical assistance financed from the European Union Recovery Instrument (EURI)*** | 3.2 | p.m. | p.m. | | | p.m. | p.m. |
| ***08 03 99*** | ***Completion of previous programmes and activities*** | | | | | | | |
| 08 03 99 01 | Completion of previous rural development programmes — Operational expenditure (prior to 2014) | 3.2 | p.m. | p.m. | | | p.m. | p.m. |
| 08 03 99 02 | Completion of the European Agricultural Fund for Rural Development (EAFRD) — Operational technical assistance (prior to 2021) | 3.2 | p.m. | 7 196 250 | | | p.m. | 7 196 250 |
| | *Article 08 03 99 — Subtotal* | | p.m. | 7 196 250 | | | p.m. | 7 196 250 |
| | **Chapter 08 03 — Total** | | **15 343 139 960** | **15 020 350 000** | | **– 450 000 000** | **15 343 139 960** | **14 570 350 000** |

**CHAPTER 08 03 — EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT (EAFRD)** *(cont'd)*

*Remarks*

Appropriations under this chapter are intended to cover the financing of the CAP Strategic Plans interventions funded by the European Agricultural Fund for Rural Development (EAFRD) under the programming period 2021-2027, as well as 2014-2020 programmes in accordance with Regulation (EU) No 1305/2013 that are extended to 2021 and 2022 under transitional rules set out by Regulation (EU) 2020/2220. The appropriations may also be used for covering any outstanding payments for EAFRD measures prior to 2014 and for financing technical assistance at the initiative of the Commission within the limit of 0,25 % of the EAFRD envelope.

The EAFRD delivers specific climate and environmental public goods, improves the competitiveness of the agriculture and forestry sectors, and promotes the diversification of economic activity and quality of life and work in rural areas including areas with specific constraints.

In accordance with Articles 21, 22 and 24 of the Financial Regulation, contributions received from third countries (EFTA States pursuant to the Agreement on the European Economic Area, candidate countries and, if applicable, the Western Balkan potential candidates, or other countries) for participating in Union programmes, and any other assigned revenue, entered in the statement of revenue give rise to the provision of corresponding appropriations and to implementation under this chapter.

The related estimated amounts as well as the corresponding article or item of the statement of revenue are indicated, wherever possible, in the relevant budget lines.

In addition, and in accordance with Regulation (EU) 2020/2094, external assigned revenue resulting from proceeds of the Next Generation EU/European Union Recovery Instrument entered in the statement of revenue give rise to the provision of appropriations for this programme under this title for a total amount of EUR 8 070 000 000 in commitments. The amounts indicated in the budget remarks of the relevant budget lines under this title provide information about the expected amount of legal commitments in 2021.

*Legal basis*

Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund and the European Maritime and Fisheries Fund and repealing Council Regulation (EC) No 1083/2006 (OJ L 347, 20.12.2013, p. 320).

Regulation (EU) No 1305/2013 of the European Parliament and of the Council of 17 December 2013 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) and repealing Council Regulation (EC) No 1698/2005 (OJ L 347, 20.12.2013, p. 487).

Regulation (EU) No 1306/2013 of the European Parliament and of the Council of 17 December 2013 on the financing, management and monitoring of the common agricultural policy and repealing Council Regulations (EEC) No 352/78, (EC) No 165/94, (EC) No 2799/98, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008 (OJ L 347, 20.12.2013, p. 549).

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*TITLE 08 — AGRICULTURE AND MARITIME POLICY*

**CHAPTER 08 03 — EUROPEAN AGRICULTURAL FUND FOR RURAL DEVELOPMENT (EAFRD)** *(cont'd)*

Council Regulation (EU) 2020/2094 of 14 December 2020 establishing a European Union Recovery Instrument to support the recovery in the aftermath of the COVID-19 crisis (OJ L 433I, 22.12.2020, p. 23).

Regulation (EU) 2020/2220 of the European Parliament and of the Council of 23 December 2020 laying down certain transitional provisions for support from the European Agricultural Fund for Rural Development (EAFRD) and from the European Agricultural Guarantee Fund (EAGF) in the years 2021 and 2022 and amending Regulations (EU) No 1305/2013, (EU) No 1306/2013 and (EU) No 1307/2013 as regards resources and application in the years 2021 and 2022 and Regulation (EU) No 1308/2013 as regards resources and the distribution of such support in respect of the years 2021 and 2022 (OJ L 437, 28.12.2020, p. 1).

*Reference acts*

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 1 June 2018, establishing rules on support for strategic plans to be drawn up by Member States under the common agricultural policy (CAP Strategic Plans) and financed by the European Agricultural Guarantee Fund (EAGF) and by the European Agricultural Fund for Rural Development (EAFRD) and repealing Regulation (EU) No 1305/2013 of the European Parliament and of the Council and Regulation (EU) No 1307/2013 of the European Parliament and of the Council (COM(2018)0392).

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 1 June 2018, on the financing, management and monitoring of the common agricultural policy and repealing Regulation (EU) No 1306/2013 (COM(2018)0393).

## *08 03 01 Rural development types of interventions*

08 03 01 02 Rural development types of interventions — 2014-2022 programmes

*Figures (Differentiated appropriations)*

| Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|
| Commitments | Payments | Commitments | Payments | Commitments | Payments |
| 15 308 020 100 | 14 996 000 000 | | – 450 000 000 | 15 308 020 100 | 14 546 000 000 |

*Remarks*

This appropriation is intended to cover the financing of rural development programmes for the period 2014-2020 under the EAFRD in accordance with Regulation (EU) No 1305/2013, including those extended to 2021 and 2022 under Regulation (EU) 2020/2220.

Assigned revenue (origin, estimated amounts and corresponding article or item of the statement of revenue).

| | | |
|---|---|---|
| Other assigned revenue | 75 000 000 | 6 2 0 1 |

**CHAPTER 08 04 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF)**

| Title Chapter Article Item | Heading | FF | Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|---|---|---|
| | | | Commitments | Payments | Commitments | Payments | Commitments | Payments |
| 08 04 | EUROPEAN MARITIME AND FISHERIES FUND (EMFF) | | | | | | | |
| ***08 04 01*** | ***EMFF — Operational expenditure under shared management*** | 3.2 | 649 647 097 | 26 250 829 | | | 649 647 097 | 26 250 829 |
| ***08 04 02*** | ***EMFF — Operational expenditure under direct and indirect management*** | 3.2 | 99 107 185 | 17 942 309 | | | 99 107 185 | 17 942 309 |
| ***08 04 03*** | ***EMFF — Operational technical assistance*** | 3.2 | 4 589 290 | 1 418 290 | | | 4 589 290 | 1 418 290 |
| ***08 04 04*** | ***InvestEU Fund — Contribution from the EMFAF*** | | | | | | p.m. | p.m. |
| ***08 04 05*** | ***Border Management and Visa Instrument (BMVI) — Contribution from the EMFAF*** | | | | | | p.m. | p.m. |
| ***08 04 99*** | ***Completion of previous programmes and activities*** | | | | | | | |
| 08 04 99 01 | Completion of the European Fisheries Fund (EFF) and of the European Maritime and Fisheries Fund (EMFF) — Operational expenditure under shared management (prior to 2021) | 3.2 | p.m. | 711 866 912 | | | p.m. | 711 866 912 |
| 08 04 99 02 | Completion of the European Fisheries Fund (EFF) and of the European Maritime and Fisheries Fund (EMFF) — Operational expenditure under direct management (prior to 2021) | 3.2 | p.m. | 61 700 000 | | | p.m. | 61 700 000 |
| 08 04 99 03 | Completion of the European Fisheries Fund (EFF) and of the European Maritime and Fisheries Fund (EMFF) — Operational technical assistance (prior to 2021) | 3.2 | p.m. | 2 800 000 | | | p.m. | 2 800 000 |
| | *Article 08 04 99 — Subtotal* | | p.m. | 776 366 912 | | | p.m. | 776 366 912 |
| | **Chapter 08 04 — Total** | | **753 343 572** | **821 978 340** | | | **753 343 572** | **821 978 340** |

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*TITLE 08 — AGRICULTURE AND MARITIME POLICY*

**CHAPTER 08 04 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF)** *(cont'd)*

*Remarks*

Appropriations under this chapter are intended to cover expenditure related to the implementation of the common fisheries and maritime policy in view of:

— fostering sustainable fisheries and the restoration and conservation of aquatic biological resources,

— fostering sustainable aquaculture activities, and processing and marketing of fisheries and aquaculture products,

— contributing to food security in the Union; enabling a sustainable blue economy in coastal, island and inland areas, and fostering the development of fishing and aquaculture communities,

— strengthening international ocean governance and enabling safe, secure, clean and sustainably managed seas and oceans.

In accordance with Articles 21, 22 and 24 of the Financial Regulation, contributions received from third countries (EFTA States pursuant to the Agreement on the European Economic Area, candidate countries and, if applicable, the Western Balkan potential candidates, or other countries) for participating in Union programmes and any other assigned revenue entered in the statement of revenue give rise to the provision of corresponding appropriations and to implementation under this chapter.

The related estimated amounts as well as the corresponding article or item of the statement of revenue are indicated, wherever possible, in the relevant budget lines.

*Legal basis*

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1).

Regulation (EU) 2021/1060 of the European Parliament and of the Council of 24 June 2021 laying down common provisions on the European Regional Development Fund, the European Social Fund Plus, the Cohesion Fund, the Just Transition Fund and the European Maritime, Fisheries and Aquaculture Fund and financial rules for those and for the Asylum, Migration and Integration Fund, the Internal Security Fund and the Instrument for Financial Support for Border Management and Visa Policy (OJ L 231, 30.6.2021, p. 159).

Regulation (EU) 2021/1139 of the European Parliament and of the Council of 7 July 2021 establishing the European Maritime, Fisheries and Aquaculture Fund and amending Regulation (EU) 2017/1004 (OJ L 247, 13.7.2021, p. 1).

## *08 04 04 InvestEU Fund — Contribution from the EMFAF*

*Figures (Differentiated appropriations)*

| Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|
| Commitments | Payments | Commitments | Payments | Commitments | Payments |
| | | | | p.m. | p.m. |

**CHAPTER 08 04 — EUROPEAN MARITIME AND FISHERIES FUND (EMFF)** *(cont'd)*

***08 04 04*** *(cont'd)*

*Remarks*

*New article*

This appropriation is intended to complement the Invest EU resources following Member State(s) request in the Partnership Agreement or in a request for an amendment of a programme to transfer up to a maximum of 5% of the initial national allocation of the European Maritime, Fisheries and Aquaculture Fund (EMFAF) to Invest EU pursuant to Article 14 of the CPR. Transferred resources will be implemented in accordance with the rules of Invest EU and shall be used for the provisioning of the part of the EU guarantee under the Member State compartment and for the InvestEU Advisory Hub upon conclusion of the contribution agreement in accordance with Article 10(3) of the InvestEU Regulation.

***08 04 05*** ***Border Management and Visa Instrument (BMVI) — Contribution from the EMFAF***

*Figures (Differentiated appropriations)*

| Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|
| Commitments | Payments | Commitments | Payments | Commitments | Payments |
| | | | | p.m. | p.m. |

*Remarks*

*New article*

This appropriation is intended to complement the Border Management and Visa Instrument (BMVI) resources following Member State(s) request in the Partnership Agreement or in a request for an amendment of a programme to transfer up to 5 % of the initial national allocation of the European Maritime, Fisheries and Aquaculture Fund (EMFAF) to BMVI pursuant to Article 26 of the CPR. Transferred resources will be implemented in accordance with the rules of BMVI and for the benefit of the Member State(s) concerned.

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*TITLE 08 — AGRICULTURE AND MARITIME POLICY*

**CHAPTER 08 05 — SUSTAINABLE FISHERIES PARTNERSHIP AGREEMENTS (SFPA) AND REGIONAL FISHERIES MANAGEMENT ORGANISATIONS (RFMO)**

| Title Chapter Article Item | Heading | FF | Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|---|---|---|
| | | | Commitments | Payments | Commitments | Payments | Commitments | Payments |
| 08 05 | SUSTAINABLE FISHERIES PARTNERSHIP AGREEMENTS (SFPA) AND REGIONAL FISHERIES MANAGEMENT ORGANISATIONS (RFMO) | | | | | | | |
| ***08 05 01*** | ***Establishing a governance framework for fishing activities carried out by Union fishing vessels in third-country waters*** | 3.2 | 67 822 000 | 67 184 500 | 3 487 290 | | 71 309 290 | 67 184 500 |
| | *Reserves (30 02 02)* | | *74 600 000* | *71 600 000* | | | *74 600 000* | *71 600 000* |
| | | | 142 422 000 | 138 784 500 | 3 487 290 | | 145 909 290 | 138 784 500 |
| ***08 05 02*** | ***Promoting sustainable development for fisheries management and maritime governance in line with the CFP objectives (compulsory contributions to international bodies)*** | 3.2 | 5 700 000 | 5 700 000 | | | 5 700 000 | 5 700 000 |
| | **Chapter 08 05 — Total** | | **73 522 000** | **72 884 500** | **3 487 290** | | **77 009 290** | **72 884 500** |
| | ***Reserves (30 02 02)*** | | ***74 600 000*** | ***71 600 000*** | | | ***74 600 000*** | ***71 600 000*** |
| | | | **148 122 000** | **144 484 500** | **3 487 290** | | **151 609 290** | **144 484 500** |

*Remarks*

Appropriations under this chapter are intended to cover expenditure related to the implementation of the Sustainable Fisheries Partnership Agreements and Protocols thereto concluded between the Union and third countries as well as the membership in regional fisheries management organisations.

In accordance with Articles 21, 22 and 24 of the Financial Regulation, contributions received from third countries (EFTA States pursuant to the Agreement on the European Economic Area, candidate countries and, if applicable, the Western Balkan potential candidates, or other countries) for participating in Union programmes and any other assigned revenue entered in the statement of revenue give rise to the provision of corresponding appropriations and to implementation under this chapter.

The related estimated amounts as well as the corresponding article or item of the statement of revenue are indicated, wherever possible, in the relevant budget lines.

**CHAPTER 08 05 — SUSTAINABLE FISHERIES PARTNERSHIP AGREEMENTS (SFPA) AND REGIONAL FISHERIES MANAGEMENT ORGANISATIONS (RFMO)** *(cont'd)*

***08 05 01 Establishing a governance framework for fishing activities carried out by Union fishing vessels in third-country waters***

*Figures (Differentiated appropriations)*

| | Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|---|
| | Commitments | Payments | Commitments | Payments | Commitments | Payments |
| 08 05 01 | 67 822 000 | 67 184 500 | 3 487 290 | | 71 309 290 | 67 184 500 |
| *Reserves (30 02 02)* | *74 600 000* | *71 600 000* | | | *74 600 000* | *71 600 000* |
| Total | 142 422 000 | 138 784 500 | 3 487 290 | | 145 909 290 | 138 784 500 |

*Remarks*

This appropriation is intended to cover the expenditure arising from the fisheries agreements which the Union has negotiated or intends to renew or renegotiate with third countries.

In addition, the Union may negotiate new fisheries partnership agreements which would need to be financed under this article.

*Legal basis*

Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22), and in particular Article 31 thereof.

Regulation (EU) No 508/2014 of the European Parliament and of the Council of 15 May 2014 on the European Maritime and Fisheries Fund and repealing Council Regulations (EC) No 2328/2003, (EC) No 861/2006, (EC) No 1198/2006 and (EC) No 791/2007 and Regulation (EU) No 1255/2011 of the European Parliament and of the Council (OJ L 149, 20.5.2014, p. 1).

Regulation (EU) 2021/1139 of the European Parliament and of the Council of 7 July 2021 establishing the European Maritime, Fisheries and Aquaculture Fund and amending Regulation (EU) 2017/1004 (OJ L 247, 13.7.2021, p. 1).

Regulations and Decisions concerning the conclusion of agreements and protocols adopted with regard to fisheries between the Union and the governments of the following countries:

| Status (as of December 2020) | Country | Legal basis | Date | Official Journal | Duration |
|---|---|---|---|---|---|
| Agreements and Protocols in provisional application or in force (and financial compensation due in 2021 entered in Article 08 05 01) | Cape Verde | Decision (EU) 2019/951 | 17 May 2019 | L 154, 12.6.2019 | 20.5.2019 to 19.5.2024 |
| | Cook Islands | Decision (EU) 2020/1545 | 19 October 2020 | L 356, 26.10.2020 | 14.10.2016 to 13.10.2021 |
| | Côte d'Ivoire | Decision (EU) 2019/385 | 4 March 2019 | L 70, 12.3.2019 | 1.8.2018 to 31.12.2024 |

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*TITLE 08 — AGRICULTURE AND MARITIME POLICY*

**CHAPTER 08 05 — SUSTAINABLE FISHERIES PARTNERSHIP AGREEMENTS (SFPA) AND REGIONAL FISHERIES MANAGEMENT ORGANISATIONS (RFMO)** *(cont'd)*

***08 05 01*** *(cont'd)*

| Status (as of December 2020) | Country | Legal basis | Date | Official Journal | Duration |
|---|---|---|---|---|---|
| | Gambia | Decision (EU) 2020/392 | 5 March 2020 | L 75, 11.3.2020 | 31.7.2019 to 30.7.2025 |
| | Guinea-Bissau | Decision (EU)2019/1088 | 6 June 2019 | L 173, 27.6.2019 | 15.6.2019 to 14.6.2024 |
| | Mauritania | Decision (EU) 2020/1704 | 23 October 2020 | L 383, 16.11.2020 | 16.11.2015 to 14.11.2021 |
| | Morocco | Decision (EU) 2019/441 | 4 March 2019 | L 77, 20.3.2019 | 18.7.2019 to 17.7.2023 |
| | São Tomé and Príncipe | Decision (EU) 2019/2218 | 24 October 2019 | L 333, 27.12.2019 | 19.12.2019 to 18.12.2024 |
| | Senegal | Decision (EU) 2019/1925 | 14 November 2019 | L 299, 20.11.2019 | 18.11.2019 to 17.11.2024 |
| | Seychelles | Decision (EU) 2020/272 | 20 February 2020 | L 60, 28.2.2020 | 24.02.2020 to 23.02.2026 |
| Agreements and Protocols to be renegotiated, already under negotiation or with legislative procedure under way (financial compensation entered in Article 30 02 02) | Gabon | Decision 2014/232/EU | 14 April 2014 | L 125, 26.4.2014 | Expired |
| | Greenland | Decision (EU) 2016/817 | 17 May 2016 | L 136, 25.5.2016 | 1.1.2016 to 31.12.2020 |
| | Kiribati | Decision 2014/60/EU | 28 January 2014 | L 38, 7.2.2014 | Expired |
| | Liberia | Decision (EU) 2016/1062 | 24 May 2016 | L 177, 1.7.2016 | 9.12.2015 to 8.12.2020 |
| | Madagascar | Decision (EU) 2015/1893 | 5 October 2015 | L 277, 22.10.2015 | Expired |
| | Mauritius | Decision (EU) 2018/754 | 14 May 2018 | L 128, 24.5.2018 | 8.12.2017 to 7.12.2021 |

COMMISSION

*TITLE 14*

**EXTERNAL ACTION**

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*TITLE 14 — EXTERNAL ACTION*

# TITLE 14

# EXTERNAL ACTION

## General summary of appropriations (2021 and 2020) and outturn (2019)

| Title Chapter | Heading | Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|---|---|
| | | Commitments | Payments | Commitments | Payments | Commitments | Payments |
| 14 01 | SUPPORT ADMINISTRATIVE EXPENDITURE OF THE 'EXTERNAL ACTION' CLUSTER | 341 754 224 | 341 754 224 | | | 341 754 224 | 341 754 224 |
| 14 02 | NEIGHBOURHOOD, DEVELOPMENT AND INTERNATIONAL COOPERATION INSTRUMENT (NDICI) | 11 744 285 623 | 6 187 464 534 | 450 000 000 | 450 000 000 | 12 194 285 623 | 6 637 464 534 |
| 14 03 | HUMANITARIAN AID | 1 641 156 246 | 1 888 615 000 | | | 1 641 156 246 | 1 888 615 000 |
| 14 04 | COMMON FOREIGN AND SECURITY POLICY | 351 327 000 | 328 068 070 | | | 351 327 000 | 328 068 070 |
| 14 05 | OVERSEAS COUNTRIES AND TERRITORIES | 65 670 651 | 32 098 369 | | | 65 670 651 | 32 098 369 |
| 14 06 | EUROPEAN INSTRUMENT FOR NUCLEAR SAFETY (EINS) | 36 115 200 | 31 000 000 | | | 36 115 200 | 31 000 000 |
| 14 20 | PILOT PROJECTS, PREPARATORY ACTIONS, PREROGATIVES AND OTHER ACTIONS | 165 252 583 | 119 643 086 | | | 165 252 583 | 119 643 086 |
| | **Title 14 — Total** | **14 345 561 527** | **8 928 643 283** | **450 000 000** | **450 000 000** | **14 795 561 527** | **9 378 643 283** |

# TITLE 14

# EXTERNAL ACTION

## CHAPTER 14 02 — NEIGHBOURHOOD, DEVELOPMENT AND INTERNATIONAL COOPERATION INSTRUMENT (NDICI)

| Title Chapter Article Item | Heading | FF | Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|---|---|---|
| | | | Commitments | Payments | Commitments | Payments | Commitments | Payments |
| 14 02 | NEIGHBOURHOOD, DEVELOPMENT AND INTERNATIONAL COOPERATION INSTRUMENT (NDICI) | | | | | | | |
| ***14 02 01*** | ***Geographic programmes*** | | | | | | | |
| 14 02 01 10 | Southern neighbourhood | 6 | 1 470 187 766 | 153 274 953 | | | 1 470 187 766 | 153 274 953 |
| 14 02 01 11 | Eastern neighbourhood | 6 | 730 004 692 | p.m. | | | 730 004 692 | p.m. |
| 14 02 01 12 | Neighbourhood — Territorial and cross-border cooperation and supporting measures | 6 | 87 470 000 | 6 247 548 | | | 87 470 000 | 6 247 548 |
| 14 02 01 20 | West Africa | 6 | 1 364 414 922 | 36 288 430 | | | 1 364 414 922 | 36 288 430 |
| 14 02 01 21 | East and Central Africa | 6 | 986 324 040 | 26 232 600 | | | 986 324 040 | 26 232 600 |
| 14 02 01 22 | Southern Africa and Indian Ocean | 6 | 937 007 838 | 24 920 970 | | | 937 007 838 | 24 920 970 |
| 14 02 01 30 | Middle East and Central Asia | 6 | 389 490 660 | p.m. | | | 389 490 660 | p.m. |
| 14 02 01 31 | South and East Asia | 6 | 451 433 753 | p.m. | | | 451 433 753 | p.m. |
| 14 02 01 32 | The Pacific | 6 | 97 607 298 | p.m. | | | 97 607 298 | p.m. |
| 14 02 01 40 | The Americas | 6 | 220 262 360 | p.m. | | | 220 262 360 | p.m. |
| 14 02 01 41 | The Caribbean | 6 | 154 971 644 | p.m. | | | 154 971 644 | p.m. |
| 14 02 01 50 | Erasmus+ — NDICI contribution | 6 | 20 000 000 | 4 302 000 | | | 20 000 000 | 4 302 000 |
| 14 02 01 60 | European Development Fund — ACP Investment Facility reflows | 6 | p.m. | p.m. | | | p.m. | p.m. |
| 14 02 01 70 | NDICI — Provisioning of the common provisioning fund | 6 | 2 018 306 110 | 28 228 584 | | | 2 018 306 110 | 28 228 584 |
| | *Article 14 02 01 — Subtotal* | | 8 927 481 083 | 279 495 085 | | | 8 927 481 083 | 279 495 085 |

COMMISSION
*TITLE 14 — EXTERNAL ACTION*

**CHAPTER 14 02 — NEIGHBOURHOOD, DEVELOPMENT AND INTERNATIONAL COOPERATION INSTRUMENT (NDICI)** *(cont'd)*

| Title Chapter Article Item | Heading | FF | Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|---|---|---|
| | | | Commitments | Payments | Commitments | Payments | Commitments | Payments |
| ***14 02 02*** | ***Thematic programmes*** | | | | | | | |
| 14 02 02 10 | Election observation missions — Human Rights and Democracy | 6 | 50 297 224 | 23 717 000 | | | 50 297 224 | 23 717 000 |
| 14 02 02 11 | Fundamental rights and freedoms — Human Rights and Democracy | 6 | 150 891 672 | 10 781 000 | | | 150 891 672 | 10 781 000 |
| 14 02 02 20 | Civil Society Organisations | 6 | 201 188 896 | 2 156 000 | | | 201 188 896 | 2 156 000 |
| 14 02 02 30 | Stability and Peace | 6 | 134 125 930 | 32 342 000 | | | 134 125 930 | 32 342 000 |
| 14 02 02 40 | People — Global Challenges | 6 | 132 944 671 | 5 376 430 | 450 000 000 | 450 000 000 | 582 944 671 | 455 376 430 |
| 14 02 02 41 | Planet — Global Challenges | 6 | 128 760 893 | 5 174 720 | | | 128 760 893 | 5 174 720 |
| 14 02 02 42 | Prosperity — Global Challenges | 6 | 108 642 004 | 4 366 170 | | | 108 642 004 | 4 366 170 |
| 14 02 02 43 | Partnerships — Global Challenges | 6 | 32 190 223 | 1 293 680 | | | 32 190 223 | 1 293 680 |
| | *Article 14 02 02 — Subtotal* | | 939 041 513 | 85 207 000 | 450 000 000 | 450 000 000 | 1 389 041 513 | 535 207 000 |
| ***14 02 03*** | ***Rapid response actions*** | | | | | | | |
| 14 02 03 10 | Crisis response | 6 | 261 039 460 | 128 074 000 | | | 261 039 460 | 128 074 000 |
| 14 02 03 20 | Resilience | 6 | 159 524 114 | 22 235 000 | | | 159 524 114 | 22 235 000 |
| 14 02 03 30 | Foreign policy needs | 6 | 49 291 517 | 12 090 000 | | | 49 291 517 | 12 090 000 |
| | *Article 14 02 03 — Subtotal* | | 469 855 091 | 162 399 000 | | | 469 855 091 | 162 399 000 |
| ***14 02 04*** | ***Emerging challenges and priorities cushion*** | 6 | 1 407 907 936 | 264 126 000 | | | 1 407 907 936 | 264 126 000 |
| ***14 02 99*** | ***Completion of previous programmes and activities*** | | | | | | | |
| 14 02 99 01 | Completion of previous actions in the area of European Neighbourhood Policy and relations with Russia (prior to 2021) | 6 | p.m. | 2 386 617 319 | | | p.m. | 2 386 617 319 |
| 14 02 99 02 | Completion of previous development cooperation instruments (prior to 2021) | 6 | p.m. | 2 501 419 000 | | | p.m. | 2 501 419 000 |

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**CHAPTER 14 02 — NEIGHBOURHOOD, DEVELOPMENT AND INTERNATIONAL COOPERATION INSTRUMENT (NDICI)** *(cont'd)*

| Title Chapter Article Item | Heading | FF | Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|---|---|---|
| | | | Commitments | Payments | Commitments | Payments | Commitments | Payments |
| **14 02 99** | *(cont'd)* | | | | | | | |
| 14 02 99 03 | Completion of relations with third countries under the Partnership Instrument and the financing instrument for cooperation with industrialised countries (prior to 2021) | 6 | p.m. | 133 201 130 | | | p.m. | 133 201 130 |
| 14 02 99 04 | Completion of the European Instrument for Democracy and Human Rights and previous actions in the field of election observation missions (prior to 2021) | 6 | p.m. | 133 000 000 | | | p.m. | 133 000 000 |
| 14 02 99 05 | Completion of previous actions in the field of global threats to security, crisis response and preparedness (prior to 2021) | 6 | p.m. | 242 000 000 | | | p.m. | 242 000 000 |
| | *Article 14 02 99 — Subtotal* | | p.m. | 5 396 237 449 | | | p.m. | 5 396 237 449 |
| | **Chapter 14 02 — Total** | | **11 744 285 623** | **6 187 464 534** | **450 000 000** | **450 000 000** | **12 194 285 623** | **6 637 464 534** |

*Remarks*

Appropriations under this chapter are intended to cover operational expenditure related to actions carried out under a Regulation of the European Parliament and of the Council establishing the Neighbourhood, Development and International Cooperation Instrument (NDICI) whose general objective is to uphold and promote the Union's values and interests worldwide in order to pursue the objectives and principles of the Union's external action, as laid down in Article 3(5) and Articles 8 and 21 TEU.

In accordance with that general objective, the specific objectives of the NDICI are the following:

(a) to support and foster dialogue and cooperation with third countries and regions in the Neighbourhood, in Sub-Saharan Africa, in Asia and the Pacific, and in the Americas and the Caribbean;

(b) at global level, to consolidate and support democracy, rule of law and human rights, support civil society organisations, further stability and peace and address other global challenges including migration and mobility;

(c) to respond rapidly to: situations of crisis, instability and conflict; resilience challenges and linking of humanitarian aid and development action; and foreign policy needs and priorities.

At least 92% of the expenditure from the NDICI shall fulfil the criteria for official development assistance, established by the Development Assistance Committee of the Organisation for Economic Cooperation and Development.

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*TITLE 14 — EXTERNAL ACTION*

**CHAPTER 14 02 — NEIGHBOURHOOD, DEVELOPMENT AND INTERNATIONAL COOPERATION INSTRUMENT (NDICI)** *(cont'd)*

Moreover, actions under the NDICI are expected to contribute 25 % of its overall financial envelope to climate objectives and 10 % to addressing the root causes of irregular migration and forced displacement and to supporting migration management and governance.

In accordance with Articles 21, 22 and 24 of the Financial Regulation, contributions received from third countries (EFTA States pursuant to the Agreement on the European Economic Area, candidate countries and, if applicable, the Western Balkan potential candidates, or other countries) for participating in Union programmes, and any other assigned revenue, entered in the statement of revenue give rise to the provision of corresponding appropriations and to implementation under this Chapter.

The related estimated amounts as well as the corresponding article or item of the statement of revenue are indicated, wherever possible, in the relevant budget lines.

*Legal basis*

Regulation (EU) 2021/947 of the European Parliament and of the Council of 9 June 2021 establishing the Neighbourhood, Development and International Cooperation Instrument – Global Europe, amending and repealing Decision No 466/2014/EU and repealing Regulation (EU) 2017/1601 and Council Regulation (EC, Euratom) No 480/2009 (OJ L 209, 14.6.2021, p. 1).

## *14 02 02 Thematic programmes*

*Remarks*

In order to attain the objectives laid down in the NDICI, thematic programmes shall encompass actions linked to the pursuit of the Sustainable Development Goals at global level in the following areas of intervention:

— Human Rights and Democracy,

— Civil Society Organisation,

— Stability and Peace,

— Global Challenges.

### 14 02 02 40 People — Global Challenges

*Figures (Differentiated appropriations)*

| Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|
| Commitments | Payments | Commitments | Payments | Commitments | Payments |
| 132 944 671 | 5 376 430 | 450 000 000 | 450 000 000 | 582 944 671 | 455 376 430 |

*Remarks*

This appropriation is intended to cover actions of the Global Challenges thematic programmes, corresponding to the areas of interventions specified in the NDICI, including the following: health, education, gender equality and women's and girls' empowerment, children and youth, migration and forced displacement, decent work, social protection and inequality, culture.

COMMISSION

*TITLE 16*

**EXPENDITURE OUTSIDE THE ANNUAL CEILINGS SET OUT IN THE MULTIANNUAL FINANCIAL FRAMEWORK**

COMMISSION
*TITLE 16 — EXPENDITURE OUTSIDE THE ANNUAL CEILINGS SET OUT IN THE MULTIANNUAL FINANCIAL FRAMEWORK*

# TITLE 16

# EXPENDITURE OUTSIDE THE ANNUAL CEILINGS SET OUT IN THE MULTIANNUAL FINANCIAL FRAMEWORK

## General summary of appropriations (2021 and 2020) and outturn (2019)

| Title Chapter | Heading | Budget 2021 | | Amending budget No 6/2021 | | New amount | |
|---|---|---|---|---|---|---|---|
| | | Commitments | Payments | Commitments | Payments | Commitments | Payments |
| 16 01 | SUPPORT ADMINISTRATIVE EXPENDITURE OUTSIDE THE ANNUAL CEILINGS SET OUT IN THE MULTIANNUAL FINANCIAL FRAMEWORK | p.m. | p.m. | | | p.m. | p.m. |
| 16 02 | MOBILISATION OF SOLIDARITY MECHANISMS (SPECIAL INSTRUMENTS) | 97 981 598 | 117 981 598 | | | 97 981 598 | 117 981 598 |
| 16 03 | SUPPORT INNOVATION IN LOW-CARBON TECHNOLOGIES AND PROCESSES UNDER THE EMISSION TRADING SYSTEM (ETS) | p.m. | p.m. | | | p.m. | p.m. |
| 16 04 | EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS FOR MEMBER STATES | p.m. | p.m. | | | p.m. | p.m. |
| 16 05 | OTHER EXPENDITURE | p.m. | p.m. | | | p.m. | p.m. |
| | **Title 16 — Total** | **97 981 598** | **117 981 598** | | | **97 981 598** | **117 981 598** |

# TITLE 16

# EXPENDITURE OUTSIDE THE ANNUAL CEILINGS SET OUT IN THE MULTIANNUAL FINANCIAL FRAMEWORK

## CHAPTER 16 01 — SUPPORT ADMINISTRATIVE EXPENDITURE OUTSIDE THE ANNUAL CEILINGS SET OUT IN THE MULTIANNUAL FINANCIAL FRAMEWORK

| Title Chapter Article Item | Heading | FF | Budget 2021 | Amending budget No 6/2021 | New amount |
|---|---|---|---|---|---|
| 16 01 | SUPPORT ADMINISTRATIVE EXPENDITURE OUTSIDE THE ANNUAL CEILINGS SET OUT IN THE MULTI-ANNUAL FINANCIAL FRAMEWORK | | | | |
| ***16 01 01*** | ***Support expenditure for the European Globalisation Adjustment Fund*** | S | p.m. | | p.m. |
| ***16 01 02*** | ***Support expenditure for the Innovation Fund*** | | | | |
| 16 01 02 64 | Innovation and Networks Executive Agency — Contribution from the Innovation Fund for the completion of previous programmes | O | p.m. | | p.m. |
| 16 01 02 74 | European Climate, Infrastructure and Environment Executive Agency — Contribution from the Innovation Fund | O | p.m. | | p.m. |
| | *Article 16 01 02 — Subtotal* | | p.m. | | p.m. |
| ***16 01 03*** | ***Support expenditure for the European Peace Facility*** | O | p.m. | | p.m. |
| ***16 01 04*** | ***Support expenditure for trust funds managed by the Commission*** | O | p.m. | | p.m. |
| ***16 01 05*** | ***Support expenditure for the European Development Fund*** | O | p.m. | | p.m. |
| | **Chapter 16 01 — Total** | | **p.m.** | | **p.m.** |

### *16 01 05 Support expenditure for the European Development Fund*

*Figures (Non-differentiated appropriations)*

| Budget 2021 | Amending budget No 6/2021 | New amount |
|---|---|---|
| p.m. | | p.m. |

*Remarks*

This appropriation is intended to cover administrative support expenditure as decided under the European Development Funds and more specifically office overhead costs for external personnel in Union delegations (contract staff, local staff or seconded national experts), such as rent, security, cleaning and maintenance. It is also intended to cover the remuneration of external staff at Commission Headquarters notably in relation to the assigned revenue as part of the transition process from the African Peace Facility to the European Peace Facility.

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*TITLE 16 — EXPENDITURE OUTSIDE THE ANNUAL CEILINGS SET OUT IN THE MULTIANNUAL FINANCIAL FRAMEWORK*

**CHAPTER 16 01 — SUPPORT ADMINISTRATIVE EXPENDITURE OUTSIDE THE ANNUAL CEILINGS SET OUT IN THE MULTIANNUAL FINANCIAL FRAMEWORK** *(cont'd)*

***16 01 05*** *(cont'd)*

Assigned revenue (origin, estimated amounts and corresponding article or item of the statement of revenue).

| | |
|---|---|
| Other assigned revenue | 141 377 187 3 3 0 , 3 3 8, 3 3 9 |

*Legal basis*

Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, amending Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, and Decision No 541/2014/EU and repealing Regulation (EU, Euratom) No 966/2012 (OJ L 193, 30.7.2018, p. 1), and in particular Article 21(2) thereof.