EUROPEAN
COMMISSION

Brussels, 14.10.2021
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ANNEXES 1 to 8

ANNEXES

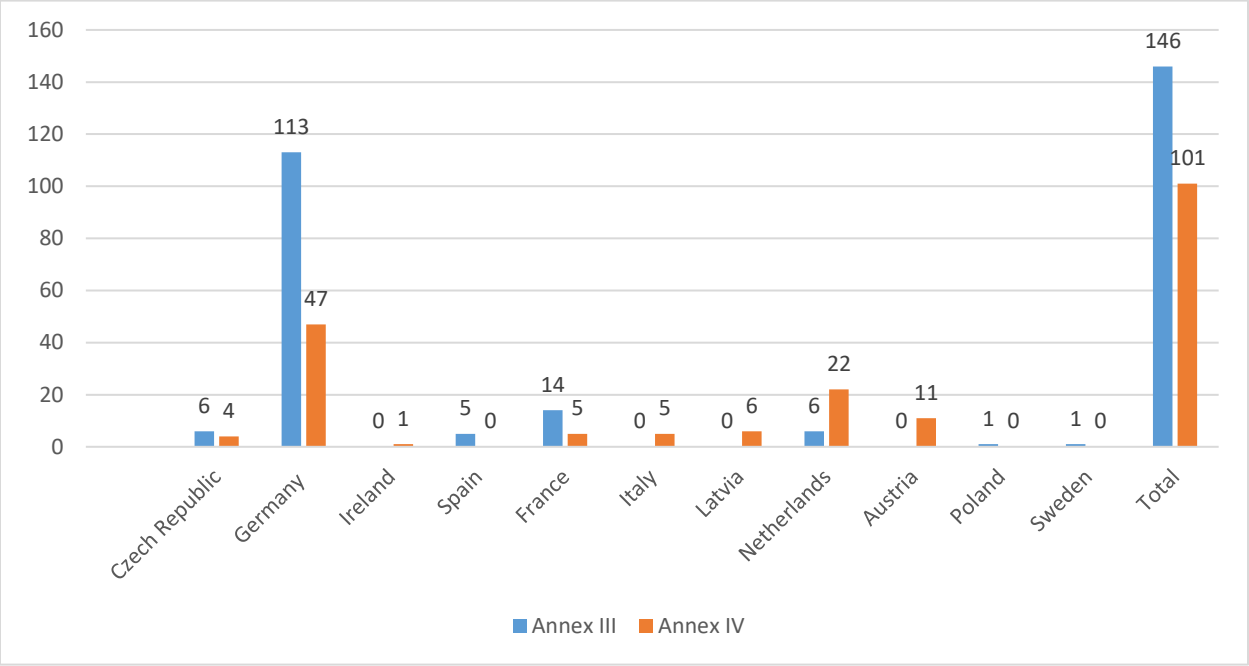
to the

REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL

on export authorisation in 2020 pursuant to the Regulation concerning trade in certain goods which could be used for capital punishment, torture or other cruel, inhuman or degradng treatment or punishment

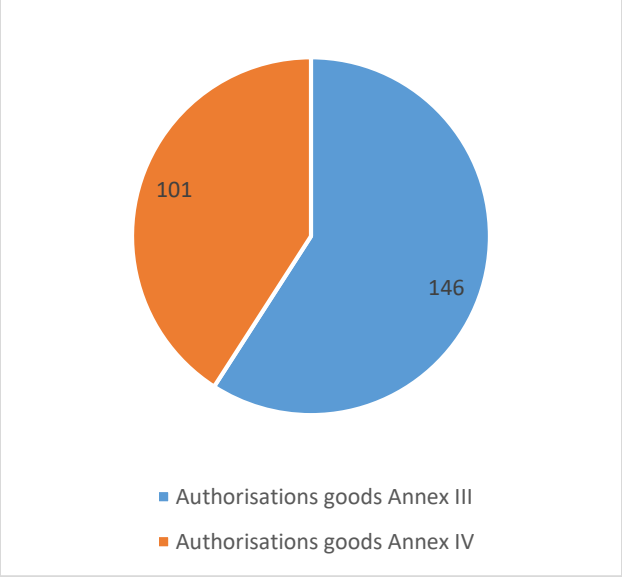
Annex 1:

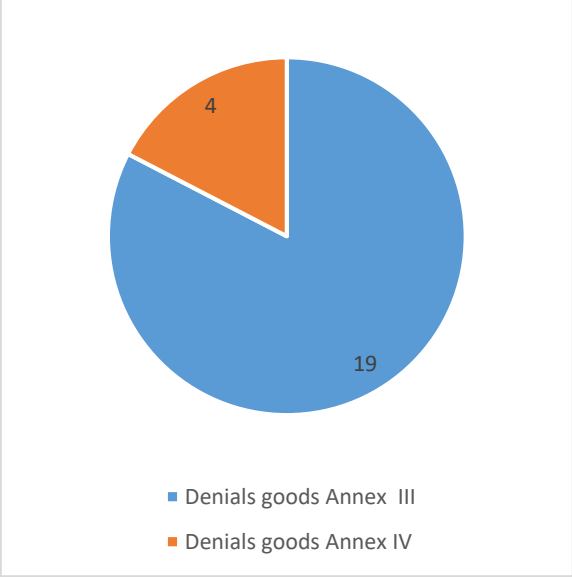
Number of reported export authorisations issued by Member States pursuant to Article 20(2) of Regulation (EU) 2019/125 by Annex entry



Annex 2:

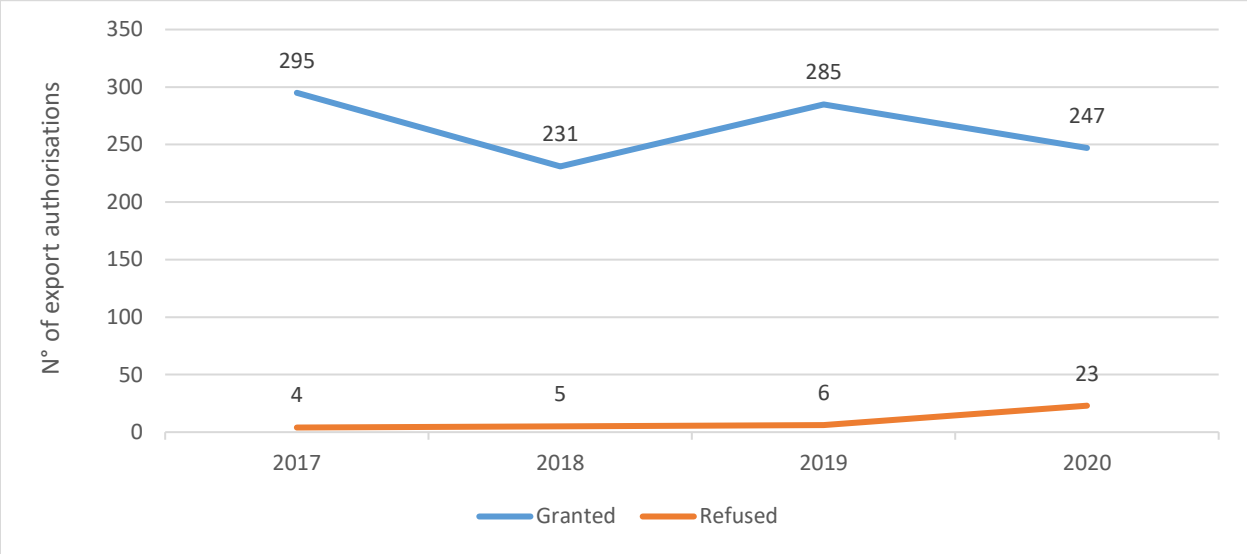
Number of reported export authorisations by Annex entry





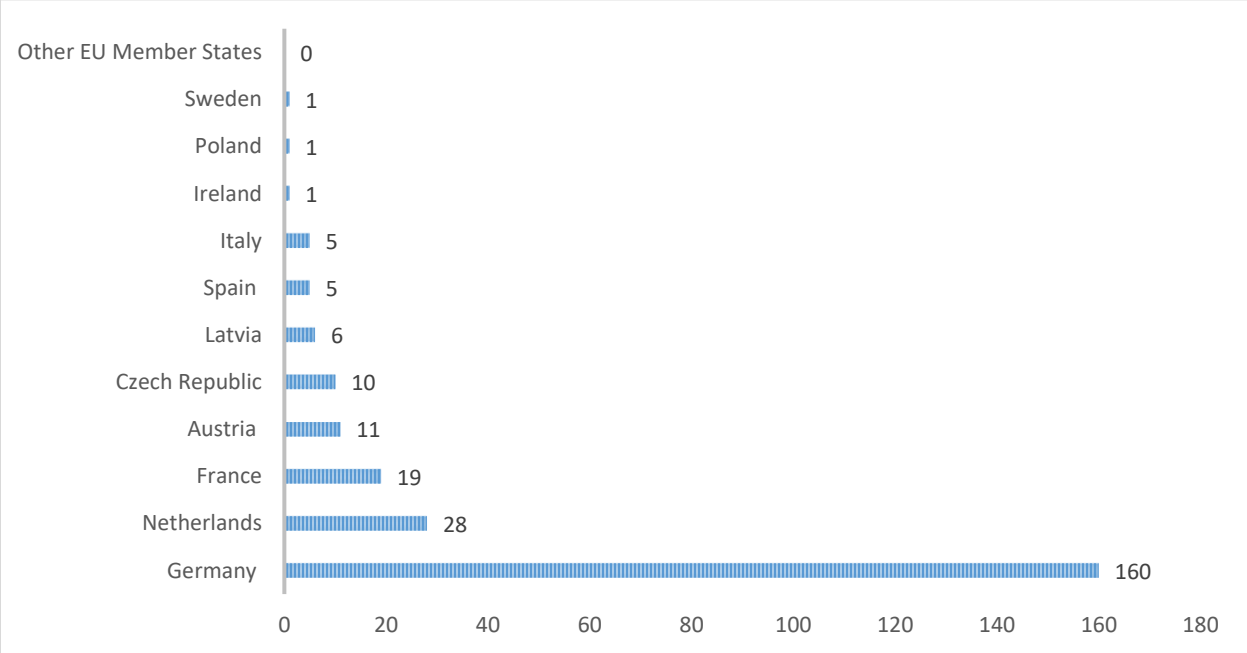
Annex 3:

Number of reported export authorisations issued by Member States over the period 2017– 2020



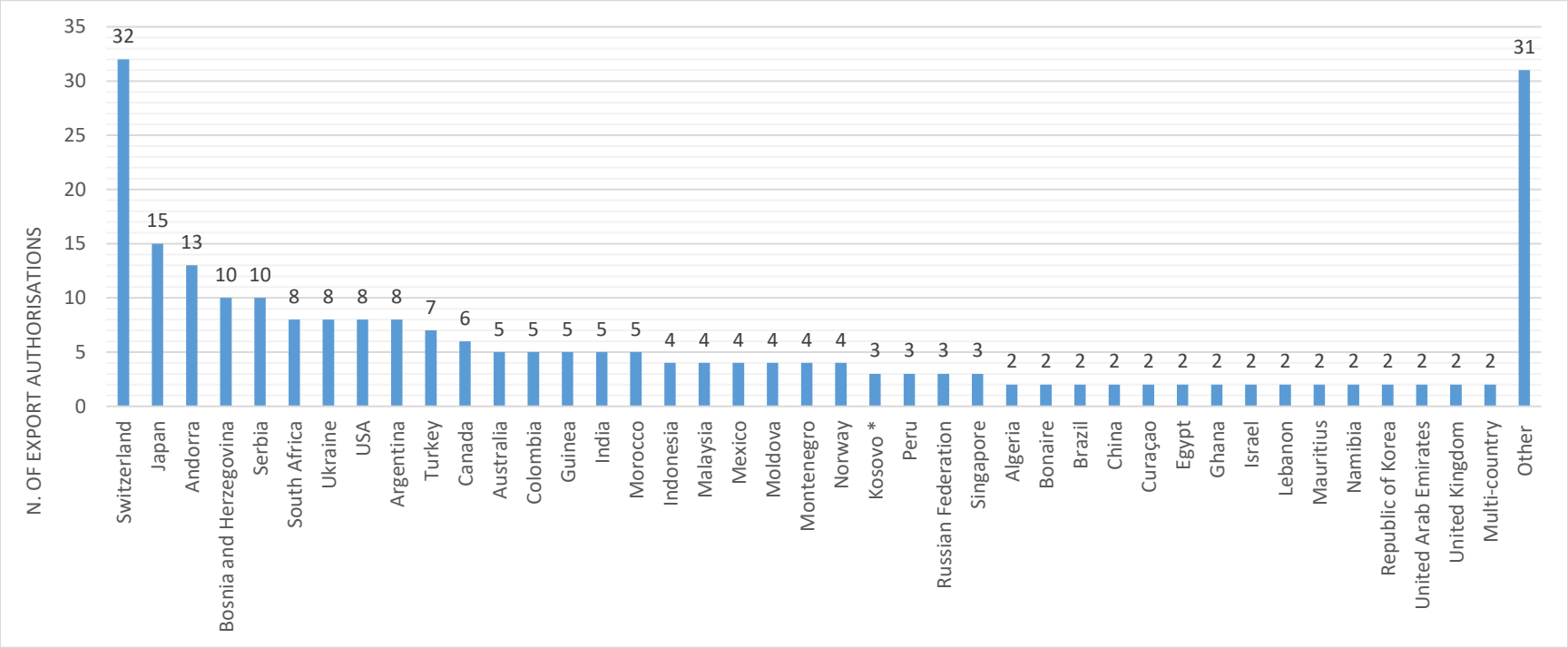
Annex 4:

N° of reported export authorizations issued by Member States



Annex 5:

Reported destinations[(1)] of authorised exports in 2020[(2)]



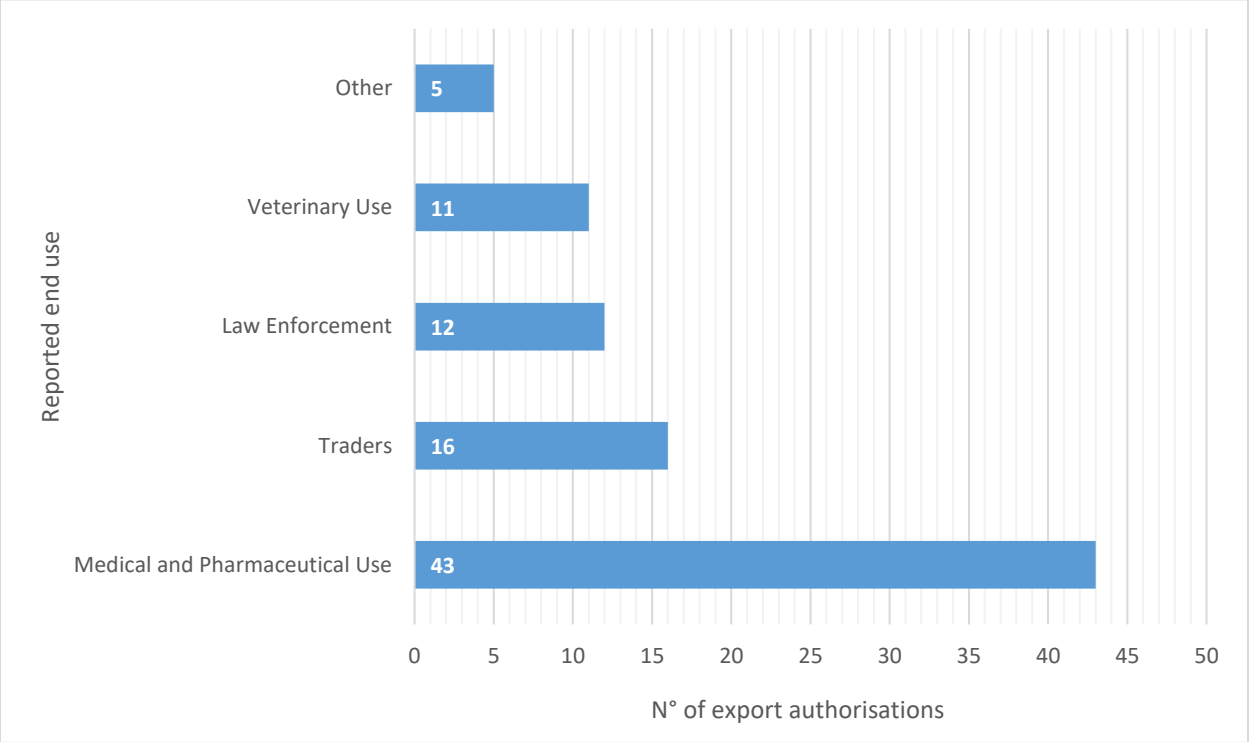
(1) If a particular name is used in the list of destinations, it should not be construed as going beyond referring to a (customs) territory commonly known by that name.

(2) 'Other destinations' include those to which only one export authorisation was reported, namely: Aruba, Bahrain, Bangladesh, Belize, Cameroon, Central African Republic, Chile, Costa Rica, Ecuador, Gabon, Georgia, Haiti, Hong Kong, Iran, Iraq, Jordan, Kenya, Malawi, Mauritania, New Caledonia, New Zealand, Niger, Nigeria, North Macedonia, Oman, Paraguay, Saudi Arabia, Sri Lanka, Taiwan, Uganda and Uruguay. 'Multi-country destinations' refer to those authorisations issued once for one same item exported to more than one country.

* This designation is without prejudice to positions on status, and is in line with UNSCR 1244/1999 and the ICJ Opinion on the Kosovo declaration of independence.

Annex 6:

Reported end-use of authorised exports to third countries in 2020[3]



[3] The number of export authorisations does not match the number referred to in the previous Annexes, as not all authorities in the Member States stipulate the end-use.

Annex 7:

Overview of reported authorised exports of Annex III goods in 2020

Code	Product Annex III	Destination	End use	Competent authority
1.1	Shackles and gang chains (except ordinary handcuffs)	Bahrain (1)	Law Enforcement	CZ
		Norway (1), Switzerland (3), United States (1)	End use not stipulated	DE
		Georgia (1)	Law Enforcement	PL
2.1	Portable electric discharge weapons, including but not limited to electric shock batons, electric shock shields, stun guns and electric shock dart guns	Bosnia and Herzegovina (2*), Serbia (1*), Moldova (2*) * these authorisations also account for goods in category 3.1 below	Traders	CZ
		Andorra (1), United States (1)	Law Enforcement	ES
		United States (3), Mauritania (1), Central African Republic (1), New Caledonia (1)	Trader (United States), Other (Central African Republic), Law Enforcement (Mauritania, New Caledonia)	FR
		Multi-country (Australia, Iceland, Norway, United States, Switzerland) (1)	Law Enforcement	NL
3.1	Portable weapons and equipment for administration or dissemination of a dose of an incapacitating or irritating chemical substance.	Bosnia and Herzegovina (2*), Serbia (1*), Moldova (2*) * these authorisations also account for goods in category 2.1 above	Traders	CZ

		Andorra (6), Argentina (1), Bosnia and Herzegovina (2), Costa Rica (1), Israel (1), Japan (11), Kosovo* (3), Lebanon (1), Montenegro (3), Namibia (2), North Macedonia (1), Paraguay (1), Moldova (2), Switzerland (12), Serbia (5), Sri Lanka (1), South Africa (5), Taiwan (1), Turkey (1), Ukraine (1) United States (1), United Kingdom (1) * *This designation is without prejudice to positions on status, and is in line with UNSCR 1244/1999 and the ICJ Opinion on the Kosovo declaration of independence.*	End use not stipulated	DE
		Andorra (2)	Traders	ES
		Cameroon (1), Morocco (3), Andorra (4)	Traders, Other (Morocco)	FR
		Bonaire (1), Multi-country (Sint Eustatius, Bonaire, Saba, Curaçao, Sint Maarten, Aruba) (1)	Law Enforcement	NL
		United States (1)	Trader	SE
3.2	Pelargonic acid vanillylamide (PAVA)	Australia (2), Brazil (2), Japan (3), Canada (1), Colombia (2), Malaysia (1), Nigeria (1), Republic of Korea (1), Russian	End use not stipulated	DE

		Federation (1), Switzerland (3), South Africa (2), Turkey (1), China (1)		
3.3	Oleoresin capsicum (OC)	Argentina (1), India (2), Russian Federation (1), Switzerland (5), Singapore (1)	End use not stipulated	DE
		Algeria (1)	Law Enforcement	ES
3.4	3.4 Mixtures containing at least 0.3 % of PAVA by weight or OC and a solvent (pepper spray)	Switzerland (3), Singapore (2), Ukraine (7) United States (1), China (1)	End use not stipulated	DE
		Bonaire (1), Switzerland (1), Jordan (1)	Law Enforcement, Other	NL

Annex 8:

Overview of reported authorised exports of Annex IV goods in 2020

Code	**Product Annex IV**	**Destination**	**End use**	**Competent authority**
1.1 (d)	Pentobarbital sodium salt (CAS 57-33-0)	Israel (1)	Veterinary Use	IE
		Mauritius (2), Morocco (2)	Medical and Pharmaceutical Use	FR
1.1 (g)	Thiopental (CAS RN 76-75-5)	Bosnia and Herzegovina (6), Montenegro (1), Serbia (4)	Medical and Pharmaceutical Use	AT
1.1 (h)	Thiopental sodium salt (CAS RN 71-73-8), also known as thiopentone sodium	Iraq (1), Algeria (1), Saudi Arabia (1), Iran (1)	Medical and Pharmaceutical Use	CZ
		Australia (1)	Medical and Pharmaceutical	FR

			Use	
		Argentina (1), Uruguay (1), Turkey (3)	Medical and Pharmaceutical Use	IT
		India (3), Russian Federation (1), Indonesia (1), Bangladesh (1)	Medical and Pharmaceutical Use	LV
1.1	Other short and intermediate acting barbiturate anaesthetic agents	Egypt (2), Argentina (5), Australia (1), Chile (1), Ecuador (1), Ghana (1), Indonesia (3), Japan (1), Canada (3), Kenya (1), Colombia (3), Malaysia (1), Mexico (4), New Zealand (1), Norway (3), Oman (1), Peru (3), Republic of Korea (1), Switzerland (4), South Africa (1), Turkey (2), Uganda (1), the United Arab Emirates (2), United Kingdom (1)	End use not stipulated	DE
		Canada (2), Australia (1) Hong Kong (1), Malaysia (2), Aruba (1), Curaçao (2), Haiti (1), Belize (1), Lebanon (1), Niger (1), Malawi (1), Ghana (1), Switzerland (1), Gabon (1), Guinea (5)	Veterinary Use (Canada, Australia, Hong Kong, Malaysia, Aruba, Curaçao, Switzerland), Medical and Pharmaceutical Use (Haiti, Belize, Lebanon, Niger, Malawi, Ghana, Gabon, Guinea)	NL