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ANNEXES 1 to 5

ANNEXES

to the

REPORT FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT AND THE COUNCIL

Report on export authorisation in 2017 and 2018 pursuant to the Regulation concerning trade in certain goods which could be used for capital punishment, torture or other cruel, inhuman or degradng treatment or punishment

Annex 1

Number of reported export authorisations granted by Member States[1] pursuant to Article 20(2) of Regulation (EU) 2019/125

	2017	*2018*
EU	**292**	**231**
Austria	6	6
Czech Republic	11	17
Denmark	1	1
France	8	5
Germany	187	142
Italy	5	2
Netherlands	46	37
Poland	3	0
Portugal	3	1
Slovenia	1	0
Spain	1	3
Sweden	0	2
United Kingdom	23	12
Other Member States	0	0

[1] The Union General Export Authorisation (Annex V to Regulation (EU) 2019/125) is not an authorisation granted by a Member State.

Annex 2

Reported end-use of authorized exports to third countries in 2017, by product category[2]
(see Annexes III and IV to Regulation (EU) 2019/125 for full product descriptions)

EU exports 2017		
Annex III entry	Number of goods or quantity[3]	End-use
1.1 Shackles	498	law enforcement (497); manufacturer (1)
1.2 Individual cuffs or rings	6	law enforcement
1.3 Spit hoods	0	n/a
2.1 Portable electric discharge weapons	73 416	trader (70 000); private security firm (2 600); police (808)
2.2 Kits for assembly of 2.1	0	n/a
2.3 Wide area electric discharge weapons	0	n/a
3.1 Portable chemical substance weapons/equipment	176 114	trader (153 000); private security firm (12 612); law enforcement (10 000); defence (500); other (2)
3.2 PAVA	40 000 ml	police
3.3 Oleoresin capsicum	0	
3.4 Pepper spray	190	police
3.5 Fixed chemical substance equipment	0	n/a
3.6 Wide area chemical substance equipment	0	n/a
Annex IV entry		
1.1.(a) amobarbital	2 ml	laboratories
1.1.(b) amobarbital sodium salt	0	
1.1.(c) pentobarbital	7 ml	laboratories
1.1.(d) pentobarbital sodium salt	516 l	veterinarian use
1.1.(e) secobarbital	5 mg and 1 ml	laboratories
1.1.(f) secobarbital sodium salt	0	n/a

[2] Note that one Member State has not provided information on the number or quantities and the categories of end-users.

[3] For quantities a specific measurement is given.

1.1.(g) thiopental	181 650 vials, 45.88 kg, 20 mg	medical use (vials), pharmaceutical company (kg), laboratory (mg)
1.1.(h) thiopental sodium salt	1 372 100 vials, 224.22 kg, 37 600 ml	medical use and traders (vials), pharmaceutical industry (kg), wholesaler (ml)
1.1 Other barbiturate anaesthetic agent	2 ml	hospital
Mixtures mentioned in the Annex IV note[4]	0	n/a

[4] Products containing one of the anaesthetic agents listed under short or intermediate acting barbiturate anaesthetic agents.

Annex 3

Reported end-use of authorized exports to third countries in 2018, by product category[5]
(see Annexes III and IV to Regulation (EU) 2019/125 for full product descriptions)

EU exports 2018		
Annex III entry	**Number of goods or quantity[6]**	**End-use**
1.1 Shackles	555	law enforcement
1.2 Individual cuffs or rings	25	'government'
1.3 Spit hoods	0	n/a
2.1 Portable electric discharge weapons	75 039	traders (75 000) and police (39)
2.2 Kits for assembly of 2.1	0	n/a
2.3 Wide area electric discharge weapons	0	n/a
3.1 Portable chemical substance weapons/equipment	184 752	traders (175 000), consumers (7 500), private security firm (1 932), customs (200), police (76), UN (34)
3.2 PAVA	0	n/a
3.3 Oleoresin capsicum	6.1 kg	food industry (kg)
3.4 Pepper spray	1445	private security firm (1 000) and police (445)
3.5 Fixed chemical substance equipment	0	n/a
3.6 Wide area chemical substance equipment	0	n/a
Annex IV entry		
1.1.(a) amobarbital	0	n/a
1.1.(b) amobarbital sodium salt	0	n/a
1.1.(c) pentobarbital	10 mg and 6 ml	laboratories
1.1.(d) pentobarbital sodium salt	328.8 l and 6 x 100 ml	veterinarian use
1.1.(e) secobarbital	0	n/a
1.1.(f) secobarbital sodium salt	0	n/a

[5] Note that one Member State has not provided information on the number or quantities and the categories of end-users.

[6] For quantities a specific measurement is given.

1.1.(g) thiopental	52 000 vials	medical use
1.1.(h) thiopental sodium salt	1 452 000 vials and 70.475 kg	traders (1 100 000 vials), pharmaceutical industry (40 kg), hospitals and medical use
1.1 Other barbiturate anaesthetic agent	0	0
Mixtures mentioned in the Annex IV note[7]	0	n/a

[7] Products containing one of the anaesthetic agents listed under short or intermediate acting barbiturate anaesthetic agents.

Annex 4

Reported destinations[8] of authorized exports in 2017, by product category

Destinations of EU exports 2017	
Annex III entry	
1.1 Shackles	Andorra, Argentina, Australia, Costa Rica, Russia, Switzerland, United States
1.2 Individual cuffs or rings	Australia
1.3 Spit hoods	n/a
2.1 Portable electric discharge weapons	Andorra, Bosnia and Herzegovina, Montenegro, New Caledonia, Nigeria, Serbia
2.2 Kits for assembly of 2.1	n/a
2.3 Wide area electric discharge weapons	n/a
3.1 Portable chemical substance weapons/equipment	Andorra, Bangladesh, Bosnia and Herzegovina, Cambodia, Chile, Costa Rica, Democratic Republic of the Congo, Ghana, India, Japan, Lebanon, Montenegro, Namibia, Niger, Nigeria, Serbia, South Africa, Switzerland, Taiwan, Tunisia, Ukraine, Uruguay, United States, Venezuela
3.2 PAVA	Anguilla, Australia, Bermuda, Brazil, Falkland Islands, India, South Korea, Russia, Singapore, South Africa, Switzerland, Taiwan, Turkey, United Arab Emirates
3.3 Oleoresin capsicum	China, Dutch Caribbean territory, India, Kenya, Nigeria, Norway, Singapore, South Africa, Switzerland, Ukraine, United States
3.4 Pepper spray	Russian Federation, Switzerland, Turkey, United States
3.5 Fixed chemical substance equipment	n/a
3.6 Wide area chemical substance equipment	n/a
Annex IV entry	
1.1.(a) amobarbital	South Africa, Switzerland
1.1.(b) amobarbital sodium salt	n/a
1.1.(c) pentobarbital	Norway, South Africa, Switzerland
1.1.(d) pentobarbital sodium salt	Australia, China, Canada, Malaysia
1.1.(e) secobarbital	Mexico, South Africa, Switzerland
1.1.(f) secobarbital sodium salt	n/a
1.1.(g) thiopental	Angola, Bosnia and Herzegovina, Costa Rica, Guinea-Bissau, Mauritania, Mexico,

[8] The term Dutch Caribbean territory refers to the Dutch territories not included in the customs territory of the Union, *i.e.* Aruba, Bonaire, Curaçao, Saba, Sint Eustatius and Sint Maarten.

	Serbia, South Africa
1.1.(h) thiopental sodium salt	Algeria, Bangladesh, Bolivia, Central African Republic, China (Hong Kong), Côte d'Ivoire, Equatorial Guinea, Gabon, Gambia, Guyana, Haiti, India, Iran, Iraq, Jordan, Lebanon, Liberia, Qatar, Sierra Leone, Singapore, South Sudan, Switzerland, Syria, Togo, Turkey, Yemen
Other or no Annex IV specification	Argentina, Australia, Bangladesh, Canada, Chile, Colombia, Cuba, Ecuador, Egypt, Ghana, Indonesia, Iran, Israel, Japan, Jordan, Kenya, Libya, Mexico, New Zealand, Norway, Oman, Pakistan, Peru, South Korea, Saudi Arabia, South Africa, Sudan, Switzerland, Turkey, Uganda, Uruguay

Annex 5

Reported destinations[9] of authorized exports in 2018, by product category

Destinations of EU exports 2018	
Annex III entry	
1.1 Shackles	Bahrain, Botswana, Montenegro, New Zealand, Switzerland, United States
1.2 Individual cuffs or rings	UAE
1.3 Spit hoods	n/a
2.1 Portable electric discharge weapons	Azerbaijan, Bosnia and Herzegovina, Dutch Caribbean territory, Moldova, Nigeria, Saudi Arabia, Serbia
2.2 Kits for assembly of 2.1	n/a
2.3 Wide area electric discharge weapons	n/a
3.1 Portable chemical substance weapons/equipment	Andorra, Argentina, Armenia, Azerbaijan, Bosnia and Herzegovina, Central African Republic, Ethiopia, India, Israel, Japan, Jordan, Kosovo[10], Liberia, Moldova, Montenegro, Niger, Nigeria, Saudi Arabia, Serbia, Somalia, South Africa, Switzerland, Taiwan, Tanzania, United Arab Emirates, Ukraine, United States
3.2 PAVA	Australia, Brazil, India, South Korea, Russia, South Africa, Switzerland, United Arab Emirates
3.3 Oleoresin capsicum	Andorra, Argentina, Australia, Dutch Caribbean territory, Japan, Kenya, Russian Federation, Singapore, South Africa, Switzerland, Tunisia, Ukraine
3.4 Pepper spray	China, Russia, Singapore, Switzerland, Ukraine, United States
3.5 Fixed chemical substance equipment	n/a
3.6 Wide area chemical substance equipment	n/a
Annex IV entry	
1.1.(a) amobarbital	n/a
1.1.(b) amobarbital sodium salt	n/a
1.1.(c) pentobarbital	Saudi Arabia, Serbia, United States
1.1.(d) pentobarbital sodium salt	Belize, Canada, China, Kosovo, Malaysia
1.1.(e) secobarbital	Saudi Arabia
1.1.(f) secobarbital sodium salt	n/a

[9] The term Dutch Caribbean territory refers to the Dutch territories not included in the customs territory of the Union, *i.e.* Aruba, Bonaire, Curaçao, Saba, Sint Eustatius and Sint Maarten.

[10] This designation is without prejudice to positions on status, and is in line with UN Security Council Resolution 1244 (1999) and the ICJ Opinion on the Kosovo declaration of independence.

1.1.(g) thiopental	Bosnia and Herzegovina, Serbia
1.1.(h) thiopental sodium salt	Albania, Algeria, Argentina, Bangladesh, Belize, Central African Republic, Chad, Côte d'Ivoire, Georgia, Ghana, Guinea-Conakry, Haiti, Iran, Iraq, Lebanon, Malawi, Niger, Nigeria, Saudi Arabia, Sierra Leone, Singapore, South Africa, South Sudan, Sudan, Switzerland, Syria, Tajikistan, Timor Leste, Togo, Turkey
Other or no Annex IV specification	Argentina, Australia, Canada, Chile, Colombia, Cuba, Ethiopia, Iran, Kenya, Malaysia, Norway, Peru, South Korea, South Africa, Suriname, Switzerland, Turkey, Zimbabwe